

INOVIO PHARMACEUTICALS, INC.

Form 3

March 11, 2016

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Weiner David B.

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/09/2016

3. Issuer Name **and** Ticker or Trading Symbol

INOVIO PHARMACEUTICALS, INC. [INO]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person660 W. GERMANTOWN
PIKE,Â SUITE 100

(Street)

PLYMOUTH
MEETING,Â PAÂ 19462

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

723,622

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Common Stock Option	09/30/2009	09/30/2019	Common Stock	15,000	\$ 6.28	D	Â
Common Stock Option	03/11/2011	03/11/2021	Common Stock	17,500	\$ 4.56	D	Â
Common Stock Options	02/23/2012	02/23/2022	Common Stock	22,500	\$ 2.4	D	Â
Common Stock Option	03/14/2013 ⁽¹⁾	03/14/2023	Common Stock	23,750	\$ 2.16	D	Â
Common Stock Options	03/26/2014 ⁽²⁾	03/26/2024	Common Stock	18,750	\$ 12.92	D	Â
Common Stock Option	05/22/2014 ⁽³⁾	05/22/2024	Common Stock	18,750	\$ 8.8	D	Â
Common Stock Option	03/05/2015 ⁽⁴⁾	03/05/2025	Common Stock	85,000	\$ 7.56	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Weiner David B. 660 W. GERMANTOWN PIKE SUITE 100 PLYMOUTH MEETING, PA 19462	Â X	Â	Â	Â

Signatures

/s/ David B. Weiner 03/11/2016

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 17,812 shares have vested, the remaining 5,938 vest on March 14, 2016.

(2) 9,374 shares have vested; 4,688 vest on March 26, 2016 and 4,688 vest on March 26, 2017.

(3) 9,375 shares have vested; 4,687 vest on May 22, 2016 and 4,688 vest on May 22, 2017.

(4) 42,500 shares have vested; 21,250 vest on March 5, 2017 and 21,250 vest on March 5, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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