### Edgar Filing: BANC OF CALIFORNIA, INC. - Form 5

BANC OF CALIFORNIA, INC. Form 5 February 14, FORM

Form 5	4 2017								
February 1							OMB AF	PRO	VAL
FUN				ND EXCHANGE (	COMN	MISSION	OMB Number:	323	5-0362
no long	this box if er subject	V	Vashington,	D.C. 20549			Expires:	Janu	ary 31, 2005
to Section 16. Form 4 or Form 5 obligations may continue. ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						SIAL	Estimated average burden hours per response 1.0		
1(b).	Holdings Section 17	(a) of the Public	Utility Hold	e Securities Exchang ling Company Act of Company Act of 194	f 1935		1		
1. Name and Address of Reporting Person <u></u> Holoman Eric			2. Issuer Name <b>and</b> Ticker or Trading Symbol BANC OF CALIFORNIA, INC.			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	C OF CALIFORM	(Mon 12/3 NIA,	-	er's Fiscal Year Ended	below	Director Officer (give t	10%	Owner er (speci	
INC., 18 AVE, SU	3500 VON KARM ITE 1100	IAN							
	(Street)		Amendment, Da Month/Day/Year	e	6. Ind	lividual or Joi	int/Group Rep	orting	
						(check	applicable line)		
IRVINE, Â	CA 92612					orm Filed by M	One Reporting Pe lore than One Re		
(City)	(State)	(Zip)	able I - Non-D	erivative Securities Acc	quired,	Disposed of,	or Beneficial	ly Owr	ned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year	Code	4. Securities Acquired Disposed of (D) (Instr. 3, 4 and 5)	(A) or	5. Amount of Securities Beneficially Owned at er	Owners Form:	nip o B	. Nature f Indirect eneficial wnership

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Disposed of (Instr. 3, 4 ar Amount	(D)	red (A) or Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/04/2016	Â	A <u>(1)</u>	114.3322	А	\$ 14.181	35,181.2257	D	Â	
Common Stock	04/01/2016	Â	A <u>(1)</u>	96.3246	А	\$ 16.975	35,181.2257	D	Â	
Common Stock	07/01/2016	Â	A <u>(1)</u>	93.7899	A	\$ 17.557	35,181.2257	D	Â	
	10/03/2016	Â	A <u>(1)</u>	121.196	А		35,181.2257	D	Â	

### Common Stock

#### \$ 16.936

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless (9-02) the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. F Der Sec (Ins
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 10.9	Â	Â	Â	Â	Â	(2)	07/01/2024	Common Stock	918	
Stock Options	\$ 10.9	Â	Â	Â	Â	Â	(2)	07/01/2024	Common Stock	918	
Stock Option	\$ 13.75	Â	Â	Â	Â	Â	(2)	07/01/2025	Common Stock	2,808	
Stock Option	\$ 13.75	Â	Â	Â	Â	Â	(2)	07/01/2025	Common Stock	2,808	

## **Reporting Owners**

Reporting Owner Name / Address			Relationships						
	Director	10% Owner	Officer	Other					
Holoman Eric C/O BANC OF CALIFORNIA, INC 18500 VON KARMAN AVE, SUITI IRVINE, CA 92612		Â	Â	Â	Â				
Signatures									
/s/ Albert Wang, Attorney-in-Fact	02/14/2	017							
Signature of Reporting Person	Date								

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were acquired pursuant to the Issuer's Dividend Reinvestment Plan.

Options will vest annually in equal installments over a five year period beginning on the one year anniversary of the grant date. In

accordance with the Non-Qualified Stock Option Agreement, by and between the Reporting Person and the Issuer, dated July 1, 2014 (the "Option Agreement"), in the event the Reporting Person is subject to a Qualified Termination of Service (as defined in the Option Agreement), this award will automatically become fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.