

LEGG MASON, INC.

Form 3

April 08, 2015

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Schliessler Ursula

(Last) (First) (Middle)

100 INTERNATIONAL DRIVE

(Street)

BALTIMORE,Â MDÂ 21202

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/01/2015

3. Issuer Name and Ticker or Trading Symbol
LEGG MASON, INC. [LM]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
CAO5. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

2,916

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date Expiration
Exercisable Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)Title Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Stock Options (Right to buy)	Â (1)	05/17/2019	Common Stock	3,713	\$ 33.99	D	Â
Stock Options (Right to buy)	Â (2)	05/17/2020	Common Stock	4,899	\$ 23.72	D	Â
Stock Options (Right to buy)	Â (3)	05/16/2021	Common Stock	3,578	\$ 35.16	D	Â
Stock Options (Right to buy)	Â (4)	05/16/2022	Common Stock	7,117	\$ 47.64	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	2,656	\$ (6)	D	Â
Restricted Stock Units	Â (7)	Â (7)	Common Stock	4,597	\$ (6)	D	Â
Restricted Stock Units	Â (8)	Â (8)	Common Stock	3,028	\$ (6)	D	Â
Restricted Stock Units	Â (9)	Â (9)	Common Stock	2,696	\$ (6)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Schliessler Ursula 100 INTERNATIONAL DRIVE BALTIMORE, MD 21202	Â	Â	Â CAO	Â

Signatures

Melissa A. Warren Attorney-in-fact for Ursula Schliessler 04/08/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employee stock options vest serially over four years and commenced on May 31, 2012.
- (2) Employee stock options vest serially over four years and commenced on May 31, 2013.
- (3) Employee stock options vest serially over four years and commenced on May 31, 2014.
- (4) Employee stock options vest serially over four years and commence on May 31, 2015.
- (5) Restricted stock units vest serially over four years and commenced on April 30, 2012.
- (6) Each restricted stock unit represents the right to receive one (1) share of Common Stock upon settlement.
- (7) Restricted stock units vest serially over four years and commenced on April 30, 2013.
- (8) Restricted stock units vest serially over four years and commenced on April 30, 2014.

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(9) Restricted stock units vest serially over four years and commence on April 30, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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