**TIVO INC** Form 4 May 27, 2015

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB

#### **OMB APPROVAL**

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Washington, D.C. 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** ROGERS THOMAS S			2. Issuer Name <b>and</b> Ticker or Trading Symbol TIVO INC [TIVO]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
2160 GOLD	STREET		(Month/Day/Year) 05/26/2015	_X_ Director 10% Owner Selfow)		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
ALVISO, CA 95002			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zin)				

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactior Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/26/2015		Code V M	Amount 37,455	(D)	Price \$ 6.52	2,061,042	D	
Common Stock	05/26/2015		S <u>(1)</u>	37,455	D	\$ 10.499 (2)	2,023,587	D	
Common Stock	05/26/2015		M	50,000	A	\$ 6.52	2,073,587	D	
Common Stock	05/26/2015		D(3)	31,468	D	\$ 10.36	2,042,119	D	
Common Stock	05/26/2015		F(4)	9,595	D	\$ 10.36	2,032,524	D	

### Edgar Filing: TIVO INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of S
Non-Qualified Stock Option (right to buy)	\$ 6.52	05/26/2015		M <u>(1)</u>	37,455	08/01/2005	07/01/2015	Common Stock	37
Stock Appreciation Rights	\$ 6.52	05/26/2015		M <u>(1)</u>	50,000	08/01/2005	07/01/2015	Common Stock	50

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
ROGERS THOMAS S								
2160 GOLD STREET	X		President and CEO					
ALVISO, CA 95002								

# **Signatures**

By: Attorney-in-fact Jacob 05/27/2015 Starkweather

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares were sold under a 10b5-1 plan that the reporting person most recently amended on January 15, 2015 and includes only those (1) stock options and stock appreciation rights with an expiration date of July 1, 2015.
- Weighted Average Sales Price Actual sale prices range from \$10.42 to \$10.70. The reporting person will provide the SEC staff, the company, or a security holder of the company the relevant information regarding sales at each respective price within the range.

Reporting Owners 2

### Edgar Filing: TIVO INC - Form 4

- (3) The cost of the 50,000 shares was \$326,000 equal to 31,468 shares of TiVo stock, with a remainder of 18,532 shares of which 9,595 were withheld for taxes.
- (4) These shares have been surrendered to the Company to pay taxes applicable to the exercising of Stock Appreciation Rights.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.