

Edgar Filing: LUBRIZOL CORP - Form 5

LUBRIZOL CORP  
 Form 5  
 February 09, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

( ) Form 3 Holdings Reported  
 ( ) Form 4 Transactions Reported

1. Name and Address of Reporting Person

Mitsch, Ronald A.  
 3050 Minnesota World Trade Center  
 30 Seventh Street East  
 St. Paul, MN 55101-4001

2. Issuer Name and Ticker or Trading Symbol

The Lubrizol Corporation  
 LZ

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

12/31/00

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
 (specify below)

7. Individual or Joint/Group Reporting (Check Applicable Line)

(X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | 5. Amount of Securities Beneficially Owned at End of Year<br>Price |
|----------------------|---------------------|---------|---|--|
| Common Shares        | (1)                 | A       | 679.6435  | 15,606.0457 (2)  |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>Amount | 6. Date Exercisable and Expiration Date<br>Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities<br>Title and Number of Shares | 8. Percentage of Total Ownership |
|---------------------------------|--|---------------------|---------|--|--|--|----------------------------------|
|---------------------------------|--|---------------------|---------|--|--|--|----------------------------------|

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|  |       |   |                                |  |
|--|-------|---|--------------------------------|--|
| Director Stock Options ( \$25.7188 5/1/2 A | 2,500 | A | 5/1/2 5/1/2 Common Share 1,250 |  |
| Right to Buy)                              | 000   |   | 001  010  s                    |  |
| -----                                      |       |   |                                |  |
|  |       |   | 5/1/2 5/1/2 Common Share 625   |  |
|  |       |   | 002  010  s                    |  |
| -----                                      |       |   |                                |  |
|  |       |   | 5/1/2 5/1/2 Common Share 625   |  |
|  |       |   | 003  010  s                    |  |
| -----                                      |       |   |                                |  |

Explanation of Responses:

(1) Granted on various dates between January 1 and December 31, 2000 pursuant to Lubrizol's deferred stock compensation plan.

(2) Includes Common Shares that previously were reported on Table I as Phantom Stock Units, which are payable in Common Shares on a 1-for-1 basis.

SIGNATURE OF REPORTING PERSON

/s/ Ronald A. Mitsch by Leslie M. Reynolds

DATE

February 8, 2001