Edgar Filing: AETHLON MEDICAL INC - Form 4

AETHLON M Form 4 August 01, 20	IEDICAL INC									
								OMB A	PPROVAL	
FORM	4 UNITED	STATES					E COMMISSION		3235-0287	
Check this			Washington, D.C. 20549					Expires:	January 31,	
if no longe subject to Section 16 Form 4 or	SIAIEN	IENT OI	T OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						stimated average urden hours per esponse 0.5	
Form 5 obligations may contin <i>See</i> Instruc 1(b).	Section 17(a) of the l	Public U	Jtility Ho	lding Co		inge Act of 1934, t of 1935 or Sectio 1940	on		
(Print or Type Re	esponses)									
1. Name and Address of Reporting Person <u>*</u> Joyce James A			2. Issuer Name and Ticker or Trading Symbol AETHLON MEDICAL INC			Ū.	5. Relationship of Reporting Person(s) to Issuer			
		[AEMD]				(Check all applicable)				
(Last) (First) (Middle) 8910 UNIVERSITY CENTER			3. Date of Earliest Transaction (Month/Day/Year) 06/06/2014				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below)			
LANE, SUIT		۲	06/06/.	2014			CHAIRMA	N, CEO, SECR	ETARY	
	4. If Amendment, Date Original Filed(Month/Day/Year)			al	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
SAN DIEGO	, CA 92122						Person		eporting	
(City)	(State)	(Zip)	Tal	ble I - Non-	Derivative	Securities A	Acquired, Disposed of	of, or Beneficia	lly Owned	
	. Transaction Date Month/Day/Year)		Date, if		4. Securi onAcquired Disposed (Instr. 3,	(A) or of (D)	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(D) Price	(Instr. 3 and 4)			
Reminder: Repor	rt on a separate line	for each cl	ass of sec	curities bene	-	-	-			
					inforr requi	nation con red to resp ays a curre	spond to the collect tained in this form ond unless the for ntly valid OMB co	are not rm	SEC 1474 (9-02)	
	Tab					sposed of, or convertible	• Beneficially Owned securities)	I		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Instr. 3 and 4)			
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Options (right to buy)	\$ 0.19	06/06/2014		A	1,500,000		(1)	06/06/2024	Common Stock	1,500,0

Reporting Owners

Reporting Owner Name / Address					
1 0	1		10% Owner	Officer	Other
Joyce James A 8910 UNIVERSITY CENT SUITE 660 SAN DIEGO, CA 92122	ER LANE	Х		CHAIRMAN, CEO, SECRETARY	
Signatures					
/s/ James A. Joyce	07/30/2014				

<u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) $\frac{500,000 \text{ options vested on the date of grant and } 500,000 \text{ options will vest on each of the first and second anniversaries of the date of grant.}$

Also includes options to purchase 2,231,100 shares of common stock at \$0.38 per share, options to purchase 2,857,143 shares of common
 (2) stock at \$0.21 per share, options to purchase 2,500,000 shares of common stock at \$0.36 per share, options to purchase 4,500,000 shares of common stock at \$0.25 per share and unvested options to purchase 2,000,000 shares of common stock at \$0.10 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.