Balo Andrew K Form 4 August 16, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per response... 0.5

Form filed by More than One Reporting

Person

**OMB** 

Number:

**OMB APPROVAL** 

3235-0287

January 31,

Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Balo Andrew K |            |         | 2. Issuer Name <b>and</b> Ticker or Trading Symbol DEXCOM INC [DXCM] | 5. Relationship of Reporting Person(s) to Issuer  |  |  |
|---|------------|---------|--|---|--|--|
| (Last)  | (First) (1 | Middle) | 3. Date of Earliest Transaction                                      | (Check all applicable)  |  |  |
| 6340 SEQUENCE DRIVE                                     |            |         | (Month/Day/Year)<br>08/14/2018                                       | Director 10% Owner _X_ Officer (give title Other (specif below)  EVP, Reg. Strategy, Clinical |  |  |
| (Street)  |            |         | 4. If Amendment, Date Original                                       | 6. Individual or Joint/Group Filing(Check   |  |  |
|   |            |         | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person                                       |  |  |

#### SAN DIEGO, CA 92121

| (City)                               | (State)                                 | (Zip) Tak   | ole I - Non-                            | Derivativ                             | e Secı                       | ırities Acquir        | ed, Disposed of,   | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|---|---------------------------------------|------------------------------|-----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi<br>corr Dispo<br>(Instr. 3, | sed of<br>4 and<br>(A)<br>or | * 1                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 08/14/2018                              |   | S                                       | 4,450<br>(1)                          | D                            | \$<br>124.1631<br>(2) | 99,117 (3)   | D  |   |
| Common<br>Stock                      | 08/14/2018                              |   | S                                       | 550 (1)                               | D                            | \$<br>124.9582        | 98,567 <u>(3)</u>  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Edgar Filing: Balo Andrew K - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | f 2.         | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and     | 7. Title           | e and    | 8. Price of | 9. Nu  |
|-------------|--------------|---------------------|--------------------|------------|------------|---------------|-----------------|--------------------|----------|-------------|--------|
| Derivativ   | e Conversion | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D  | ate             | Amou               | nt of    | Derivative  | Deriv  |
| Security    | or Exercise  |                     | any                | Code       | of         | (Month/Day/   | Year)           | Under              | lying    | Security    | Secui  |
| (Instr. 3)  | Price of     |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |                 | Securi             | ties     | (Instr. 5)  | Bene   |
|             | Derivative   |                     |                    |            | Securities |               |                 | (Instr.            | 3 and 4) |             | Owne   |
|             | Security     |                     |                    |            | Acquired   |               |                 |                    |          |             | Follo  |
|             |              |                     |                    |            | (A) or     |               |                 |                    |          |             | Repo   |
|             |              |                     |                    |            | Disposed   |               |                 |                    |          |             | Trans  |
|             |              |                     |                    |            | of (D)     |               |                 |                    |          |             | (Instr |
|             |              |                     |                    |            | (Instr. 3, |               |                 |                    |          |             |        |
|             |              |                     |                    |            | 4, and 5)  |               |                 |                    |          |             |        |
|             |              |                     |                    |            |            |               |                 |                    | Amount   |             |        |
|             |              |                     |                    |            |            |               |                 |                    | Amount   |             |        |
|             |              |                     |                    |            |            |               | Expiration Date | or<br>Title Number |          |             |        |
|             |              |                     |                    |            |            |               |                 |                    | of       |             |        |
|             |              |                     |                    | Code V     | (A) (D)    |               |                 |                    | Shares   |             |        |
|             |              |                     |                    | Code v     | (A) (D)    |               |                 |                    | Shares   |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

Balo Andrew K

6340 SEQUENCE DRIVE EVP, Reg. Strategy, Clinical

SAN DIEGO, CA 92121

## **Signatures**

By: Kevin Sun For: Andrew 08/16/2018 K. Balo

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On June 14, 2018, Mr. Balo adopted a 10b5-1 Plan. This 10b5-1 Plan allows the orderly disposition of shares owned by Mr. Balo. The shares set forth above were sold pursuant to the 10b5-1 Plan.
- This transaction was executed in multiple trades at prices ranging from \$123.65 to \$124.61. The price above reflects the weighted average (2) sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- Included in this number are 70,343 unvested restricted stock units, 38,502 of which were granted on March 8, 2018 and shall vest through (3) March 8, 2021, 19,174 of which were granted on March 8, 2017 and shall vest through March 8, 2020 and 12,667 of which were granted on March 8, 2016 and shall vest through March 8, 2019.
- This transaction was executed in multiple trades at prices ranging from \$124.72 to \$125.25. The price above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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