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COELHO PHILIP H

Form 4 November 13, 2001

FORM 4

U.S. SECURITIES AND EXCHANGE COMMISSION

FORM 4 Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject |_| to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol

THERMOGENESIS CORP ("KOOL")

COELHO, PHILIP H.

C/O THERMOGENESIS CORP

(Last) (First) (Middle)

3. I.R.S. 4. Statement for Identification (Month/Year) Number of Reporting Person, if an

Entity (Voluntary)

10/2001 _____

3146 GOLD CAMP DRIVE

(Street)

5. If Amendment, Date of Original (Month/Year)

RANCHO CORDOVA, CA 95670 _____ (State) (City) (Zip)

N/A

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

1. Title / 2. Transaction / 3. Transaction / 4. Securities Acquired (A) / 5. Amount of of / Date / Code / or Disposed (D) (Instr.3,4, and 5) / Securities Security / (Mo/Day/Yr) / (Instr. 8) / / Beneficially Ow

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(Inst.3) /	,		/ (A) or (D) /		at End of Mon (Instr. 3
/	/ J		/ / / / / /	/	and 4
Common 10/26/01 Stock	D(1)	200,000	D \$	2.125	193 , 705
Reminder: Report on a set *If the form is filed by	_			_	directly or
2					
FORM 4 (continued)					
TABLE II - DERIVATIVE (e.g., puts, ca		•	OF, OR BENEFICIALL tible securities)		
Title / Conversion/	Trans- / Trans-	/ Nature of /	 Date /	Title	/Price of
of / of /	action / action	/ Derivative/	Exercisable /	and	/ Deriv-
Derivative/ Exercise /	Date / Code	/ Securities/	and /	Amount	/ ative
Security / Price of /		-	*		/ Secur-
(Instr. 3)/ Derivative/	_	_		Under-	_
/ Security /	· ·		·	lying	
/ /	/	/ (Instr. 3,/			/ 5)
/ /	/	/ 4 and 5) /	/	(/
/ /	/	/	/	and 4)	/
/ /	/	//7) //D) /	Data / E: /	T:+1-/7	/
/ /			Date / Expir-/ Exer- / ation /		. /
/ /	/ /		cisable/ Date /		, /
/ /	, , , 	/ / / 		/ 51141 € 5	· / :=======

Explanation of Responses:

(1) The Compensation Committee of the Board of Directors, in accordance with Rule 16b-3 (e), approved the cancellation of the 200,000 shares received by Mr. Coehlo upon exercise of options and cancellation of the promissory note issued as payment for those shares.

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

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Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.