### CAPITAL SENIOR LIVING CORP

Form 4 March 04, 2016

FORM 4

Form 4 or

Form 5

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB

Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Expires: 2005 Estimated average burden hours per response... 0.5

Number:

3235-0287

January 31,

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Brooks Philip A |   |                         | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>CAPITAL SENIOR LIVING CORP<br>[CSU] |  |                                  |                              |             | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)  |  |   |  |
|---|---|-------------------------|--|--|----------------------------------|------------------------------|-------------|--|--|---|--|
| (Last) 14160 DAL PARKWA                                   |   | Middle)                 |  | e of Earliest Transaction<br>n/Day/Year)<br>n/2016 |                                  |                              |             | X Director 10% Owner Officer (give title below) Other (specify below)  |  |   |  |
| DALLAS,   |   |                         |  | Amendment, Date Original (Month/Day/Year)          |                                  |                              |             | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |   |  |
| (City)  | (State)                                 | (Zip)                   | Tab  | le I - Non-I                                       | Derivative                       | Secu                         | rities Acq  | quired, Disposed   | of, or Beneficia   | ally Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)                      | 2. Transaction Date<br>(Month/Day/Year) | Execution any (Month/Da | Date, if   | 3.<br>Transaction<br>Code<br>(Instr. 8)            | 4. Securi on(A) or Di (Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                             | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock   | 03/02/2016                              |                         |  | P  | 2,870                            | ` '                          | \$<br>17.24 | 2,870  | I  | by Philip A.<br>Brooks<br>Revocable<br>Trust                      |  |
| Common<br>Stock   |   |                         |  |  |                                  |                              |             | 36,598   | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

### Edgar Filing: CAPITAL SENIOR LIVING CORP - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exer | cisable and | 7. Titl | le and      | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------|------------|--------------|-------------|---------|-------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration D | ate         | Amou    | int of      | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/  | /Year)      | Under   | rlying      | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e            |             | Secur   | ities       | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |             | Securities |              |             | (Instr. | 3 and 4)    |             | Owne   |
|             | Security    |                     |                    |             | Acquired   |              |             |         |             |             | Follo  |
|             |             |                     |                    |             | (A) or     |              |             |         |             |             | Repo   |
|             |             |                     |                    |             | Disposed   |              |             |         |             |             | Trans  |
|             |             |                     |                    |             | of (D)     |              |             |         |             |             | (Instr |
|             |             |                     |                    |             | (Instr. 3, |              |             |         |             |             |        |
|             |             |                     |                    |             | 4, and 5)  |              |             |         |             |             |        |
|             |             |                     |                    |             |            |              |             |         | Amount      |             |        |
|             |             |                     |                    |             |            |              |             |         | Amount      |             |        |
|             |             |                     |                    |             |            | Date         | Expiration  | T:41-   | or<br>Namel |             |        |
|             |             |                     |                    |             |            | Exercisable  | Date        | Title   | Number      |             |        |
|             |             |                     |                    | C 1 W       | (A) (D)    |              |             |         | of          |             |        |
|             |             |                     |                    | Code V      | (A) (D)    |              |             |         | Shares      |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|
| 1 8  | Director      | 10% Owner | Officer | Other |  |  |  |
| Brooks Philip A<br>14160 DALLAS PARKWAY<br>SUITE 300<br>DALLAS, TX 75254 | X             |           |         |       |  |  |  |

## **Signatures**

/s/ Philip A.
Brooks

\*\*Signature of Reporting Person

O3/04/2016

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2