### Edgar Filing: Gelbaum David - Form 4

Gelbaum Da Form 4	avid									
September 2	23, 2010									
	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549						OMMISSION	OMB Number:	3235-0287	
Check th if no lor subject to Section Form 4 Form 5 obligation may cor <i>See</i> Insti- 1(b).	nger to 16. or Filed pursua Section 17(a) of	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       January 31, 2005         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,       Expires: 3005         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,       0.5         Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       0.5								
(Print or Type Responses)										
Gelbaum David S			2. Issuer Name <b>and</b> Ticker or Trading Symbol ENERGY FOCUS, INC/DE [EFOI]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Midd	,	3. Date of Earliest Transaction (Month/Day/Year)				DirectorX 10% Owner			
1835 NEW BLVD., A1		09/21/2010				Officer (give title Other (specify below) below)				
		-				6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person				
COSTA MESA, CA 92627								eporting		
(City)	(State) (Zip	o) Tab	le I - Non-l	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	an	ecution Date, if	Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)			(D)	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	09/21/2010		Code V S	Amount 5,070	(D) D	Price \$	(Instr. 3 and 4) 6,172,418	D		
Stock						1.7471 ¢				
Common Stock	09/22/2010		S	21,000	D	\$ 1.5759	6,151,418	D		
Common Stock	09/23/2010		S	13,000	D	\$ 1.5483	6,138,418	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control

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#### number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Tit Amou Undez Secur (Instr	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address		Relationsh	ips			
	Director	10% Owner	Officer	Other		
Gelbaum David 1835 NEWPORT BLVD. A109-PMB 467 COSTA MESA, CA 92627		Х				
Gelbaum Monica Chavez 1835 NEWPORT BLVD. A109-PMB 467 COSTA MESA, CA 92627		Х				
Quercus Trust 1835 NEWPORT BLVD. A109-PMB 467 COSTA MESA, CA 92627		Х				
Signatures						
/s/ David Gelbaum, Co-Trustee, The Quercus Trust						
	Date					
/s/ David Gelbaum, as attorney-in-fact for Monica Chavez Gelbaum, Co-Trustee, The Quercus Trust						
	Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.