

NACCO INDUSTRIES INC
Form 4
September 08, 2005

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN THOMAS T

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
300

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction
(Month/Day/Year)
05/10/2005

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
___ Officer (give title below) ___X___ Other (specify below)
Member of a group

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|------------------------------|
| | | | | (A) or (D) | Price | | | |
| | | | | Code | V | Amount | | |
| Class A Common Stock | | | | | | 1,975 | I | By RMI (Delaware) <u>(7)</u> |
| Class A Common Stock | 05/10/2005 | 05/10/2005 | G | V 100 | D \$ 0 | 55,327 | I | By Trust <u>(1)</u> |
| Class A Common Stock | | | | | | 46,063 | I | By Assoc II <u>(8)</u> |
| Class A | | | | | | 10,587 | I | By Trust |

Edgar Filing: NACCO INDUSTRIES INC - Form 4

| | | | | | | | | | |
|----------------------|------------|------------|------------------|-----|---|-------|-------|---|------------------------------------|
| Common Stock | | | | | | | | | (Son1) ⁽⁹⁾ |
| Class A Common Stock | | | | | | 2,900 | | I | By Spouse ⁽¹⁰⁾ |
| Class A Common Stock | | | | | | 1,843 | | I | By Assoc II/Spouse ⁽¹¹⁾ |
| Class A Common Stock | | | | | | 3,994 | | I | By Assoc II/Son 1 ⁽¹²⁾ |
| Class A Common Stock | 09/06/2005 | 09/06/2005 | J ⁽³⁾ | 249 | D | \$ 0 | 2,626 | I | By RA4 ⁽²⁾ |
| Class A Common Stock | 09/06/2005 | 09/06/2005 | J ⁽⁶⁾ | 1 | D | \$ 0 | 6 | I | By GP ⁽⁵⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Class B Common Stock | ⁽⁴⁾ | | | | | ⁽⁴⁾ | ⁽⁴⁾ | Class A Common Stock | 92,873 |
| Class B Common Stock | ⁽⁴⁾ | | | | | ⁽⁴⁾ | ⁽⁴⁾ | Class A Common Stock | 28,581 |
| | \$ 0 ⁽⁴⁾ | 09/06/2005 | 09/06/2005 | J ⁽³⁾ | 249 | ⁽⁴⁾ | ⁽⁴⁾ | | 249 |

| | | | | | | | | | | |
|----------------------------|-----------------|------------|------------|--------------|---|------------|------------|----------------------------|---|------|
| Class B Common Stock | | | | | | | | Class A Common Stock | | |
| Class B Common Stock | \$ 0 <u>(4)</u> | 09/06/2005 | 09/06/2005 | J <u>(6)</u> | 1 | <u>(4)</u> | <u>(4)</u> | Class A Common Stock | 1 | \$ 0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------------------|
| | Director | 10% Owner | Officer | Other |
| RANKIN THOMAS T NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH 44124 | | | | Member of a group |

Signatures

/s/Constantine E. Tsipis, attorney-in-fact for Thomas T.
Rankin

09/08/2005

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person serves as Trustee of a Trust for the benefit of Thomas T. Rankin.
- (2) (RAIV)Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
- (3) Shares of Class A Common Stock held in Rankin Associates IV, L.P. exchanged for like amount of Class B Common Stock with another member of the Class B Group.
- (4) N/A
- (5) (GP)Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.
- (6) -Shares of Class A Common Stock held in Rankin Associates General Partnership exchanged for like amount of Class B Common Stock with another member of the Class B Group.
- (7) Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates II, L.P. held by Rankin Management, Inc. (RMI), as general partner. Reporting Person disclaims beneficial ownership of all such shares.
- (8) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----
- (9) Held by Trust, Reporting Person is Co-Trustee for the benefit of Reporting Person's son. Reporting Person disclaims beneficial ownership of all such shares.
- (10) By Spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (11) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. . Reporting Person disclaims beneficial ownership of all such shares.
- (12) Represents the Reporting Person's son's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. which was held by Reporting Person as Co-Trustee of trust for benefit of Reporting Person's son. Reporting Person disclaims beneficial ownership of all such shares.
- (13) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates I, L.P.-----

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.