

TREATY OAK BANCORP INC

Form 3

November 15, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Meeks M. Randy

(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/15/2007

3. Issuer Name and Ticker or Trading Symbol

TREATY OAK BANCORP INC [TOAK]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

EVP-Chief Lending Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

101 WESTLAKE DRIVE

(Street)

AUSTIN, TX 78746

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

5,873

D

A

Common Stock

3,602

I

Citigroup Global Markets,
Custodian for M. Randall Meeks
- IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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			(Instr. 4)				
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Options to acquire common stock	Â (1)	05/19/2014	Common Stock	2,500	\$ 8.33	D	Â
Options to acquire common stock	Â (2)	10/24/2015	Common Stock	4,000	\$ 8.5	D	Â
Options to acquire common stock	Â (3)	09/25/2016	Common Stock	5,000	\$ 8.75	D	Â
Options to acquire common stock	Â (4)	09/29/2017	Common Stock	2,000	\$ 10.5	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Meeks M. Randy 101 WESTLAKE DRIVE AUSTIN, TX 78746	Â	Â	Â EVP-Chief Lending Officer	Â

Signatures

/s/ Randy Meeks 11/15/2007

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) one third vests 5/20/05, one third vests 5/20/06, and one third vests 5/20/07

(2) one third vests 10/25/06, one third vests 10/25/07, and one third vests 10/25/08

(3) one third vests 9/26/07, one third vests 9/26/08, and one third vests 9/26/09

(4) one third vests 9/30/08, one third vests 9/30/09, and one third vests 9/30/10

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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