Edgar Filing: UNS Energy Corp - Form 4

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UNS Energy Form 4	y Corp									
August 19, 2	2014									
FORM	14							OMB AF	PROVAL	
	UNITED	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							3235-0287 January 31,	
Check th if no lon	ger STATEN									
subject t Section	0 16.			Estimated a burden hour	rs per					
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								0.5		
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> Ries Catherine E			2. Issuer Name an ymbol		rading	>	5. Relationship of Reporting Person(s) to Issuer			
		U	JNS Energy Co	rp[UNS]			(Check	all applicable)	
(Last) (First) (Middle) 88 E. BROADWAY			3. Date of Earliest Transaction (Month/Day/Year) 08/15/2014				Director 10% Owner X_ Officer (give title Other (specify below) below)			
						l	· · · · · · · · · · · · · · · · · · ·	ce President		
	(Street)		. If Amendment, D	-			6. Individual or Joi	nt/Group Filin	g(Check	
TUCSON, AZ 85701			iled(Month/Day/Yea	ur)		-	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
		(7:)					Person			
(City)	(State)	(Zip)	Table I - Non-	Derivative S	ecurit	ies Acqui	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/	n Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)				 A) 5. Amount of Securities Beneficially Owned Following Reported 	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	08/15/2014		D	633.332	D	\$ 60.25 (1)	0	Ι	By 401(k) Plan	
Common Stock	08/15/2014		D	4,628	D	\$ 60.25	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDeri Secu Acqu Disp	umber of vative irities uired (A) or oosed of (D) r. 3, 4, and	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0 <u>(2)</u>	08/15/2014		D		572.3511 <u>(3)</u>	<u>(1)</u>	<u>(1)</u>	Common Stock	572.351
Restricted Stock Units	\$ 0 <u>(2)</u>	08/15/2014		D		602.9869 (3)	<u>(1)</u>	<u>(1)</u>	Common Stock	602.9869

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Ries Catherine E 88 E. BROADWAY TUCSON, AZ 85701			Vice President					
Signatures								
Diana K. Durako, Attorney in Fact		08/19/2014						
<u>**Signature of Reporting Person</u>		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On August 15, 2014, FortisUS Inc., a Delaware corporation, acquired the issuer pursuant to that certain merger agreement between issuer, FortisUS Inc., Color Acquisition Sub Inc., an Arizona corporation and wholly owned subsidiary of FortisUS Inc., and solely for purposes of Section 5.5(c) and 8.15, Fortis Inc., a corporation incorporated under the Corporations Act of Newfoundland and Labrador, dated as of

- (1) Of section 5.5(c) and 8.15, Fortis Inc., a corporation incorporated under the Corporations Act of Newfoundulated and Labradol, dated as of December 11, 2013 (the "Merger"). At the effective time of the Merger, each outstanding share of the issuer's common stock was converted into the right to receive \$60.25 in cash. In addition, the restricted stock units were canceled at the effective time of the Merger in exchange for a cash payment equal to \$60.25 per share, payable without interest and less any required withholding taxes.
- (2) Each restricted stock unit represents a contingent right to receive one share of UNS Energy Corporation common stock.
- (3) The reported number of derivative securities disposed of includes shares acquired by reinvesting dividends in unreported transactions pursuant to the Company's dividend reinvestment program.
- (4) The restricted stock units were canceled at the effective time of the Merger in exchange for a cash payment equal to \$60.25 per share, payable without interest and less any required withholding taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.