Edgar Filing: SUPERIOR INDUSTRIES INTERNATIONAL INC - Form 4

SUPERIOR INDUSTRIES INTERNATIONAL INC

Form 4 May 13, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

(First)

Pallagi Shawn

(Last)

(City)

(Instr. 3)

2. Issuer Name and Ticker or Trading

Symbol

SUPERIOR INDUSTRIES INTERNATIONAL INC [SUP]

3. Date of Earliest Transaction

(Month/Day/Year) 26600 TELEGRAPH ROAD, SUITE 05/11/2016

(Middle)

(Zip)

400

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

(State)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

Director 10% Owner X_ Officer (give title Other (specify below) below)

SVP, Chief HR Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SOUTHFIELD, MI 48033

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(A)

or

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed

5. Number

6. Date Exercisable and (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date

7. Title and Amount of 8. Pr Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	(A) or Dispos (D)	Acquired (A) or Disposed of (D) (Instr. 3, 4,		/Year)	(Instr. 3 and 4	4)	Secu (Inst
				Code V	V (A)	(D)) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	(1)	05/11/2016		A	1,511	1	(2)	(2)	Common Stock	1,511	\$
Restricted Stock Unit	<u>(1)</u>	05/11/2016		A	2,266	5	<u>(3)</u>	(3)	Common Stock	2,266	\$

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Pallagi Shawn 26600 TELEGRAPH ROAD SUITE 400 SOUTHFIELD, MI 48033

SVP, Chief HR Officer

Signatures

/s/ Kerry A. Shiba, attorney-in-fact

05/13/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of common stock of Superior Industries International, Inc.
- (2) The restricted stock units vest and settle in stock in two equal installments on March 6, 2017 and March 6, 2018, respectively.
- (3) The restricted stock units vest and settle in stock in three approximately equal installments on March 7, 2017, March 7, 2018 and March 7, 2019, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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