

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

SWISS HELVETIA FUND, INC.

Form NSAR-A

August 24, 2010

PAGE 1  
000 A000000 06/30/2010  
000 C000000 0000813623  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE SWISS HELVETIA FUND, INC.  
001 B000000 811-05128  
001 C000000 8887947700  
002 A000000 1270 AVENUE OF THE AMERICAS SUITE 400  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10020  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 HOTTINGER CAPITAL CORP.  
008 B000001 A  
008 C000001 801-30025  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10020  
010 A000001 CITI FUND SERVICES OHIO, INC.  
010 B000001 85-11408  
010 C010001 COLUMBUS  
010 C020001 OH  
010 C030001 43219  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
014 A000001 HOTTINGER & CIE SA  
014 B000001 8-062959  
015 A000001 Citibank, Inc.  
015 B000001 C  
015 C010001 New York  
015 C020001 NY  
015 C030001 10043  
015 E010001 X  
PAGE 2  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 Deutsche bank  
020 B000001 14-348532  
020 C000001 106

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|     |         |                          |  |
|-----|---------|--------------------------|--|
| 020 | A000002 | KEPLER EQUITIES          |  |
| 020 | C000002 | 76                       |  |
| 020 | A000003 | MERRILL LYNCH            |  |
| 020 | B000003 | 13-3180818               |  |
| 020 | C000003 | 71                       |  |
| 020 | A000004 | HELVEA INC               |  |
| 020 | C000004 | 68                       |  |
| 020 | A000005 | NEUE ZURCHER BANK        |  |
| 020 | C000005 | 64                       |  |
| 020 | A000006 | HOTTINGER & CIE          |  |
| 020 | C000006 | 63                       |  |
| 020 | A000007 | MORGAN STANLEY & CO INC. |  |
| 020 | B000007 | 13-2655998               |  |
| 020 | C000007 | 46                       |  |
| 020 | A000008 | MAINFIRST BANK           |  |
| 020 | C000008 | 40                       |  |
| 020 | A000009 | VONTOBEL SECURITIES LTD  |  |
| 020 | C000009 | 36                       |  |
| 020 | A000010 | ca cheuvreux             |  |
| 020 | C000010 | 23                       |  |
| 021 | 000000  | 632                      |  |
| 022 | A000001 | HOTTINGER & CIE          |  |
| 022 | C000001 | 14122                    |  |
| 022 | D000001 | 0                        |  |
| 022 | A000002 | CITIBANK                 |  |
| 022 | B000002 | 13-3502968               |  |
| 022 | C000002 | 6154                     |  |
| 022 | D000002 | 0                        |  |
| 023 | C000000 | 20276                    |  |
| 023 | D000000 | 0                        |  |
| 024 | 000000  | N                        |  |
| 026 | A000000 | N                        |  |
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| 026 | C000000 | N                        |  |
| 026 | D000000 | Y                        |  |
| 026 | E000000 | N                        |  |
| 026 | F000000 | Y                        |  |
| 026 | G010000 | N                        |  |
| 026 | G020000 | N                        |  |
| 026 | H000000 | Y                        |  |
| 027 | 000000  | N                        |  |
| 028 | A010000 | 0                        |  |
| 028 | A020000 | 0                        |  |
| 028 | A030000 | 0                        |  |
| 028 | A040000 | 0                        |  |
|     | PAGE    | 3                        |  |
| 028 | B010000 | 0                        |  |
| 028 | B020000 | 0                        |  |
| 028 | B030000 | 0                        |  |
| 028 | B040000 | 0                        |  |
| 028 | C010000 | 0                        |  |
| 028 | C020000 | 0                        |  |
| 028 | C030000 | 0                        |  |
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| 028 | D010000 | 0                        |  |
| 028 | D020000 | 0                        |  |
| 028 | D030000 | 0                        |  |
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| 028 | E010000 | 0                        |  |
| 028 | E020000 | 0                        |  |
| 028 | E030000 | 0                        |  |
| 028 | E040000 | 0                        |  |

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|     |         |        |
|-----|---------|--------|
| 028 | F010000 | 0      |
| 028 | F020000 | 0      |
| 028 | F030000 | 0      |
| 028 | F040000 | 0      |
| 028 | G010000 | 0      |
| 028 | G020000 | 0      |
| 028 | G030000 | 0      |
| 028 | G040000 | 0      |
| 028 | H000000 | 0      |
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| 030 | B000000 | 0.00   |
| 030 | C000000 | 0.00   |
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| 031 | B000000 | 0      |
| 032 | 000000  | 0      |
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| 035 | 000000  | 0      |
| 036 | B000000 | 0      |
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| 042 | B000000 | 0      |
| 042 | C000000 | 0      |
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| 042 | F000000 | 0      |
| 042 | G000000 | 0      |
| 042 | H000000 | 0      |
| 043 | 000000  | 0      |
| 044 | 000000  | 0      |
| 045 | 000000  | Y      |
| 046 | 000000  | N      |
| 047 | 000000  | Y      |
| 048 | 000000  | 0.000  |
| 048 | A010000 | 60000  |
| 048 | A020000 | 1.000  |
|     | PAGE    | 4      |
| 048 | B010000 | 40000  |
| 048 | B020000 | 0.900  |
| 048 | C010000 | 100000 |
| 048 | C020000 | 0.800  |
| 048 | D010000 | 100000 |
| 048 | D020000 | 0.700  |
| 048 | E010000 | 100000 |
| 048 | E020000 | 0.650  |
| 048 | F010000 | 100000 |
| 048 | F020000 | 0.600  |
| 048 | G010000 | 100000 |
| 048 | G020000 | 0.550  |
| 048 | H010000 | 200000 |
| 048 | H020000 | 0.500  |
| 048 | I010000 | 0      |
| 048 | I020000 | 0.000  |
| 048 | J010000 | 0      |
| 048 | J020000 | 0.000  |
| 048 | K010000 | 800000 |
| 048 | K020000 | 0.450  |
| 049 | 000000  | N      |
| 050 | 000000  | N      |
| 051 | 000000  | N      |
| 052 | 000000  | N      |
| 053 | A000000 | N      |
| 054 | A000000 | Y      |

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054 B000000 N  
054 C000000 N  
054 D000000 N  
054 E000000 N  
054 F000000 N  
054 G000000 N  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 N  
054 M000000 Y  
054 N000000 N  
054 O000000 N  
055 A000000 N  
055 B000000 N  
056 000000 N  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 N  
060 B000000 N  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
PAGE 5  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
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066 B000000 N  
066 C000000 Y  
066 D000000 N  
066 E000000 N  
066 F000000 N  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 Y  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 Y  
070 C010000 Y  
070 C020000 N  
070 D010000 Y

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|       |         |   |        |
|-------|---------|---|--------|
| 070   | D020000 | N |        |
| 070   | E010000 | N |        |
| 070   | E020000 | N |        |
| 070   | F010000 | N |        |
| 070   | F020000 | N |        |
| 070   | G010000 | N |        |
| 070   | G020000 | N |        |
| 070   | H010000 | N |        |
| 070   | H020000 | N |        |
| 070   | I010000 | N |        |
| 070   | I020000 | N |        |
| 070   | J010000 | Y |        |
| 070   | J020000 | Y |        |
| 070   | K010000 | Y |        |
| 070   | K020000 | N |        |
|       | PAGE    | 6 |        |
| 070   | L010000 | Y |        |
| 070   | L020000 | Y |        |
| 070   | M010000 | Y |        |
| 070   | M020000 | Y |        |
| 070   | N010000 | Y |        |
| 070   | N020000 | N |        |
| 070   | O010000 | Y |        |
| 070   | O020000 | N |        |
| 070   | P010000 | N |        |
| 070   | P020000 | N |        |
| 070   | Q010000 | N |        |
| 070   | Q020000 | N |        |
| 070   | R010000 | N |        |
| 070   | R020000 | N |        |
| 071   | A000000 |   | 139094 |
| 071   | B000000 |   | 165691 |
| 071   | C000000 |   | 393190 |
| 071   | D000000 |   | 35     |
| 072   | A000000 | 6 |        |
| 072   | B000000 |   | 192    |
| 072   | C000000 |   | 7733   |
| 072   | D000000 |   | 0      |
| 072   | E000000 |   | 0      |
| 072   | F000000 |   | 1559   |
| 072   | G000000 |   | 145    |
| 072   | H000000 |   | 0      |
| 072   | I000000 |   | 13     |
| 072   | J000000 |   | 43     |
| 072   | K000000 |   | 0      |
| 072   | L000000 |   | 55     |
| 072   | M000000 |   | 231    |
| 072   | N000000 |   | 0      |
| 072   | O000000 |   | 0      |
| 072   | P000000 |   | 0      |
| 072   | Q000000 |   | 53     |
| 072   | R000000 |   | 23     |
| 072   | S000000 |   | 280    |
| 072   | T000000 |   | 0      |
| 072   | U000000 |   | 0      |
| 072   | V000000 |   | 0      |
| 072   | W000000 |   | 250    |
| 072   | X000000 |   | 2652   |
| 072   | Y000000 |   | 0      |
| 072   | Z000000 |   | 5273   |
| 072AA | 000000  |   | 22940  |
| 072BB | 000000  |   | 0      |

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072CC010000 0  
 072CC020000 57392  
 072DD010000 0  
 072DD020000 0  
 072EE000000 0

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073 A010000 0.0000  
 073 A020000 0.0000  
 073 B000000 0.0000  
 073 C000000 0.0000  
 074 A000000 29573  
 074 B000000 0  
 074 C000000 0  
 074 D000000 6209  
 074 E000000 3833  
 074 F000000 340591  
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 074 H000000 0  
 074 I000000 7265  
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 074 K000000 0  
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 074 M000000 60  
 074 N000000 391137  
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 074 R010000 0  
 074 R020000 0  
 074 R030000 0  
 074 R040000 487  
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 074 T000000 390403  
 074 U010000 31125  
 074 U020000 0  
 074 V010000 12.54  
 074 V020000 0.00  
 074 W000000 0.0000  
 074 X000000 638  
 074 Y000000 0  
 075 A000000 0  
 075 B000000 413881  
 076 000000 10.67

077 A000000 Y  
 077 B000000 N  
 077 C000000 Y  
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 077 F000000 N  
 077 G000000 N  
 077 H000000 N  
 077 I000000 N  
 077 J000000 N  
 077 K000000 N  
 077 L000000 N  
 077 M000000 N  
 077 N000000 N

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077 O000000 N  
 077 P000000 N  
 077 Q010000 N  
 077 Q020000 N

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|           |         |                               |       |
|-----------|---------|-------------------------------|-------|
| 077       | Q030000 | N                             |       |
| 078       | 000000  | N                             |       |
| 080       | C000000 |                               | 0     |
| 081       | B000000 |                               | 0     |
| 082       | B000000 |                               | 0     |
| 083       | B000000 |                               | 0     |
| 084       | B000000 |                               | 0     |
| 086       | A010000 |                               | 0     |
| 086       | A020000 |                               | 0     |
| 086       | B010000 |                               | 1287  |
| 086       | B020000 |                               | 14343 |
| 086       | C010000 |                               | 0     |
| 086       | C020000 |                               | 0     |
| 086       | D010000 |                               | 0     |
| 086       | D020000 |                               | 0     |
| 086       | E010000 |                               | 0     |
| 086       | E020000 |                               | 0     |
| 086       | F010000 |                               | 0     |
| 086       | F020000 |                               | 0     |
| 087       | A010000 | THE SWISS HELVETIA FUND, INC. |       |
| 087       | A020000 | 870875101                     |       |
| 087       | A030000 | SWZ                           |       |
| 088       | A000000 | N                             |       |
| 088       | B000000 | N                             |       |
| 088       | C000000 | N                             |       |
| 088       | D000000 | N                             |       |
| SIGNATURE |         | SCOTT RHODES                  |       |
| TITLE     |         | ASSISTANT TREASURER           |       |