Edgar Filing: Voya Financial, Inc. - Form 4

Voya Financial, In Form 4	nc.										
March 09, 2016											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL		
	UNITED		shington	Number:	3235-0287						
Check this box if no longer									January 31, 2005		
subject to Section 16. Form 4 or		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									
Form 5 obligations may continue. <i>See</i> Instruction 1(b).	-	a) of the I	Public U	tility Hol	ding Con		nge Act of 1934, of 1935 or Secti 940				
(Print or Type Respon	ises)										
1. Name and Address of Reporting Person <u>*</u> Walsh Patricia J			2. Issuer Name and Ticker or Trading Symbol Voya Financial, Inc. [VOYA]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (Last)	First) (1	Middle)	3. Date of Earliest Transaction				(Chi	eck an applicabl	e)		
230 PARK AVENUE			(Month/Day/Year) 03/07/2016				Director 10% Owner X Officer (give title Other (specify below) below) See Remarks				
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
NEW YORK, NY							Person	More than One R	eporting		
(City) (S	State)	(Zip)	Tab	le I - Non-	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deem Execution any (Month/D			Date, if TransactionAcquired (A) or Code Disposed of (D) y/Year) (Instr. 8) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	(A)or(D) Price	Transaction(s) (Instr. 3 and 4)				
Reminder: Report on	a separate line	for each cla	ass of sec	urities bene	-	-	-				
					inform requir	nation cont ed to respo ys a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)	Acquired or Dispose (D) (Instr. 3, 4 and 5)	ed of				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	03/07/2016		А		11,303 (2)		(3)	(3)	Common Stock	11,303
Performance Stock Unit	<u>(1)</u>	03/07/2016		А		13,815 (2)		(4)	(4)	Common Stock	13,815 (4)

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
Walsh Patricia J 230 PARK AVENUE NEW YORK, NY 10169			See Remarks					
Signatures								
/s/ Jean Weng, Attorney in Fact	03/0	9/2016						

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each stock unit represents a conditional right to receive one share of the company's common stock.

Date

- (2) The number of stock units granted was determined by reference to the closing price of a share of the company's common stock on March 7, 2016, the date of the grant.
- (3) 1/3 of the restricted stock units will vest on March 7, 2017, 1/3 on March 7, 2018 and 1/3 on March 7, 2019.
- The number of shares of common stock that will be delivered for each performance stock unit depends on the achievement of certain
 (4) performance factors. Depending on actual performance, the number of shares of common stock delivered upon the vesting date (March 7, 2019) can range from 0% to 150% of the number presented above.

Remarks:

Executive Vice President and Chief Legal Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.