**ALLERGAN INC** Form 4

# March 19, 2015 FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

0.5 response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

Common

Stock

03/17/2015

1. Name and Address of Reporting Person * INGRAM DOUGLAS S				ssuer Name <b>ar</b> ool LERGAN II	nd Ticker or Trad	ling		5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last) (First) (Middle) 2525 DUPONT DRIVE				ate of Earliest ath/Day/Year) 7/2015				Director 10% Owner X Officer (give title Other (specify below) President				
(Street)				Amendment, l (Month/Day/Ye	_		Aŗ	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
	IRVINE, C	CA 92612					Pe	_ Form filed by Mic rson	ore than One Rej	porting		
	(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Yea	n Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	/ Amount	or	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
	Common Stock	02/25/2015		G V	7 13,044		\$0	10,772	I	By Ingram Family Trust		
	Common Stock	03/17/2015		A	16,911.004 (1)	A	\$0	16,911.004	D			
	Common Stock	03/17/2015		D	16,911.004 (2)	D	<u>(2)</u>	0	D			
	~				10 (2)	_	(2)		_	_		

D

 $10,772 \frac{(2)}{}$ 

D

<u>(2)</u>

0

By

Ingram Family

								Trust
Common Stock	03/17/2015	D	2,676.7883 (2)	D	<u>(2)</u>	0	I	By 401(k) Trust
Common Stock	03/17/2015	D	3,616.4994 (2)	D	<u>(2)</u>	0	I	By Esop Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

covined Disposed of an Populicially Owned

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned	
(e.g., puts, calls, warrants, options, convertible securities)	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	TransactionDerivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Sec (Instr. 3 and 4)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title N
Employee Stock Option (Right to Buy)	\$ 64.47	03/10/2015		G	V	, ,	105,500	(3)	02/14/2018	Common Stock
Employee Stock Option (Right to Buy)	\$ 64.47	03/10/2015		G	V	105,500		(3)	02/14/2018	Common Stock
Employee Stock Option (Right to Buy)	\$ 75.58	03/10/2015		G	V		82,000	<u>(4)</u>	02/17/2021	Common Stock
Employee Stock Option (Right to Buy)	\$ 75.58	03/10/2015		G	V	82,000		<u>(4)</u>	02/17/2021	Common Stock
Employee Stock Option (Right to	\$ 58.55	03/10/2015		G	V		89,200	<u>(5)</u>	02/02/2017	Common Stock

Buy)									
Employee Stock Option (Right to Buy)	\$ 58.55	03/10/2015	G	V	89,200		<u>(5)</u>	02/02/2017	Common Stock
Employee Stock Option (Right to Buy)	\$ 40.16	03/10/2015	G	V		130,400	<u>(6)</u>	02/20/2019	Common Stock
Employee Stock Option (Right to Buy)	\$ 40.16	03/10/2015	G	V	130,400		<u>(6)</u>	02/20/2019	Common Stock
Employee Stock Option (Right to Buy)	\$ 59.13	03/10/2015	G	V		92,600	<u>(7)</u>	02/22/2020	Common Stock
Employee Stock Option (Right to Buy)	\$ 59.13	03/10/2015	G	V	92,600		<u>(7)</u>	02/22/2020	Common Stock
Employee Stock Option (Right to Buy)	\$ 87.91	03/10/2015	G	V		67,000	<u>(8)</u>	02/17/2022	Common Stock
Employee Stock Option (Right to Buy)	\$ 87.91	03/10/2015	G	V	67,000		(8)	02/17/2022	Common Stock
Employee Stock Option (Right to Buy)	\$ 105.87	03/10/2015	G	V		60,000	<u>(9)</u>	02/21/2023	Common Stock
Employee Stock Option (Right to Buy)	\$ 105.87	03/10/2015	G	V	60,000		(9)	02/21/2023	Common Stock

Employee Stock Option (Right to Buy)	\$ 58.55	03/17/2015	D	89,200	(10)	02/02/2017	Common Stock
Employee Stock Option (Right to Buy)	\$ 64.47	03/17/2015	D	105,500	(10)	02/14/2018	Common Stock
Employee Stock Option (Right to Buy)	\$ 40.16	03/17/2015	D	130,400	(10)	02/20/2019	Common Stock
Employee Stock Option (Right to Buy)	\$ 59.13	03/17/2015	D	92,600	(10)	02/22/2020	Common Stock
Employee Stock Option (Right to Buy)	\$ 75.58	03/17/2015	D	82,000	(10)	02/17/2021	Common Stock
Employee Stock Option (Right to Buy)	\$ 87.91	03/17/2015	D	67,000	(10)	02/17/2022	Common Stock
Employee Stock Option (Right to Buy)	\$ 105.87	03/17/2015	D	60,000	(10)	02/21/2023	Common Stock
Restricted Stock Units	(11)	03/17/2015	D	419	(11)	(11)	Common Stock
Employee Stock Option (Right to Buy)	\$ 125.07	03/17/2015	D	70,431	(10)	02/21/2024	Common Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

INGRAM DOUGLAS S 2525 DUPONT DRIVE IRVINE, CA 92612

President

## **Signatures**

/s/ Matthew J. Maletta, attorney-in-fact for Douglas S. Ingram

03/19/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents 16,907 performance-based restricted stock units granted on October 29, 2014 and 4.004 dividend equivalent rights acquired with respect to each vested restricted stock unit (collectively, the "RSUs"). The RSUs vested in full on the Effective Date pursuant to the terms of the Merger Agreement (each as defined below).
- Pursuant to that certain Agreement and Plan of Merger dated November 16, 2014, (the "Merger Agreement") by and among the Issuer,
  Actavis, Plc ("Actavis) and a wholly-owned subsidiary of Actavis, the Issuer became an indirect wholly-owned subsidiary of Actavis upon consummation of the merger (the "Effective Date"). Each share of common stock was disposed of in exchange for \$129.22 cash plus 0.3683 of an Actavis ordinary share, having a market value of \$307.51 per share on the Effective Date.
- (3) The option becomes exercisable in four equal annual installments beginning February 14, 2009.
- (4) The option becomes exercisable in four equal annual installments beginning February 17, 2012.
- (5) The option becomes exercisable in four equal annual installments beginning February 2, 2008.
- (6) The option became exercisable in four equal annual installments beginning February 20, 2010.
- (7) The option becomes exercisable in four equal annual installments beginning February 22, 2011.
- (8) The option becomes exercisable in four equal annual installments beginning February 17, 2013.
- (9) The option becomes exercisable in four equal annual installments beginning February 21, 2015.
  - Each option vested in full on the Effective Date and was cancelled in exchange for a cash payment equal to the number of shares subject to the option immediately prior to the merger, multiplied by the difference between (i) 0.3683 times \$294.1509 (which represents the
- (10) 10-day volume weighted average price of Actavis stock starting on the 11th trading day prior to the closing) plus \$129.22 and (ii) the exercise price of the option, subject to the applicable withholding taxes and pursuant to the procedures set forth in the Merger Agreement.
- (11) The restricted stock units ("RSUs") vested in full on the Effective Date and each RSU was cancelled in exchange for 0.3683 shares of Actavis stock having a market value of \$307.51 per share on the Effective Date, and \$129.22 in cash.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 5