Edgar Filing: PROVIDENT FINANCIAL HOLDINGS INC - Form 4

PROVIDENT FINANCIAL HOLDINGS INC

Form 4 May 15, 2015

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

washington, D.C. 20549

Expires: January 31, 2005

Section 16. Form 4 or Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * 2. Issu-BRUNNER-SALTER LILIAN Symbol

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

PROVIDENT FINANCIAL HOLDINGS INC [PROV]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director
__X__ Officer (give title

____ 10% Owner ____ Other (specify

3756 CENTRAL AVE.

05/14/2015

below) below) SVP & CIO

(Street)

(Middle)

(Zip)

(First)

(State)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person ____ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

RIVERSIDE, CA 92506

1.Title of Security (Month/Day/Year) 2A. Deemed Execution Date, if (Instr. 3) any (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 7. Nature of Securities Ownership Indirect Beneficially Form: Direct Beneficial Ownership Owned (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4)

(A) or

Transaction(s)
(Instr. 3 and 4)

or (Instr. 3 a

Common

\$0.01

Stock, Par Value 05/14/2015 S 15,000 D

\$ 45,896 <u>(1)</u>

<u>1)</u> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities Acquired			(Instr. 3	3 and 4)		Own
	Security										Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manuat		
									Amount		
					Date	Date	Expiration	Or Title Nesselves			
						Exercisable Date	Date		Number		
				C + V	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

BRUNNER-SALTER LILIAN 3756 CENTRAL AVE.

RIVERSIDE, CA 92506

SVP & CIO

Signatures

/s/Lilian Salter 05/14/2015 **Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The ownership of 45,896 shares includes 12,500 share restricted stock award granted on September 30, 2014 from the 2010 Equity Incentive Plan with 6,250 shares vesting on September 30, 2016 and 6,250 shares vesting on September 30, 2018; the 5,000 shares from the restricted stock award granted on June 20, 2011 from the 2010 Equity Incentive Plan vesting on June 20, 2015; and, indirect ownership of 7,396 shares in Ms. Salter's Employee Stock Ownership Plan account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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