

COLUMBUS MCKINNON CORP

Form 4

July 29, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
RABINOWITZ STEPHEN

2. Issuer Name **and** Ticker or Trading
Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

205 CROSSPOINT PARKWAY

(Street)

GETZVILLE, NY 14068

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
07/27/2016

☐ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	07/27/2016		M		757 ⁽¹⁾	A \$ 0 37,986	D
Common Stock	07/28/2016		M		380 ⁽²⁾	A \$ 0 38,366	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Restricted Stock Units	(3)	07/27/2016		M	757.3285	(4) (4)	Common Stock 1,514.65
Restricted Stock Units	(3)	07/28/2016		M	380.975	(5) (5)	Common Stock 761.95

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RABINOWITZ STEPHEN 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068	X			

Signatures

Mary C. O'Connor, Power of Attorney for Stephen Rabinowitz
07/28/2016

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 757.3285 restricted stock units became fully vested on July 27, 2016, of which 757 shares were issued as common stock and .3285 was issued in cash of \$5.41.
- (2) 380.975 restricted stock units became fully vested on July 28, 2016, of which 380 shares were issued as common stock and .975 was issued in cash of \$15.60.
- (3) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.
- (4) The number of restricted stock units was previously reported as 1,514.6483 and due to rounding, the number of fractional shares increased by .0088 for a total of 1,514.6571, of which 757.3285 units vested on July 27, 2016 and the remaining 757.3286 units become fully vested and non-forfeitable 50% on July 27, 2017 and 50% on July 27, 2018, if reporting person remains a director of issuer.
- (5) The number of restricted stock units was previously reported as 761.9456 and due to rounding, the number of fractional shares increased by .0044 for a total of 761.950, of which 380.975 units vested on July 28, 2016 and the remaining 380.975 units become fully vested and non-forfeitable on July 28, 2017, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.