People's United Financial, Inc.

Form 4

January 18, 2008

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Expires:

January 31,

2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated average

burden hours per response... 0.5

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \*

(First)

**BARNES JOHN P** 

2. Issuer Name and Ticker or Trading

Symbol

People's United Financial, Inc.

[PBCT]

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

3. Date of Earliest Transaction

Director X\_ Officer (give title

10% Owner Other (specify

(Middle)

(Month/Day/Year) 01/16/2008

below) Sr. Executive Vice President

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(Street)

BANK, 850 MAIN STREET

C/O PEOPLE'S UNITED

BRIDGEPORT, CT 06604

| (City) | (State) | (Zip) | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |
|--------|---------|-------|--|
|        |         |       |  |

| 1.Title of | 2. Transaction Date | 2A. Deemed         | 3.                                | 4. Securitie  | s Acqı | uired        | 5. Amount of     | 6. Ownership | 7. Nature of |
|------------|---------------------|--------------------|-----------------------------------|---------------|--------|--------------|------------------|--------------|--------------|
| Security   | (Month/Day/Year)    | Execution Date, if | Transaction(A) or Disposed of (D) |               |        | Securities   | Form: Direct     | Indirect     |              |
| (Instr. 3) |                     | any                | Code (Instr. 3, 4 and 5)          |               |        | Beneficially | (D) or           | Beneficial   |              |
|            |                     | (Month/Day/Year)   | (Instr. 8)                        |               |        |              | Owned            | Indirect (I) | Ownership    |
|            |                     |                    |                                   |               |        |              | Following        | (Instr. 4)   | (Instr. 4)   |
|            |                     |                    |                                   |               | (4)    |              | Reported         |              |              |
|            |                     |                    |                                   |               | (A)    |              | Transaction(s)   |              |              |
|            |                     |                    | C = V                             |               | or     | ъ.           | (Instr. 3 and 4) |              |              |
| ~          |                     |                    | Code V                            | Amount        | (D)    | Price        |                  |              |              |
| Common     | 01/16/2008          |                    | A                                 | 7,100 (1)     | Δ      | \$0          | 22,375           | D            |              |
| Stock      | 01/10/2000          |                    | 11                                | 7,100 <u></u> | 11     | ΨΟ           | 22,373           | D            |              |
|            |                     |                    |                                   | 1.40.710      |        |              |                  |              |              |
| Common     | 01/17/2008          |                    | A                                 | 148,719       | A      | \$0          | 171,094          | D            |              |
| Stock      | 01/1//2000          |                    |                                   | (2)           |        | ΨΟ           | 1/1,027          | D            |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

of

### Edgar Filing: People's United Financial, Inc. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                            |
|---|---|---|---|--|---|--|--------------------|---|----------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>Number<br>Shares |
| Employee<br>Option to<br>Buy                        | \$ 16.025   | 01/16/2008                              |   | A                                      | 14,650  | (3)  | 01/16/2018         | Common<br>Stock   | 14,650                     |
| Employee<br>Option to<br>Buy                        | \$ 15.66  | 01/17/2008                              |   | A                                      | 284,659   | <u>(4)</u>   | 01/17/2018         | Common<br>Stock   | 284,65                     |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |

BARNES JOHN P C/O PEOPLE'S UNITED BANK 850 MAIN STREET BRIDGEPORT, CT 06604

Sr. Executive Vice President

# **Signatures**

/s/ John P.
Barnes

\*\*Signature of Reporting Person

O1/18/2008

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These are restricted shares that vest in annual increments on 1/16/2010 (50%); 1/16/2011 (25%); and 1/16/2012 (25%).
- (2) These are restricted shares that vest in annual increments of 20% over the course of five years, beginning on the first anniversary of the date of the grant.
- (3) Exercisable in annual increments on 1/16/2010 (50%); 1/16/2011 (25%); and 1/16/2012 (25%).
- (4) Exercisable in annual increments of 20% over the course of five years, beginning on the first anniversary of the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2