

Edgar Filing: NICHOLAS APPEGATE CONVERTIBLE & INCOME FUND II - Form NSAR-B

NICHOLAS APPEGATE CONVERTIBLE & INCOME FUND II

Form NSAR-B

April 21, 2010

PAGE 1  
000 B000000 02/28/2010  
000 C000000 0001227857  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 NICHOLAS APPEGATE CONVERTIBLE & INCOME FD II  
001 B000000 811-21338  
001 C000000 2127393371  
002 A000000 1345 AVENUE OF AMERICAS  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10105  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-57798  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10105  
008 A000002 NICHOLAS-APPEGATE CAPITAL MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-21442  
008 D010002 SAN DIEGO  
008 D020002 CA  
008 D030002 92101  
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
010 B000001 801-57798  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10105  
012 A000001 PNC GLOBAL INVESTMENT SERVICING  
012 B000001 84-58023  
PAGE 2  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK

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013 B020001 NY  
013 B030001 10017  
014 A000002 NICHOLAS-APPEGATE SECURITIES LLC  
014 B000002 8-045406  
014 A000003 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC  
014 B000003 8-041811  
014 A000004 ALLIANZ LIFE FINANCIAL SERVICES LLC  
014 B000004 8-013630  
014 A000005 QUESTAR CAPITAL CORP.  
014 B000005 8-050174  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 78  
019 C000000 ALLIANZGLO  
020 A000001 WELLS FARGO & CO.  
020 B000001 41-1508325  
020 C000001 19  
020 A000002 INSTINET  
020 B000002 13-2625132  
020 C000002 16  
020 A000003 THE GOLDMAN SACHS GROUP, INC.  
020 B000003 13-4019460  
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020 A000004 KEYBANC CAPITAL MARKETS, INC.  
020 B000004 34-1391952  
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020 A000005 JEFFERIES & COMPANY, INC.  
020 B000005 95-4719745  
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020 A000006 BANK OF AMERICA CORP.  
020 B000006 56-0906609  
020 C000006 9  
020 A000007 CITIGROUP, INC.  
020 B000007 52-1568099  
020 C000007 9  
020 A000008 WILLIAM BLAIR & CO., LLC  
020 B000008 36-2214610  
020 C000008 8  
020 A000009 JONESTRADING INSTITUTIONAL SECURITIES LLC  
PAGE 3  
020 B000009 51-0484896  
020 C000009 8  
020 A000010 LAZARD FRERES & CO., LLC  
020 B000010 13-5545100  
020 C000010 7  
021 000000 212  
022 A000001 BANK OF AMERICA CORP.  
022 B000001 56-0906609  
022 C000001 69197  
022 D000001 21643  
022 A000002 CREDIT SUISSE GROUP  
022 B000002 13-5659485  
022 C000002 51208  
022 D000002 32832  
022 A000003 THE GOLDMAN SACHS GROUP, INC.  
022 B000003 13-4019460

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022 C000003 30152  
 022 D000003 22922  
 022 A000004 JPMORGAN CHASE & CO.  
 022 B000004 13-2624428  
 022 C000004 40542  
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 022 A000005 BARCLAYS CAPITAL  
 022 B000005 05-0346412  
 022 C000005 18061  
 022 D000005 32054  
 022 A000006 CITIGROUP INC.  
 022 B000006 52-1568099  
 022 C000006 31930  
 022 D000006 13686  
 022 A000007 MORGAN STANLEY & CO., INC.  
 022 B000007 13-2655998  
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 022 D000007 12914  
 022 A000008 DEUTSCHE BANK SECURITIES, INC.  
 022 B000008 13-2730828  
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 022 D000008 12979  
 022 A000009 WELLS FARGO & CO.  
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 022 D000009 8564  
 022 A000010 BROADPOINT CAPITAL, INC.  
 022 B000010 11-2657332  
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 023 C000000 332042  
 023 D000000 239082  
 024 000000 Y  
 025 A000001 BANK OF AMERICA CORP.  
 025 B000001 56-0906609  
 PAGE 4  
 025 C000001 E  
 025 D000001 24425  
 025 A000002 CREDIT SUISSE GROUP  
 025 B000002 13-5659485  
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 025 D000002 20893  
 025 A000003 JPMORGAN CHASE & CO.  
 025 B000003 13-2624428  
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 025 A000004 WELLS FARGO & CO.  
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 025 A000005 CITIGROUP, INC.  
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 025 D000006 2583  
 025 D000007 0  
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 026 A000000 N  
 026 B000000 N

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| 026 | D000000 | Y     |   |
| 026 | E000000 | N     |   |
| 026 | F000000 | N     |   |
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| 026 | G020000 | N     |   |
| 026 | H000000 | N     |   |
| 027 | 000000  | N     |   |
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| 028 | A020000 |       | 0 |
| 028 | A030000 |       | 0 |
| 028 | A040000 |       | 0 |
| 028 | B010000 |       | 0 |
| 028 | B020000 |       | 0 |
| 028 | B030000 |       | 0 |
| 028 | B040000 |       | 0 |
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| 028 | C040000 |       | 0 |
| 028 | D010000 |       | 0 |
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| 028 | D030000 |       | 0 |
| 028 | D040000 |       | 0 |
| 028 | E010000 |       | 0 |
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| 028 | E030000 |       | 0 |
| 028 | E040000 |       | 0 |
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| 030 | C000000 | 0.00  |   |
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| 045 | 000000  | Y     |   |
| 046 | 000000  | N     |   |
| 047 | 000000  | Y     |   |
| 048 | 000000  | 0.700 |   |

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|     |         |       |
|-----|---------|-------|
| 048 | A010000 | 0     |
| 048 | A020000 | 0.000 |
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| 048 | B020000 | 0.000 |
| 048 | C010000 | 0     |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0     |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0     |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0     |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0     |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0     |
|     | PAGE 6  |       |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0     |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0     |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0     |
| 048 | K020000 | 0.000 |
| 049 | 000000  | N     |
| 050 | 000000  | N     |
| 051 | 000000  | N     |
| 052 | 000000  | N     |
| 053 | A000000 | N     |
| 054 | A000000 | Y     |
| 054 | B000000 | Y     |
| 054 | C000000 | N     |
| 054 | D000000 | N     |
| 054 | E000000 | N     |
| 054 | F000000 | N     |
| 054 | G000000 | Y     |
| 054 | H000000 | Y     |
| 054 | I000000 | N     |
| 054 | J000000 | Y     |
| 054 | K000000 | N     |
| 054 | L000000 | N     |
| 054 | M000000 | Y     |
| 054 | N000000 | N     |
| 054 | O000000 | N     |
| 055 | A000000 | N     |
| 055 | B000000 | N     |
| 056 | 000000  | Y     |
| 057 | 000000  | N     |
| 058 | A000000 | N     |
| 059 | 000000  | Y     |
| 060 | A000000 | Y     |
| 060 | B000000 | Y     |
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| 062 | C000000 | 0.0   |
| 062 | D000000 | 0.0   |
| 062 | E000000 | 0.0   |
| 062 | F000000 | 0.0   |
| 062 | G000000 | 0.0   |
| 062 | H000000 | 0.0   |
| 062 | I000000 | 0.0   |
| 062 | J000000 | 0.3   |

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062 K000000 0.0  
 062 L000000 0.0  
 062 M000000 0.0  
 062 N000000 0.0  
 062 O000000 0.0

PAGE 7

062 P000000 47.5  
 062 Q000000 23.7  
 062 R000000 26.5  
 063 A000000 0  
 063 B000000 7.3

064 A000000 N

064 B000000 Y

065 000000 N

066 A000000 N

067 000000 N

068 A000000 N

068 B000000 N

069 000000 N

070 A010000 Y

070 A020000 N

070 B010000 Y

070 B020000 N

070 C010000 Y

070 C020000 N

070 D010000 Y

070 D020000 N

070 E010000 Y

070 E020000 N

070 F010000 Y

070 F020000 N

070 G010000 Y

070 G020000 N

070 H010000 Y

070 H020000 N

070 I010000 N

070 I020000 N

070 J010000 Y

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070 N010000 Y

070 N020000 N

070 O010000 Y

070 O020000 N

070 P010000 N

070 P020000 N

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070 R010000 Y

070 R020000 N

071 A000000 388169

071 B000000 400449

PAGE 8

071 C000000 669691

071 D000000 58

072 A000000 12

072 B000000 55565

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| 072 K000000 | 0      |
| 072 L000000 | 126    |
| 072 M000000 | 62     |
| 072 N000000 | 0      |
| 072 O000000 | 0      |
| 072 P000000 | 0      |
| 072 Q000000 | 0      |
| 072 R000000 | 114    |
| 072 S000000 | 89     |
| 072 T000000 | 0      |
| 072 U000000 | 0      |
| 072 V000000 | 0      |
| 072 W000000 | 557    |
| 072 X000000 | 5940   |
| 072 Y000000 | 0      |
| 072 Z000000 | 59297  |
| 072AA000000 | 0      |
| 072BB000000 | 94702  |
| 072CC010000 | 322932 |
| 072CC020000 | 0      |
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| 073 C000000 | 0.0000 |
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| 074 L000000 | 11992  |
| PAGE 9      |        |
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| 074 R010000 | 0      |
| 074 R020000 | 0      |
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| 074 R040000 | 5360   |
| 074 S000000 | 274000 |
| 074 T000000 | 487130 |
| 074 U010000 | 60727  |
| 074 U020000 | 0      |
| 074 V010000 | 8.02   |

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| 077 | B000000 | Y                                     |
| 077 | C000000 | Y                                     |
| 077 | D000000 | N                                     |
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| 077 | G000000 | N                                     |
| 077 | H000000 | N                                     |
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| 077 | M000000 | N                                     |
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| 077 | O000000 | N                                     |
| 077 | P000000 | N                                     |
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| 077 | Q020000 | N                                     |
| 077 | Q030000 | N                                     |
| 078 | 000000  | N                                     |
| 080 | A000000 | GREAT AMERICAN INSURANCE GROUP        |
| 080 | B000000 | NATIONAL UNION FIRE INSURANCE COMPANY |
| 080 | C000000 | 57500                                 |
| 081 | A000000 | Y                                     |
| 081 | B000000 | 77                                    |
| 082 | A000000 | Y                                     |
| 082 | B000000 | 250                                   |
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| 086 | C020000 | 0                                     |
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| 086 | E010000 | 0                                     |
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| 087 | A020000 | 65370G109                             |
| 087 | A030000 | NCZ                                   |
| 087 | B010000 | PREFERRED SHARES SERIES A             |
| 087 | B020000 | 65370G208                             |
| 087 | C010000 | PREFERRED SHARES SERIES B             |
| 087 | C020000 | 65370G307                             |
| 088 | A000000 | N                                     |
| 088 | B000000 | N                                     |

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088 C000000 N

088 D000000 Y

SIGNATURE LAWRENCE ALTADONNA

TITLE TREASURER & CEO