Mallinckrodt plc Form 4 June 02, 2015

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Harbaugh Matthew K

> (First) (Middle)

675 MCDONNELL BLVD.

(Street)

2. Issuer Name and Ticker or Trading Symbol

Mallinckrodt plc [MNK]

3. Date of Earliest Transaction

(Month/Day/Year) 06/01/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director 10% Owner X\_ Officer (give title \_ \_\_ Other (specify

below) Sr. VP & CFO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### HAZELWOOD, MO 63042

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Ownership Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Ordinary Shares	06/01/2015		M	3,166	A	\$ 34.5	34,539	D	
Ordinary Shares	06/01/2015		M	14,951	A	\$ 31.12	49,490	D	
Ordinary Shares	06/01/2015		M	19,500	A	\$ 33.67	69,099 (1)	D	
Ordinary Shares	06/01/2015		S	18,983	D	\$ 128.5	50,116	D	
Ordinary Shares	06/02/2015		S	2,123	D	\$ 129.29 (2)	47,993	D	

#### Edgar Filing: Mallinckrodt plc - Form 4

Ordinary 06/02/2015 S 130.02 46,993 D 1,000 Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	onDerivative I		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Share Options (Right to Buy)	\$ 34.5	06/01/2015		M		3,166	12/01/2013	11/30/2019	Ordinary Shares	3,166
Share Options (Right to Buy)	\$ 31.12	06/01/2015		M		14,951	06/28/2013	11/30/2020	Ordinary Shares	14,951
Share Options (Right to Buy)	\$ 33.67	06/01/2015		M		19,500	06/28/2013	11/30/2021	Ordinary Shares	19,500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1 0	Director	10% Owner	Officer	Other				
Harbaugh Matthew K								
675 MCDONNELL BLVD.			Sr. VP & CFO					
HAZELWOOD, MO 63042								

Reporting Owners 2

# **Signatures**

/s/Kenneth L. Wagner, Attorney-in-Fact

06/02/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 109 shares acquired under the Mallinckrodt employee stock purchase plan since February 3, 2015.
  - The price represents a weighted average. The shares were sold in multiple transactions at prices ranging from \$128.80 to \$129.3030,
- (2) inclusive. Mr. Harbaugh will provide, upon request of the SEC staff, Mallinckrodt plc or a shareholder of Mallinckrodt plc complete information regarding the number of shares sold at each price within the range.
  - The price represents a weighted average. The shares were sold in multiple transactions at prices ranging from \$130.01 to \$130.06,
- (3) inclusive. Mr. Harbaugh will provide, upon request of the SEC staff, Mallinckrodt plc or a shareholder of Mallinckrodt plc complete information regarding the number of shares sold at each price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3