THERMOGENESIS CORP
Form 3
January 24, 2002

FORM 3

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person | 2. Date of Event Requiring Statement (Month/Day/Year) | 4. Issuer Name and Ti |
|---|---|---|
| CAPE, EDWARD G. | 12/31/01 | T |
| (Last) (First) (Middle) | 3. IRS or Social Security Number of Reporting Person (Voluntary) | 5. Relationship of Re to Issuer |
| c/o THERMOGENESIS CORP. 3146 Gold Camp Drive | | (Check all applica |
| | | X Director --- 1 |
| | | X Officer --- O |
| (Street) | | (give title(Specif below) |
| | | EXECUTIVE VICE-PRESI |
| | | CORPORATE STRAT |
| Rancho Cordova, California 95670 | | |
| (City) (State) (Zip) | | |

Table 1 - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: D Indirect (I) (Inst |
|---|---|---|
| | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Over)

2

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price Derivative Security | 5. Ownership F Derivative Direct (D) Indirect (I (Instr. 5) |
|---|---|---|---|---|---|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |
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Explanation of Responses:

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ EDWARD G.
**Signature o

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, See Instruction 6 for procedure.

EDWARD G. CAP