McPherson T. Alex Form 4 May 27, 2011

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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**OMB APPROVAL** 

response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Section 16.

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

McPherson T. Alex

2. Issuer Name and Ticker or Trading

Symbol

NovaBay Pharmaceuticals, Inc.

[NBY]

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(Last) (First) (Middle)

> (Month/Day/Year) 05/27/2011

\_X\_\_ Director Officer (give title

10% Owner Other (specify

C/O NOVABAY

(City)

PHARMACEUTICALS, INC., 5980 **HORTON STREET, SUITE 550** 

(Street)

(State)

(Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I. Non-Desiration Constitute Assuring Dispersed of an Desirable Constitution

EMERYVILLE, CA 94608

(City)	(State)	Tab	ole I - Non	-Derivativ	e Seci	urities Acq	uired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Secur or(A) or D (Instr. 3,	oispose , 4 and (A) or	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/27/2011		P	400	A	\$ 1.64	900	I	By the McPherson Family Trust
Common Stock	05/27/2011		P	600	A	\$ 1.65	1,500	I	By the McPherson Family Trust
Common Stock	05/27/2011		P	100	A	\$ 1.63	1,600	I	By the McPherson

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								Family Trust
Common Stock	05/27/2011	P	2,400	A	\$ 1.65	4,000	I	By the McPherson Family Trust
Common Stock	05/27/2011	P	100	A	\$ 1.64	4,100	I	By the McPherson Family Trust
Common Stock	05/27/2011	P	100	A	\$ 1.63	4,200	I	By the McPherson Family Trust
Common Stock	05/27/2011	P	500	A	\$ 1.65	4,700	I	By the McPherson Family Trust
Common Stock	05/27/2011	P	100	A	\$ 1.64	4,800	I	By the McPherson Family Trust
Common Stock	05/27/2011	P	100	A	\$ 1.63	4,900	I	By the McPherson Family Trust
Common Stock	05/27/2011	P	100	A	\$ 1.64	5,000	I	By the McPherson Family Trust
Common Stock	05/27/2011	P	1,700	A	\$ 1.6499	6,700	I	By the McPherson Family Trust
Common Stock						37,693	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transact Code (Instr. 8)	orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>:</b>		Amou Under Securi (Instr.	lying	Derivative Security (Instr. 5)	Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

McPherson T. Alex C/O NOVABAY PHARMACEUTICALS, INC. 5980 HORTON STREET, SUITE 550 EMERYVILLE, CA 94608

X

## **Signatures**

/s/ Theresa Granados, Attorney-in-Fact for Alex T. McPherson

05/27/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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