Quadracci J Joel Form 5 February 14, 2019

### FORM 5

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0362 Number:

no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box if

January 31, Expires:

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ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported

Form 4

30(h) of the Investment Company Act of 1940

Transactions Reported

Quadracci J		Symbol Quad/G	2. Issuer Name and Ticker or Trading Symbol Quad/Graphics, Inc. [QUAD]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First) (M	,	ent for Issuer's Day/Year) 018	Fiscal Yea	r End	_	_X_ Director _X_ Officer (give		Owner er (specify		
C/O QUAD/	GRAPHICS, INC		.010			ŀ	pelow) Chairr	below) nan, Pres. & CF	EO		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			(	6. Individual or Joint/Group Reporting				
SUSSEX,Â						_	_X_ Form Filed by	One Reporting P	erson		
(City)	(State) (Z	Zip) Tab	le I - Non-Deri	vative Sec	uritie	s Acqu	ired, Disposed o	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securing Acquired Disposed (Instr. 3,	(A) o of (D	)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common	Â	Â	Â	Â	Â	Â	889,552	D	Â		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Stock Class A

Stock

Common

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4.085

**SEC 2270** (9-02)

By 401(a)

Plan

## Edgar Filing: Quadracci J Joel - Form 5

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Deriv Secu Acqu or Di of (D	str. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Class B Common Stock	Â	03/22/2018	Â	G	Â	4,204	(1)	(1)	Class A Common Stock	4,204
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	2,354
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	2,354
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	5,480
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	120,009
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	5,480
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	2,354
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	5,480
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	2,354

Stock Options (Right to Buy)	\$ 15.37	Â	Â	Â	Â	Â	(10)	01/31/2019	Class A Common Stock	100,000
Stock Options (Right to Buy)	\$ 16.62	Â	Â	Â	Â	Â	(10)	01/31/2020	Class A Common Stock	150,000
Stock Options (Right to Buy)	\$ 41.26	Â	Â	Â	Â	Â	(10)	01/01/2021	Class A Common Stock	119,643
Stock Options (Right to Buy)	\$ 13.4708	Â	Â	Â	Â	Â	(10)	11/18/2021	Class A Common Stock	4,410
Stock Options (Right to Buy)	\$ 13.4708	Â	Â	Â	Â	Â	(10)	11/18/2021	Class A Common Stock	6,825
Stock Options (Right to Buy)	\$ 13.4708	Â	Â	Â	Â	Â	(10)	11/18/2021	Class A Common Stock	3,938
Stock Options (Right to Buy)	\$ 13.4708	Â	Â	Â	Â	Â	(10)	11/18/2021	Class A Common Stock	9,000
Stock Options (Right to Buy)	\$ 13.4708	Â	Â	Â	Â	Â	(10)	11/18/2021	Class A Common Stock	5,250
Stock Options (Right to Buy)	\$ 13.4708	Â	Â	Â	Â	Â	(10)	11/18/2021	Class A Common Stock	4,250
Stock Options (Right to Buy)	\$ 13.4708	Â	Â	Â	Â	Â	(10)	11/18/2021	Class A Common Stock	3,675
Stock Options (Right to Buy)	\$ 14.14	Â	Â	Â	Â	Â	(10)	01/01/2022	Class A Common Stock	39,881

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
ropozonig o mior rumito, rumitoss	Director	10% Owner	Officer	Other				
Quadracci J Joel C/O QUAD/GRAPHICS, INC. SUSSEX, WI 53089	ÂX	Â	Chairman, Pres. & CEO	Â				

## **Signatures**

/s/ Sherilyn R. Whitmoyer, Attorney-in-fact for J. Joel Quadracci

02/14/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Class B Common Stock is convertible into Class A Common Stock on a 1-for-1 basis at no cost and has no expiration date.
- As Trustee for the Alexander Q. Harned 2007 Trust. The reporting person is a trustee of the trust and is a current or future beneficiary thereof. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- As Trustee for the Elizabeth Quadracci Harned 2003 Trust. The reporting person is a trustee of the trust and is a current or future beneficiary thereof. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- As Trustee for the Harry Virgil Quadracci Flores 2002 Trust. The reporting person is a trustee of the trust and is a current or future beneficiary thereof. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- As Trustee for the HVQ 1992 Descendants Trust f/b/o J. Joel Quadracci. The reporting person is a trustee of the trust. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- As Trustee for the Isabella Marion Flores 1999 Trust. The reporting person is a trustee of the trust and is a current or future beneficiary thereof. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- As Trustee for the Kathryn B. Harned 2004 Trust. The reporting person is a trustee of the trust and is a current or future beneficiary thereof. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- As Trustee for the Kaitlin Mary Flores 2000 Trust. The reporting person is a trustee of the trust and is a current or future beneficiary thereof. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein
- As Trustee for the William V. Harned 2006 Trust. The reporting person is a trustee of the trust and is a current or future beneficiary thereof. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- (10) All options are currently vested and currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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