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### NEWELL RUBBERMAID INC Form 3 January 08, 2016 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL FORM 3 Washington, D.C. 20549 OMB Number: **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF** Expires: **SECURITIES** Estimated average

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Ad Person <u>*</u> DAVIES		e	2. Date of Eve Statement (Month/Day/Y			ne <b>and</b> Ticker o RUBBERM		
(Last)	(First)	(Middle)	01/01/2016		4. Relationsh Person(s) to	ip of Reporting Issuer	;	5. If Amendment, Date Original Filed(Month/Day/Year)
C/O NEWEI INC., 3 GL PARKWAY	ENLAKE (Street)				Directo X Officer (give title belo		Owner	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person
ATLANTA,								Form filed by More than One Reporting Person
(City)	(State)	(Zip)	'	Table I - N	Non-Deriva	tive Securiti	es Be	neficially Owned
1.Title of Secur (Instr. 4)	ity			2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr	*
Reminder: Repo owned directly o	-	ate line for ea	ach class of secu	rities benefici	ially	SEC 1473 (7-02	2)	
	inform	ation conta	pond to the co ained in this fo and unless the	orm are not	:			

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exer Expiration D (Month/Day/Year)	ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D)	

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				Shares		or Indirect (I) (Instr. 5)	
Cash Settled Stock Units	(1)	(1)	Common Stock	11,274	\$ 0	D	Â
Cash Settled Stock Units	(2)	(2)	Common Stock	13,545	\$ 0	D	Â
Cash Settled Stock Units	(3)	(3)	Common Stock	10,136	\$ 0	D	Â

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
DAVIES RICHARD B C/O NEWELL RUBBERMAID INC. 3 GLENLAKE PARKWAY ATLANTA, GA 30328	Â	Â	EVP, Chief Development Officer	Â			
Signatures							
/s/ Leah Lower, attorney-in-fact for Richard Davies			08/2016				
**Signature of Reporting Person			Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Stock Units granted on February 6, 2013 under the Newell Rubbermaid Inc. International Incentive Plan. Each stock unit will vest on the expiration date and be settled in cash immediately following the expiration date for an amount equal to the closing price per share of the

(1) company's common stock on the expiration date as reported on the New York Stock Exchange. The reporting person shall become fully vested in his or her award upon the third anniversary of the date of the grant of the award, but the award may vest earlier in the event of death, disability or retirement.

Stock Units granted on February 12, 2014 under the Newell Rubbermaid Inc. International Incentive Plan. Each stock unit will vest on the expiration date and be settled in cash immediately following the expiration date for an amount equal to the closing price per share of the

(2) company's common stock on the expiration date as reported on the New York Stock Exchange. The reporting person shall become fully vested in his or her award upon the third anniversary of the date of the grant of the award, but the award may vest earlier in the event of death, disability or retirement.

Stock Units granted on February 11, 2015 under the Newell Rubbermaid Inc. International Incentive Plan. Each stock unit will vest on the expiration date and be settled in cash immediately following the expiration date for an amount equal to the closing price per share of the company's common stock on the expiration date as reported on the New York Stock Exchange. The reporting person shall become fully

(3) vested in his or her award upon the third anniversary of the date of the grant of the award, but the award may vest earlier in the event of death, disability or retirement. Prior to the vesting to award, if the Company pays a dividend on its common stock, the reporting person will receive an amount in cash equal in value to the dividends that the reporting person would have received had the reporting person been the actual owner of the number of shares of Newell Rubbermaid common stock represented by the restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.