### Edgar Filing: ESPEY MFG & ELECTRONICS CORP - Form 4

#### ESPEY MFG & ELECTRONICS CORP

Form 4

November 12, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL			
I ONIV	UNITED S	STATES SECU				NGE C	COMMISSION	OMB	3235-028	
Check th	is box	Wa	ashington,	D.C. 20	549			Number:	January 3	
if no long	rar	IENT OF CHAI	NCFS IN	RENEE	ICTA	LOW	NEDSHID OF	Expires:	200	
subject to Section 1 Form 4 o	ENT OF CHA	F CHANGES IN BENEFICIAL OWN SECURITIES					Estimated average burden hours per response			
Form 5 obligatio may cont See Instruction 1(b).	ns Section 17(a	suant to Section  a) of the Public U  30(h) of the I	Jtility Holo	ding Con	npany	y Act of	f 1935 or Section	1		
(Print or Type l	Responses)									
1. Name and Address of Reporting Person * St Pierre Mark Francis			er Name <b>and</b> Y MFG & 1				5. Relationship of Reporting Person(s) to Issuer			
		CORP			.0111		(Checl	k all applicable	e)	
(Last) 6 CANOPY	(Month/	3. Date of Earliest Transaction (Month/Day/Year) 11/11/2013				X Director 10% Owner X Officer (give title Other (specify below)				
0 0711101 1	LINE	11/11/.	2013					esident/CEO		
	(Street)		nendment, Da onth/Day/Year	_	1		6. Individual or Jo Applicable Line) _X_ Form filed by C	One Reporting Pe	rson	
BALLSTO	N LAKE, NY 120	19					Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip) Tal	ble I - Non-D	<b>D</b> erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution I		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)  7. Nature Beneficia Ownershi (Instr. 4)			
			Code V	Amount		Price	(Instr. 3 and 4)			
Common Stock - \$.33 1/3 par value	11/11/2013		A	300	A	\$ 15.95	300	D		
Common Stock - \$.33 1/3 par value							700	D (1)		
Common Stock - \$.33 1/3 par value							2,355	I	ESOP Trust	

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(e.g., puts, calls, warrants, options, convertible securities)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I De Sec (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 15.95	11/11/2013		D	300	08/03/2011	08/03/2013	Common Stock	300	\$

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>Fg</b>	Director	10% Owner	Officer	Other			
St Pierre Mark Francis 6 CANOPY LANE BALLSTON LAKE, NY 12019	X		President/CEO				

# **Signatures**

/s/ Mark F. St.
Pierre 11/12/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) IRA Account

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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