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MSC INDUSTRIAL DIRECT CO INC

Form 3

April 11, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement MSC INDUSTRIAL DIRECT CO INC [MSM] BARUCH STEVEN N (Month/Day/Year) 03/31/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O MSC INDUSTRIAL (Check all applicable) DIRECT CO., INC. (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting **SVP, STRATEGY &** Person MELVILLE, NYÂ 11747 MARKETING Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Class A Common Stock, \$0.001 par value D 5,221 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 1. Title of Derivative Security 2. Date Exercisable and 5. 6. Nature of Indirect Securities Underlying (Instr. 4) **Expiration Date** Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: Title Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units (1)	(2)	(2)	Class A Common Stock, \$0.001 par value	1,867	\$ <u>(1)</u>	D	Â
Dividend Equivalent Unit	(3)	(3)	Class A Comon Stock, \$0.001 par value	26.328	\$ <u>(3)</u>	D	Â
Options (right to buy) (4)	(5)	10/23/2019	Class A Common Stock, \$.001 par value	5,404	\$ 69.46	D	Â
Options (right to buy) (4)	(6)	10/22/2020	Class A Common Stock, \$.001 par value	5,523	\$ 81.76	D	Â
Options (right to buy) (4)	(7)	10/18/2022	Class A Common Stock, \$.001 par value	11,207	\$ 58.9	D	Â
Options (right to buy) (4)	(8)	10/21/2021	Class A Common Stock, \$.001 par value	7,369	\$ 83.03	D	Â
Options (right to buy) (4)	(9)	10/18/2017	Class A Common Stock, \$.001 par value	2,000	\$ 54.52	D	Â
Options (right to buy) (4)	(10)	10/20/2018	Class A Common Stock, \$.001 par value	4,682	\$ 66.69	D	Â

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer

Other

BARUCH STEVEN N C/O MSC INDUSTRIAL DIRECT CO., INC. Â Â SVP, STRATEGY & MARKETING MELVILLE, NYÂ 11747

Signatures

/s/ Steven Baruch 04/11/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit ("RSU") represents a contingent right to receive one share of the Issuer's common stock.
- 373 of these RSUs will vest on each of October 19, 2016, October 19, 2017 and October 19, 2018. An additional 374 of these RSUs will vest on each of October 19, 2019 and October 19, 2020, provided that the Reporting Person remains continuously employed by the Issuer through each applicable vesting date. The vested shares will be delivered to the Reporting Person upon vesting.
- The dividend equivalent units accrued with respect to an outstanding award of restricted stock units (RSUs) and vest at the same time(s) as the underlying RSUs. Each dividend equivalent unit represents a contingent right to receive one share of the Issuer's Class A Common Stock.
- (4) Represents options to purchase the Issuer's Class A Common Stock, \$0.001 par value ("Common Stock").
- An option to purchase 5,404 shares of the Issuer's Common Stock was issued to the Reporting Person under the Issuer's 2005 Omnibus (5) Incentive Plan. 1,351 shares of Common Stock became exercisable on each of October 24, 2013, October 24, 2014 and October 24, 2015. An additional 1,351 shares of Common Stock become exercisable on October 24, 2016.
- An option to purchase 5,523 shares of the Issuer's Common Stock was issued to the Reporting Person under the Issuer's 2005 Omnibus (6) Incentive Plan. 1,380 and 1,381 shares of Common Stock became exercisable on each of October 23, 2014 and October 23, 2015. An additional 1,381 shares of Common Stock become exercisable on each of October 23, 2016 and October 23, 2017.
- An option to purchase 11,207 shares of the Issuer's Common Stock was issued to the Reporting Person under the Issuer's 2015 Omnibus (7) Incentive Plan. 2,801 shares of Common Stock become exercisable on October 19, 2016 and an additional 2,802 become exercisable on each of October 19, 2017, October 19, 2018 and October 19, 2019.
- An option to purchase 7,369 shares of the Issuer's Common Stock was issued to the Reporting Person under the Issuer's 2005 Omnibus (8) Incentive Plan. 1,842 shares of Common Stock became exercisable on October 22, 2015. 1,842 shares of Common Stock become exercisable on each of October 22, 2016 and October 22, 2017 and an additional 1,843 become exercisable on October 22, 2018.
- An option to purchase 5,730 shares of the Issuer's Common Stock was issued to the Reporting Person under the Issuer's 2005 Omnibus

 Incentive Plan. 1,432 shares of Common Stock became exercisable on each of October 19, 2011 and October 19, 2013. An additional
 1,433 shares of Common Stock became exercisable on each of October 19, 2012 and October 19, 2014. The Reporting Person
 previously purchased 3,730 shares of the Issuer's Common Stock pursuant to the exercise of a portion of the option.
- An option to purchase 4,682 shares of the Issuer's Common Stock was issued to the Reporting Person under the Issuer's 2005 Omnibus (10) Incentive Plan. 1,170 shares of Common Stock became exercisable on each of October 21, 2012 and October 21, 2014. An additional 1,171 shares of Common Stock became exercisable on each of October 21, 2013 and October 21, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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