### Edgar Filing: Alkermes plc. - Form 4

Alkermes plc. Form 4 March 02, 2017										
FORM /								OMB A	PPROVAL	
<b>CURINI 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287		
Check this bo if no longer			0					Expires:	January 31,	
subject to Section 16. Form 4 or Form 5 obligations	<b>SECUR</b> 6(a) of the	ITIES e Securit	ies E	Exchang	NERSHIP OF e Act of 1934,	Estimated a burden hou response	•			
See Instruction 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Resp	ponses)									
FRATES JAMES M Symbol							5. Relationship of Reporting Person(s) to Issuer			
			kermes plc. [ALKS]				(Check all applicable)			
(M			3. Date of Earliest Transaction (Month/Day/Year) 03/01/2017				Director 10% Owner X_ Officer (give title Other (specify below) below) SVP, Alks Inc; CFO ALKS			
(Street) 4. If Amene Filed(Month				-	1		<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
DUBLIN 4 Irel	lanu						Person			
(City)	(State) (Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
	Transaction Date 2A. Deer fonth/Day/Year) Executio any (Month/I		3. Transactio Code (Instr. 8)	(Instr. 3,	spose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Ordinary			Code V	Amount	(D)	Price	(insu: 5 und 1)			
Ordinary 03 Shares	3/01/2017		М	4,500	А	\$0	118,615	D		
Ordinary 03 Shares	3/01/2017		F	1,461	D	\$ 59.71	117,154	D		
Ordinary Shares							4,000	Ι	By Sons	
Ordinary Shares							41,041	I	By 2014 GRAT (1)	
Ordinary Shares							16,562	Ι	By 2015 GRAT <u>(2)</u>	

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		By 2015
11.421	Ι	GRAT
,		No. 2 <sup>(3)</sup>
	11,421	11,421 I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	of Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit Award	\$ 0	03/01/2017		М	4,500	03/01/2017(4)	(4)	Ordinary Shares	4,500

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
FRATES JAMES M CONNAUGHT HOUSE 1 BURLINGTON ROAD DUBLIN 4 Ireland			SVP, Alks Inc; CFO ALKS				
Signatures							
/s/ Jennifer Baptiste, attorney-i Frates	n-fact for	James M.	03/02/2017				

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

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Shares held by James M. Frates Grantor Retained Annuity Trust dated March 14, 2014 (the "GRAT"). The Reporting Person is a trustee and beneficiary of the GRAT and may be deemed to hold voting and dispositive power with regard to the reported shares held by the GRAT.

Shares held by the James M. Frates Grantor Retained Annuity Trust dated May 29, 2015 (the "2015 GRAT"). The Reporting Person is a
(2) trustee and beneficiary of the 2015 GRAT and may be deemed to hold voting and dispositive power with regard to the reported shares held by the 2015 GRAT.

Shares held by the James M. Frates Grantor Retained Annuity Trust No. 2 dated November 25, 2015 (the "2015 GRAT No. 2"). The(3) Reporting Person is a trustee and beneficiary of the 2015 GRAT No. 2 and may be deemed to hold voting and dispositive power with regard to the reported shares held by the 2015 GRAT No. 2.

(4) Shares subject to the restricted stock unit award vest in equal annual installments over a four year period, commencing on 3/1/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.