

Edgar Filing: VOGEL CARL E - Form 4

VOGEL CARL E
Form 4
July 01, 2002

OMB APPROVAL

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5
obligations may continue. See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person*

Vogel Carl E.

(Last) (First) (Middle)

c/o Charter Communications, Inc. 12405 Powerscourt Drive

(Street)

St. Louis MO 63131

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Charter Communications, Inc. -CHTR

3. IRS or Social Security Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year

June 2002

5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

President and chief Executive Officer

7. Individual or Joint/Group Filing (Check Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
		Code	V	Amount	(A) or (D)	Price
Class A Common Stock	6/21/02	P		5,000	A	\$4.65
Class A Common Stock	6/21/02	P		5,000	A	4.60
Class A Common Stock	6/21/02	P		5,000	A	4.58
Class A Common Stock	6/21/02	P		10,000	A	4.55
Class A Common Stock	6/21/02	P		10,000	A	4.50
Class A Common Stock	6/21/02	P		5,000	A	4.45
Class A Common Stock	6/21/02	P		4,100	A	4.62
Class A Common Stock	6/21/02	P		3,500	A	4.59
Class A Common Stock	6/21/02	P		2,400	A	4.57

(1) Total number of Class A Common Stock owned at the end of the month includes 37,500 restricted stock granted to the reporting person pursuant to the 2001 Stock Incentive Plan which vest in 36 equal monthly installments commencing November 1, 2002, conditioned upon the grantee's continuous employment with

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Charter Communications, Inc.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b) (v).

1 of 2

(OVER)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
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5.75% Convertible Sr. Notes	21.56	6/21/02	P	500,000	Immed.	10/15/05	Common Stock	23,191
5.75% Convertible Sr. Notes	21.56	6/21/02	P	250,000	Immed.	10/15/05	Common Stock	11,595

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Explanation of Responses:

- (1) Badger Investments LLLP, a family limited liability limited partnership.
- (2) Total number of derivative securities beneficially owned at the end of the month.

/s/ Carl E. Vogel

June 28, 2002

Carl E. Vogel

Date

**Signature of Reporting Person

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.