Harvest Capital Credit Corp Form 4 December 23, 2015

## FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5

SECURITIES

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Jolson Joseph A			2. Issuer Name <b>and</b> Ticker or Trading Symbol Harvest Capital Credit Corp [HCAP]	5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (Middle)  C/O HARVEST CAPITAL CREDIT CORPORATION, 767 EAST THIRD AVENUE, 25TH FLOOR		(Middle)	3. Date of Earliest Transaction	(Check all applicable)				
		AST	(Month/Day/Year) 12/21/2015	X Director 10% Owner Officer (give title below) Other (specify below)				
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line)  X Form filed by One Reporting Person				
NEW YORK, NY 10017				Form filed by More than One Reporting Person				

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Sec	urities Acqu	ired, Disposed o	f, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi or Dispo (Instr. 3,	sed of 4 and (A) or	` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock							10,000	I	By Daughter
Common Stock							20,000	D	
Common Stock							35,768	I	By Foundation (1)
Common Stock	12/21/2015		P	9,435 (2)	A	\$ 11.1794 (3)	388,623	I	By Jolson 1991 Trust

## Edgar Filing: Harvest Capital Credit Corp - Form 4

Common Stock	12/22/2015	P	5,970 (2)	A	\$ 11.4192 (5)	394,593	I	By Jolson 1991 Trust
Common Stock	12/23/2015	P	5,305 (2)	A	\$ 11.4744 (5)	399,898	I	By Jolson 1991 Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	8)	5. aNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Tit Amou Under Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Jolson Joseph A C/O HARVEST CAPITAL CREDIT CORPORATION 767 EAST THIRD AVENUE, 25TH FLOOR NEW YORK, NY 10017	X					
Signatures						
/s/ CRAIG KITCHIN ON BEHALF OF JOSEPH A. JOLSON		12/23/2015	5			

\*\*Signature of Reporting Person

Reporting Owners 2

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares of common stock held by The Jolson Family Foundation, of which Mr. Jolson is President and Treasurer. Mr. Jolson disclaims beneficial ownership of the shares.
- (2) Reflects the acquisition of common shares pursuant to the Rule 10b5-1 purchase plan of the Reporting Person.
  - The price reflects the weighted average purchase price. The purchase prices for these transactions ranged from 11.00 to 11.31. The
- (3) Reporting Person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased.
- (4) Reflects shares of common stock held by the Joseph A. Jolson 1991 Trust, of which Mr. Jolson is the trustee.
  - The price reflects the weighted average purchase price. The purchase prices for these transactions ranged from 11.25 to 11.50. The
- (5) Reporting Person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.