

PARNELL JACK C

Form 4

April 03, 2019

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
PARNELL JACK C

2. Issuer Name **and** Ticker or Trading
Symbol
NEOGEN CORP [NEOG]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
04/01/2019

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock	04/01/2019		M		6,000	A	\$ 31.24	18,655	D
Common Stock	04/01/2019		S		3,350	D	\$ 56.984	15,305	D
Common Stock	04/01/2019		M		4,000	A	\$ 30.945	19,305	D
Common Stock	04/01/2019		S		2,212	D	\$ 56.984	17,093	D
Common Stock	04/01/2019		M		4,000	A	\$ 32.8725	21,093	D
	04/01/2019		S		2,350	D	\$ 56.984	18,743	D

Edgar Filing: PARNELL JACK C - Form 4

Common
Stock

Common Stock	04/01/2019	M	2,667	A	\$ 41.355	21,410	D
-----------------	------------	---	-------	---	-----------	--------	---

Common Stock	04/01/2019	S	1,971	D	\$ 56.984	19,439	D
-----------------	------------	---	-------	---	-----------	--------	---

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock (Right to Buy)	\$ 31.24	04/01/2019		M	6,000	10/03/2016	10/03/2023	Common Stock	6,000
Common Stock (Right to Buy)	\$ 30.945	04/01/2019		M	4,000	10/02/2017	10/02/2024	Common Stock	4,000
Common Stock (Right to Buy)	\$ 32.875	04/01/2019		M	4,000	10/01/2018	10/01/2025	Common Stock	4,000
Common Stock	\$ 41.355	04/01/2019		M	2,667	10/06/2018	10/06/2026	Common Stock	2,667

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Edgar Filing: PARNELL JACK C - Form 4

Director 10% Owner Officer Other

PARNELL JACK C

X

Signatures

Steven J Quinlan (Attorney in
Fact)

04/03/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.