Latkin Jed Form 4 February 11, 2019

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Latkin Jed

Symbol **NAVIDEA** 

BIOPHARMACEUTICALS, INC.

[NAVB]

10% Owner

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction

X\_ Officer (give title below)

\_X\_\_ Director

Other (specify

4995 BRADENTON AVE., SUITE

(Month/Day/Year) 02/07/2019

CEO, COO & CFO

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting

(Instr. 4)

240

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

**DUBLIN, OH 43017** 

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

(State)

Execution Date, if

(Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

(Instr. 4)

(A)

Reported Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of		6. Date Exerc	cisable and	7. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orDerivative	Expiration Date		Underlying Securities		
Security	or Exercise		any	Code	Securities		(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A) or	r				
	Derivative				Disposed of (D)	)				
	Security				(Instr. 3, 4, and	5)				
				Code V	(A) (	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option	(1)	02/07/2019		A	1,000,000		<u>(1)</u>	02/07/2029	Common Stock	1,000,0

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
. 9	Director	10% Owner	Officer	Other			
Latkin Jed 4995 BRADENTON AVE., SUITE 240 DUBLIN, OH 43017	X		CEO, COO & CFO				

# **Signatures**

/s/ Jed A. Latkin 02/11/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

333,334 options with a strike price of \$0.15 will be exercisable on or after 2/7/2019, so long as the closing market price of the underlying common stock equals or exceeds \$0.50 per share; 333,333 options with a strike price of \$0.30 will be exercisable on or after 12/31/2019, so long as the closing market price of the underlying common stock equals or exceeds \$0.70 per share; and 333,333 options with a strike price of \$0.50 will be exercisable on or after 12/31/2020, so long as the closing market price of the underlying common stock equals or exceeds \$1.00 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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