

Edgar Filing: HOWELL DAVID S - Form 4

HOWELL DAVID S
Form 4
August 10, 2001

FORM 4

U.S. SECURITIES AND EXCHANGE COMMISSION

FORM 4 Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|--|---------|----------|--|--|----------------|
| 1. Name and Address of Reporting Person* | | | 2. Issuer Name and Ticker or Trading Symbol | | 6. |
| | | | THERMOGENESIS CORP ("KOOL") | | (Ch x -- |
| Howell, | David | | | | |
| ----- | ----- | ----- | ----- | | |
| (Last) | (First) | (Middle) | 3. I.R.S. Identification Number of Reporting Person, if an Entity (Voluntary) | 4. Statement for (Month/Year) 7/2001 | |
| C/O THERMOGENESIS CORP 3146 GOLD CAMP DRIVE | | | | | |
| ----- | | | ----- | | |
| (Street) | | | -- | | 7. I |
| RANCHO CORDOVA, CA 95670 | | | 5. If Amendment, Date of Original (Month/Year) N/A | | X F -- F |
| ----- | | | ----- | | |
| (City) | (State) | (Zip) | | | |

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

| | | | | |
|------------|------------------|------------------|-------------------------------------|-----------------|
| 1. Title / | 2. Transaction / | 3. Transaction / | 4. Securities Acquired (A) / | 5. Amount of |
| of / | Date / | Code / | or Disposed (D) (Instr.3,4,and 5) / | Securities |
| Security / | (Mo/Day/Yr) / | (Instr. 8) / | | Beneficially Ow |
| (Inst.3) / | / | / | -----/ | at End of Mont |
| / | / | Code / V / | Amount / (A) or (D) / Price / | (Instr. 3 |

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/ / / / / / / and 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the reporting person.
 *If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED
 (e.g., puts, calls, warrants, options, convertible securities)

| Title of Derivative Security (Instr. 3) | Conversion/Exercise Price of Derivative Security | Trans- action Date (Mo./Day/Year) | Trans- action Code (Instr. 8) | Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | Date Exercisable and Expiration Date (Month/Day/year) | Title and Amount of Underlying Securities (Instr. 3 and 4) | Price of Derivative Security (Instr. 5) |
|---|--|-----------------------------------|-------------------------------|---|---|--|---|
| Options to Purchase Common Stock | \$2.16 | July 27, 2001 | A | V 25,000 | July 27, 2001, July 27, 2006 | Common Stock | 25,000 |

Explanation of Responses:

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

/s/ DAVID HOWELL

08/10/01

David Howell
 **Signature of Reporting Person

Date

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.