

Jain Ajit  
Form 4  
November 09, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Jain Ajit

2. Issuer Name **and** Ticker or Trading  
Symbol  
BERKSHIRE HATHAWAY INC  
[BRK.A]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
  
100 FIRST STAMFORD  
PLACE, BHRG  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/07/2018

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below) Vice Chairman

STAMFORD, CT 06902

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Class B Common Stock	11/07/2018		S		5,700 (1)	D	\$ 219.69	122,695 (2)	I
									By Jain Foundation Inc. (Non-Profit Corporation)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control  
number.**

SEC 1474  
(9-02)

## Edgar Filing: Jain Ajit - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class A Common Stock	(4)					(3)	(3)	Class B Common Stock	181,500 (5)
Class A Common Stock	(4)					(3)	(3)	Class B Common Stock	195,000 (7)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jain Ajit 100 FIRST STAMFORD PLACE BHRG STAMFORD, CT 06902	X		Vice Chairman	

## Signatures

Ajit Jain                      11/09/2018

\_\_\_\_\_  
Signature of                      Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported transaction was a sale by the non-profit corporation Jain Foundation Inc., of 5,700 shares of Class B Common Stock.
- (2) The shares directly owned by the Reporting Person, and the shares owned by the Reporting Person's spouse remain unchanged (202 and 150).
- (3) Not Applicable
- (4) Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock.

## Edgar Filing: Jain Ajit - Form 4

- (5) A family-planning trust established by the Reporting Person for the benefit of the Reporting Person's children owns 121 shares of Class A Common Stock, which are convertible into 181,500 shares of Class B Common Stock.
- (6) On October 31st, the Reporting Person and the Reporting Person's spouse transferred all owned Class A Shares into family-planning trusts established for the benefit of the Reporting Person's children.
- (7) A family-planning trust established by the Reporting Person's spouse for the benefit of the Reporting Person's children owns 130 shares of Class A Common Stock, which are convertible into 195,000 shares of Class B Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.