BANC OF CALIFORNIA, INC.

Form 4/A

December 11, 2015

| FORM  | ORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION   |                        |   |               |   |  |  | OMB APPROVAL   |   |  |
|---|--|------------------------|---|---------------|---|--|--|--|---|--|
|   | UNITEDS  | Washington, D.C. 20549 |   |               |   |  |  | OMB<br>Number:   | 3235-0287   |  |
| if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may contin   | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction  See Instruction  STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimate SECURITIES  Exp Exp Estimate SECURITIES  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 |                        |   |               |   |  |  |  | January 31,<br>2005<br>verage<br>rs per<br>0.5                    |  |
| (Print or Type Re   | sponses)   |                        |   |               |   |  |  |  |   |  |
| Seabold Jeffrey T Symbol  |  |                        | I:<br>DF CALIFORNIA, INC.   |               |   |  | 5. Relationship of Reporting Person(s) to (Super Check all applicable)   |  |   |  |
| (Last)  | (First) (Mic   |                        | 3. Date of Earliest Transaction   |               |   |  | Director   |  | Owner   |  |
| C/O BANC C<br>INC., 18500 V<br>SUITE 1100   | y/Year) = b  |                        |   |               | Officer (give title Other (specify below)  EVP, CHIEF BANKING OFFICER |  |  |  |   |  |
|   | (Street)   | n/Day/Year) A          |   |               |   | 5. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person |  |  |   |  |
| IRVINE, CA  | 92612  | 12/10/20               | 13  |               |   | _  | Form filed by M erson  |  |   |  |
| (City)  | (State) (Z   | ip) Table              | I - Non-Dei   | rivative Se   | curitie   | es Acquii  | red, Disposed of,  | or Beneficial  | ly Owned  |  |
| (Instr. 3) any  |  | Execution Date, if     | ion Date, if Transaction(A) or Disposed of Code (Instr. 3, 4 and 5)  //Day/Year) (Instr. 8)  (A) or |               |   | of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Class B<br>Non-Voting<br>Stock  | 12/08/2015   |                        | Code V $S_{(1)}^{(1)}$  | Amount 57,568 | (D)   | Price \$ 14.89   | 37,432   | D  |   |  |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to recovered upless the form.  (9-02) |  |                        |   |               |   |  |  |  |   |  |

required to respond unless the form displays a currently valid OMB control

number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title  | and          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|-----------|--------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D  | ate         | Amoun     | t of         | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Underly   | ying         | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securit   | ies          | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. 3 | 3 and 4)     |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |             |           |              |             | Follo  |
|             | •           |                     |                    |            | (A) or     |               |             |           |              |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |           |              |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |           |              |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |           |              |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |           |              |             |        |
|             |             |                     |                    |            |            |               |             |           | A manuat     |             |        |
|             |             |                     |                    |            |            |               |             |           | Amount       |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |           | Or<br>Number |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        |           | Number       |             |        |
|             |             |                     |                    | C + V      | (A) (D)    |               |             |           | of           |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |           | Shares       |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

Seabold Jeffrey T C/O BANC OF CALIFORNIA, INC. 18500 VON KARMAN AVE, SUITE 1100 **IRVINE, CA 92612** 

EVP, CHIEF BANKING OFFICER

## **Signatures**

/s/ James J. McKinney, Attorney-in-Fact

12/11/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Warrants exercised on a cashless basis, resulting in the Issuer withholding 57,568 of the warrant shares to pay the exercise price, and issuing to the Reporting Person the remaining 37,432 shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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