Edgar Filing: Johnson James W. - Form 4

Form 4										
November 2	ГЛ							OMB AF	PPROVAL	
	UNITED		SECURITIES AND EXCHANGE COMMI Washington, D.C. 20549					OMB Number:	3235-0287	
Check th if no lon subject to Section 1 Form 4 of Form 5 obligation may con See Instr 1(b).	ger o 16. or Filed put tinue.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							Expires: January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type	Responses)									
1. Name and A Johnson Jar	Symbo					5. Relationship of Reporting Person(s) to Issuer				
(Last) C/O OSHK CORPORA STREET		(Month 11/19	e of Earliest T n/Day/Year) /2018	ransaction			Director X Officer (give below)		Owner er (specify	
	Filed()			ed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
OSHKOSH	, WI 54902						Person		porting	
(City)	(State)	(Zip) Ta	able I - Non-I	Derivative S	ecurit	ies Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year	Code	4. Securities Acquired (A order Disposed of (D) (Instr. 3, 4 and 5) (A) or			Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/20/2018		Code V M	Amount 933.838	(D) A	Price (<u>1)</u>	(Instr. 3 and 4) 52,718.514	D		
Common Stock	11/20/2018		F	299	D	\$ 65.29	52,419.514	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Securitie (A) or D (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An Nu Sha
Options (2)	\$ 66.09	11/19/2018		А	7,000		(3)	11/19/2028	Common Stock	7
Restricted Stock Units	<u>(4)</u>	11/19/2018		А	4,250		(5)	(5)	Common Stock	4
Restricted Stock Units	<u>(1)</u>	11/20/2018		М		933.838	11/20/2018	(6)	Common Stock	93

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Johnson James W. C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902			Exec. VP & Pres., Fire & Emerg			
Signatures						
Ignacio A. Cortina, for James W.						

Johnson 11/21/2018 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (2) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (3) Options vest in one-third (1/3) annual increments commencing on 11/19/2019.
- (4) Restricted Stock Unit Award granted pursuant to the Company's Stock Plan.
- (5) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/19/2019.
- (6) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/20/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.