Edgar Filing: Avinger Inc - Form 4/A

Avinger Inc											
Form 4/A											
January 17, 2	2017										
FORM	14									PPROVAL	
	UNITEL) STATES		ITIES A hington,			NGE (COMMISSION	OMB Number:	3235-0287	
Check thi									Expires:	January 31,	
if no long subject to		MENT O	F CHAN	GES IN 1	BENEFI	CIA	L OW	NERSHIP OF	Estimated average		
	Section 16. SECURITIES								burden hours per		
Form 4 or	r								response 0.5		
Form 5 obligatior	no -						-	ge Act of 1934,			
may conti				•	•	- ·		f 1935 or Sectio	n		
<i>See</i> Instru 1(b).		30(h)	of the Inv	vestment	Compan	y Act	t of 194	40			
(Print or Type R	Responses)										
1. Name and A SOINSKI JE	ddress of Reporting	g Person <u>*</u>	2. Issuer Symbol	Name and	Ticker or	Tradin	ıg	5. Relationship of Issuer	Reporting Per	son(s) to	
	•	Inc [AV	GR]			(Check all applicable)					
(Last)	(First)	(Middle)	3. Date of	Earliest Tra	ansaction			(enec	k un uppheuok	-)	
(Mc				Month/Day/Year)				Director	6 Owner		
400 CHESA	PEAKE DRIVI	E	09/01/20)16				Officer (give below) Chief I	title Othe below) Executive Offic	er (specify cer	
	(Street)		4. If Amer	ndment, Da	te Original			6. Individual or Jo	oint/Group Filin	1g(Check	
Filed(M				led(Month/Day/Year)				Applicable Line)			
			09/06/20	-				_X_ Form filed by One Reporting Person			
REDWOOD	O CITY, CA 940)63						Form filed by N Person	Aore than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Securi	ities Aco	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of	2. Transaction Da	ate 2A. Dee	med	3.			-	5. Amount of	6. Ownership		
Security	(Month/Day/Yea	r) Execution any	on Date, if	Transaction(A) or Disposed of							
(Instr. 3)		Code (D) /Day/Year) (Instr. 8) (Instr. 3, 4 and 5)				5)	Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership		
		(infolitio	Duj/ I cui)	(msu: o)	(11541.5,	i una	5)	Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported			
						or		Transaction(s)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	09/01/2016			J	1,199 (1)	А	\$ 3.58	60,544	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Avinger Inc - Form 4/A

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships							
	Director	10% Owner	Officer	Other					
SOINSKI JEFFREY M 400 CHESAPEAKE DRIVE REDWOOD CITY, CA 94063	i		Chief Executive Officer						
Signatures									
/s/Jeff Soinski 0	1/17/2017								

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares acquired through participation by the reporting person in the 2015 Employee Stock Purchase Plan on September 1, 2016, were incorrectly reported due to a clerical error.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.