

CPS TECHNOLOGIES CORP/DE/
Form 8-K
May 02, 2019

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

Current Report

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 1, 2019

CPS TECHNOLOGIES CORP.

(Exact Name of Registrant as Specified in its Charter)

| | | |
|--|-------------------------------------|---|
| Delaware (State or other jurisdiction of incorporation) | 0-16088 (Commission File Number) | 04-2832509 (IRS Employer Identification No.) |
|--|-------------------------------------|---|

| | |
|---|---------------------|
| 111 South Worcester Street, Norton, Massachusetts (Address of principal executive offices) | 02766 (Zip Code) |
|---|---------------------|

| | |
|---|--------------|
| (Registrant's telephone number, including area code): | 508-222-0614 |
|---|--------------|

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)).

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Securities registered pursuant to Section(b) of the Act:

| <u>Title of Each Class</u> | <u>Trading Symbol(s)</u> | <u>Name of Each Exchange on Which Registered</u> |
|--------------------------------|--------------------------|--|
| Common Stock, \$0.01 par value | CPSH | NASDAQ Capital Markets |

Item 2.02 Results of Operations and Financial Condition

On May 1, 2019, the Company issued a press release announcing its financial results for the fiscal quarter ended March 30, 2019. A copy of the press release is attached hereto as Exhibit 99.1 and is incorporated herein in its entirety by reference.

Cautionary Note Regarding Forward-Looking Statements.

Except for historical information contained in the press release attached as an exhibit hereto, the press release contains forward-looking statements which involve certain risks and uncertainties that could cause actual results to differ materially from those expressed or implied by these statements. Please refer to the cautionary note in the press release regarding these forward-looking statements.

Item 9.01 Financial Statements and Exhibits

**EXHIBIT
NUMBER**

DESCRIPTION

| | |
|------|---|
| 99.1 | Press release dated May 1, 2019 of CPS Technologies Corporation announcing its financial results for the fiscal quarter ended March 30, 2019. |
|------|---|

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

CPS Technologies Corporation

(Registrant)

/s/ Ralph M. Norwood

Date: May 2, 2019 Ralph M. Norwood

Chief Financial Officer

EXHIBIT INDEX

EXHIBIT
NUMBER

DESCRIPTION

| | |
|------|---|
| 99.1 | Press release dated May 1, 2019 of CPS Technologies Corp. announcing its financial results for the fiscal quarter ended March 30, 2019. |
|------|---|