NEWPARK RESOURCES INC

Form 4

August 12, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * AIROLA MARK J

5. Relationship of Reporting Person(s) to Issuer

Symbol

NEWPARK RESOURCES INC [NR]

2. Issuer Name and Ticker or Trading

(Check all applicable)

(Last)

(City)

9320 LAKESIDE

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

Director

10% Owner Other (specify

(Month/Day/Year)

X_ Officer (give title below)

08/10/2016

Sr Vice Pres, General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

BOULEVARD, SUITE 100

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

THE WOODLANDS, TX 77381

| 1.Title of Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | | 4. Securities Acquired on(A) or Disposed of | | | Securities | Form: Direct | Indirect |
|------------------------|---|----------------------------------|-----------------|---|---------------------|------------|--|----------------|---------------------------------------|
| (Instr. 3) | | any (Month/Day/Year) | Code (Instr. 8) | (D) (Instr. 3, | (Instr. 3, 4 and 5) | | Beneficially Owned Following | Indirect (I) O | Beneficial Ownership (Instr. 4) |
| | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | |
| Common Stock | 08/10/2016 | | M | 8,906 (1) | A | \$0 | 301,055 | D | |
| Common Stock | 08/10/2016 | | F | 2,435 (2) | D | \$ 6.72 | 298,620 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|---|--|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Performance Shares | \$ 0 | 08/10/2016 | | M | 19,392 (3) | 08/10/2016 | (3) | Common Stock | 19,392 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

AIROLA MARK J 9320 LAKESIDE BOULEVARD SUITE 100 THE WOODLANDS, TX 77381

Sr Vice Pres, General Counsel

Signatures

By: Jennifer Wilson For: Mark J Airola 08/12/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares acquired upon the partial vesting of a performance stock unit previously granted to the Reporting Person. Vesting of the award was contingent upon Company's achievement of certain levels of total shareholder return (TSR) relative to a pre-determined industry peer group.
- (2) Represents shares withheld to satisfy tax withholding obligations upon the vesting of performance shares.
 - Represents performance restricted stock units awarded in 2013, the vesting of which was dependent on achievement of certain levels of total shareholder returns (TSR) relative to a peer group established by the Compensation Committee of the Board of Directors. The total
- (3) represents the maximum number of shares that could have been earned under this award, however, the award criteria was only partially achieved. A portion of the award converted into common stock (see Table I of this Form 4 report) and the remaining shares under the award were forfeited by the Reporting Person.

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