**UNS Energy Corp** Form 4 August 19, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* ALDRICH LAWRENCE J

(First) (Middle) (Last)

88 E. BROADWAY BLVD., HQE910

1.Title of

Security

(Instr. 3)

(Street)

TUCSON, AZ 85701

(Month/Day/Year)

2. Issuer Name and Ticker or Trading

Symbol

UNS Energy Corp [UNS]

3. Date of Earliest Transaction (Month/Day/Year)

08/15/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

X\_ Director 10% Owner Other (specify Officer (give title

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date 2A. Deemed 3. 4. Securities TransactionAcquired (A) or Execution Date, if

Code Disposed of (D) (Month/Day/Year) (Instr. 8)

(Instr. 3, 4 and 5)

(A)

or

Beneficially Owned Following Reported Transaction(s)

Securities

5. Amount of (I) (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

(Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	08/15/2014		D	843.55 (2)	(3)	(3)	Common Stock	843.55
Restricted Stock Units	<u>(1)</u>	08/15/2014		D	999.52 (2)	(3)	(3)	Common Stock	999.52
Restricted Stock Units	(1)	08/15/2014		D	1,460.11 (2)	(3)	(3)	Common Stock	1,460.11
Restricted Stock Units	(1)	08/15/2014		D	1,456.41 (2)	(3)	<u>(3)</u>	Common Stock	1,456.41
Restricted Stock Units	(1)	08/15/2014		D	1,624.51	(3)	(3)	Common Stock	1,624.51
Restricted Stock Units	(1)	08/15/2014		D	2,019.09 (2)	(3)	(3)	Common Stock	2,019.09
Restricted Stock Units	(1)	08/15/2014		D	1,775.1 (2)	(3)	<u>(3)</u>	Common Stock	1,775.1
Restricted Stock Units	(1)	08/15/2014		D	1,525.99 (2)	(3)	(3)	Common Stock	1,525.99
Restricted Stock Units	(1)	08/15/2014		D	1,750.98 (2)	(3)	(3)	Common Stock	1,750.98
Restricted Stock Units	(1)	08/15/2014		D	904.58 (2)	(3)	(3)	Common Stock	904.58
Restricted Stock Units	(1)	08/15/2014		D	408	(3)	(3)	Common Stock	408
Restricted Stock Units	<u>(1)</u>	08/15/2014		D	405	(3)	(3)	Common Stock	405
Restricted Stock	<u>(1)</u>	08/15/2014		D	857.69 (2)	(3)	(3)	Common Stock	857.69

Units

Restricted
Stock (1) 08/15/2014 D (3) Common Stock (3) Stock 789.55

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

ALDRICH LAWRENCE J

88 E. BROADWAY BLVD.

HQE910

TUCSON, AZ 85701

## **Signatures**

Diana K. Durako, Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of UNS Energy Corporation common stock.
- (2) The reported number of derivative securities disposed of includes shares acquired by reinvesting dividends in unreported transactions pursuant to the Company's dividend reinvestment program.
  - On August 15, 2014, FortisUS Inc., a Delaware corporation, acquired the issuer pursuant to that certain merger agreement between issuer, FortisUS Inc., Color Acquisition Sub Inc., an Arizona corporation and wholly owned subsidiary of FortisUS Inc., and solely for purposes of Section 5.5(c) and 8.15 of the merger agreement, Fortis Inc., a corporation incorporated under the Corporations Act of Newfoundland
- (3) and Labrador, dated as of December 11, 2013 (the "Merger"). At the effective time of the Merger, each outstanding share of the issuer's common stock was converted into the right to receive \$60.25 in cash. In addition, the restricted stock units were canceled at the effective time of the Merger in exchange for a cash payment equal to \$60.25 per share, payable without interest and less any required withholding taxes.
- (4) The restricted stock units were canceled at the effective time of the Merger in exchange for a cash payment equal to \$60.25 per share, payable without interest and less any required witholding taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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