Edgar Filing: Allison Transmission Holdings Inc - Form 4

Allison Transmission Holdings Inc Form 4 September 07, 2016

FORN Check th	4 UNITED	STATES		RITIES A			E COMMISSIO	N OMB Number:	PPROVA. 3235- Januar	0287
subject to Section 1 Form 4 c Form 5 obligatio may cont	if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESExpires: 200 200Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1935 or Section 1(b).Expires: 200 200									
1. Name and A	Address of Reporting	-	Symbol			r Trading oldings Inc	5. Relationship Issuer (Ch	of Reporting Per eck all applicabl		
(Last)	3. Date of Earliest Transaction(Month/Day/Year)09/02/2016				X_ Director10% Owner Officer (give titleOther (specify below)					
	4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
INDIANAP	OLIS, IN 46222	2					Person		1 0	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivativ	e Securities	Acquired, Disposed	of, or Beneficia	lly Owned	1
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deem Execution any (Month/D	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	l (A) or l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownershi (Instr. 4)	ıl
Reminder: Rep	port on a separate lin	e for each cl	lass of sec	urities bene	Perse infor requi	ons who re mation cor red to resp ays a curre	or indirectly. espond to the collection ntained in this form cond unless the fo ently valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Date	Underlying Securities	Derivati
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Security

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	,	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					(Instr. 5	
				Code	V	(A) ((D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Dividend Equivalent Rights	<u>(1)</u>	09/02/2016		А		34		<u>(1)</u>	<u>(1)</u>	Common Stock	34	\$ 0

Reporting Owners

Reporting Owner Name / Address	Relationships								
I O	Director	10% Owner	Officer	Other					
GARCIA TUNON ALVARO ONE ALLISON WAY INDIANAPOLIS, IN 46222	Х								
Signatures									
/s/ Eric C. Scroggins, attorney-in-fact		09/07/2016	5						
**Signature of Reporting Person		Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The dividend equivalent rights accrued on previously awarded deferred stock units ("DSUs") and vest proportionately with the DSUs to
 (1) which they relate. Each dividend equivalent rights is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.