### Edgar Filing: NEW YORK COMMUNITY BANCORP INC - Form 4

#### NEW YORK COMMUNITY BANCORP INC

Form 4 April 07, 2008

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** 

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

137,502

Ι

Check this box if no longer subject to

subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

2. Issuer Name and Ticker or Trading

**NEW YORK COMMUNITY** 

Washington, D.C. 20549

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Symbol

1(b).

Common

Stock

(Print or Type Responses)

**WANN ROBERT** 

1. Name and Address of Reporting Person \*

|                                      |   |   | BANCORP INC [NYB]                       |  |                  |  | (Check all applicable)   |   |  |  |
|--------------------------------------|---|---|---|--|------------------|--|--|---|--|--|
| (Last) 615 MERR                      | (Month/L                                | 3. Date of Earliest Transaction (Month/Day/Year) 04/04/2008 |   |  | below)           | _X_ Officer (give title Other (specify   |  |   |  |  |
|                                      |   | 4. If Amendment, Date Original                              |   |  |                  | 6. Individual or Joint/Group Filing(Check  |  |   |  |  |
| WESTBUR                              | Filed(Moi                               | Filed(Month/Day/Year)                                       |   |  | _X_ Form filed b | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |   |  |  |
| (City)                               | (State)                                 | (Zip) Tabl  | le I - Non-D                            | erivative S                                    | Securities       | Acquired, Disposed   | of, or Beneficia   | lly Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) |   | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securit<br>on(A) or Di<br>(D)<br>(Instr. 3, | sposed of        | Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)                     | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |  |
| Common<br>Stock                      | 04/04/2008                              |   | A                                       | 40,000   | A \$             | 0 40,000   | I  | By Stock<br>Award II                                  |  |  |
| Common<br>Stock                      |   |   |   |  |                  | 676,099  | D (2)  |   |  |  |
| Common<br>Stock                      |   |   |   |  |                  | 81,929   | I  | By 401(k)   |  |  |
| Common<br>Stock                      |   |   |   |  |                  | 256,934  | I  | By ESOP   |  |  |

By SERP

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| Common<br>Stock | 18,000 | I | By Stock<br>Award (3) |
|-----------------|--------|---|-----------------------|
|-----------------|--------|---|-----------------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                  |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  |
|---|---|---|---|--|---|------------------|--------------------|---|----------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date Exercisable | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |
| Stock Option (right to buy)                         | \$ 12.5   |   |   |  |   | 12/21/2002(4)    | 12/21/2011         | Common<br>Stock   | 177,778                          |
| Stock<br>Option<br>(right to<br>buy)                | \$ 15.41  |   |   |  |   | 07/24/2002(5)    | 01/24/2012         | Common<br>Stock   | 533,512                          |
| Stock<br>Option<br>(right to<br>buy)                | \$ 13.85  |   |   |  |   | 07/24/2003(6)    | 07/24/2012         | Common<br>Stock   | 266,667                          |
| Stock<br>Option<br>(right to<br>buy)                | \$ 16.06  |   |   |  |   | 01/21/2004(7)    | 01/21/2013         | Common<br>Stock   | 248,888                          |
| Stock<br>Option<br>(right to<br>buy)                | \$ 16.06  |   |   |  |   | 12/30/2005(8)    | 01/21/2013         | Common<br>Stock   | 124,445                          |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WANN ROBERT

615 MERRICK AVENUE X Senior EVP and COO

WESTBURY, NY 11590

# **Signatures**

By: /s/ Ilene A. Angarola, Power of Attorney 04/07/2008

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Awards granted pursuant to the New York Community Bancorp, Inc. 2006 Stock Incentive Plan vest in five equal annual installments commencing on April 4, 2009.
- (2) Of the shares held directly, 190,944 are held jointly with Mr. Wann's wife.
- (3) Stock Awards granted pursuant to the New York Community Bancorp, Inc. 2006 Stock Incentive Plan will vest on April 2, 2009.
- (4) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in equal installments beginning on December 21, 2002 and were fully vested and exercisable as of December 21, 2004.
- (5) Stock Options automatically granted pursuant to the reload feature of the New York Community Bancorp, Inc. 1997 Stock Option Plan that were exercisable on July 24, 2002.
- (6) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in equal installments beginning on July 24, 2003 and were fully vested and exercisable as of July 24, 2005.
- (7) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan vest in equal installments beginning on January 21, 2004 and were fully vested and are exercisable as of January 21, 2005.
- (8) Stock Options granted pursuant to the New York Community Bancorp, Inc. 1997 Stock Option Plan were fully exercisable as of December 30, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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