

SYNEX CORP
Form 4
January 05, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
POLK DENNIS

(Last) (First) (Middle)
44201 NOBEL DRIVE
(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SYNEX CORP [SNX]

3. Date of Earliest Transaction (Month/Day/Year)
01/03/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	01/03/2017		M		500	A	\$ 26.98
Common Stock	01/03/2017		S ⁽¹⁾		34	D	\$ 122.75
Common Stock	01/03/2017		S ⁽¹⁾		100	D	\$ 122.55
Common Stock	01/03/2017		S ⁽¹⁾		100	D	\$ 122.48
Common Stock	01/03/2017		S ⁽¹⁾		100	D	\$ 122.43

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Common Stock	01/03/2017	<u>S(1)</u>	65	D	\$ 122.195	50,960	D
Common Stock	01/03/2017	<u>S(1)</u>	99	D	\$ 122.05	50,861	D
Common Stock	01/03/2017	<u>S(1)</u>	1	D	\$ 122.035	50,860	D
Common Stock	01/03/2017	<u>S(1)</u>	50	D	\$ 121.46	50,810	D
Common Stock	01/03/2017	<u>S(1)</u>	50	D	\$ 121.4	50,760	D
Common Stock	01/03/2017	<u>S(1)</u>	100	D	\$ 121.3	50,660	D
Common Stock	01/03/2017	<u>S(1)</u>	30	D	\$ 121.255	50,630	D
Common Stock	01/03/2017	<u>S(1)</u>	100	D	\$ 121.09	50,530	D
Common Stock	01/03/2017	<u>S(1)</u>	100	D	\$ 121.04	50,430	D
Common Stock	01/03/2017	<u>S(1)</u>	100	D	\$ 120.835	50,330	D
Common Stock	01/03/2017	<u>S(1)</u>	20	D	\$ 120.82	50,310	D
Common Stock	01/03/2017	<u>S(1)</u>	70	D	\$ 120.8	50,240	D
Common Stock	01/03/2017	<u>S(1)</u>	117	D	\$ 120.785	50,123	D
Common Stock	01/03/2017	<u>S(1)</u>	100	D	\$ 120.77	50,023	D
Common Stock	01/03/2017	<u>S(1)</u>	95	D	\$ 120.59	49,928	D
Common Stock	01/03/2017	<u>S(1)</u>	2	D	\$ 120.555	49,926	D
Common Stock	01/03/2017	<u>S(1)</u>	2	D	\$ 120.495	49,924	D
Common Stock	01/03/2017	<u>S(1)</u>	100	D	\$ 120.45	49,824	D
Common Stock	01/03/2017	<u>S(1)</u>	3	D	\$ 120.445	49,821	D
Common Stock	01/03/2017	<u>S(1)</u>	4	D	\$ 120.435	49,817	D
	01/03/2017	<u>S(1)</u>	100	D	\$ 120.42	49,717	D

Common
Stock

Common Stock 01/03/2017 S⁽¹⁾ 3 D \$ 120.415 49,714 D

Common Stock 01/03/2017 S⁽¹⁾ 97 D \$ 120.4 49,617 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)
Stock Option (Right to Buy)	\$ 26.98	01/03/2017		M	500	(²) 10/05/2021	Common Stock	500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
POLK DENNIS 44201 NOBEL DRIVE FREMONT, CA 94538	X		Chief Operating Officer	

Signatures

/s/ Simon Y. Leung,
Attorney-in-Fact

01/05/2017

 **Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on April 27, 2016.
- (2) This stock option is immediately exercisable as to 4139 shares and is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.