

RANKIN MATTHEW M  
Form 4  
January 04, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN MATTHEW M

2. Issuer Name and Ticker or Trading Symbol  
NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875  
LANDERBROOK DRIVE, STE.  
220

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/14/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Member of a group

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				(A) or (D)	Price				
				Code	V	Amount			
Class A Common Stock <sup>(1)</sup>	12/14/2017		G	V	81	A	<u>(2)</u> 9,348	I	By RAI <sup>(3)</sup>
Class A Common Stock <sup>(1)</sup>	12/14/2017		G	V	81	A	<u>(2)</u> 9,429	I	By RAI <sup>(3)</sup>
Class A Common Stock	12/14/2017		G	V	81	A	<u>(2)</u> 1,242	I	Trust/RAI/Child <sup>(4)</sup>
Class A Common Stock	12/14/2017		G	V	81	A	<u>(2)</u> 1,390	I	Trust/RAI/Child1

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Common Stock									(4)
Class A Common Stock (1)	12/14/2017		G V 163	D (2)	9,266		I		By RAI (3)
Class A Common Stock	12/14/2017		G V 163	A (2)	1,553		I		Trust/RAI/Child1 (4)
Class A Common Stock (1)	12/14/2017		G V 163	D (2)	9,103		I		By RAI (3)
Class A Common Stock	12/14/2017		G V 163	A (2)	1,405		I		Trust/RAI/Child2 (4)
Class A Common Stock	01/02/2018		A(5)	556	A (2)	9,044		I	By Trust (6)
Class A Common Stock					722		I		By Spouse (7)
Class A Common Stock (1)					2,058		I		By RAI/Spouse (8)
Class A Common Stock					645		I		Trust/Child1 (9)
Class A Common Stock (1)					500		D		
Class A Common Stock					563		I		Trust/Child2 (9)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
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- (10) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates I, L.P., which is held in trust for the benefit of Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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