

ENTEGRIS INC
Form 4
May 01, 2003

FORM 4

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By
Romeo and Dye's
Section 16 Filer
www.section16.net

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol Entegris, Inc. (ENTG)				6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) Dauwalter, James E.			3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)				☒ Director — 10% Owner ☒ Officer (give title below) — Other (specify below) Chief Executive Officer, President, and Director					
3500 Lyman Boulevard							4. Statement for Month/Day/Year May 1, 2003		7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person			
(Street) Chaska, MN 55318			5. If Amendment, Date of Original (Month/Day/Year)									
(City) (State) (Zip)			Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1. Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/ Year)	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction Code (Instr. 8)	Code	V	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)	Amount	(A) or (D)	Price	5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/29/03		S			15,000	D	\$11.05				
Common Stock	04/29/03		S			5,000	D	\$11.12	573,564	D		by trust ⁽¹⁾
Common Stock	05/01/03		J ⁽²⁾			28,016	A	n/a	59,144	D		by IRRA ⁽³⁾
Common Stock									15,000	I		by Security Charitable Remainder Trust ⁽⁴⁾
Common Stock									76,355	D		by GRAT II ⁽⁴⁾
Common Stock									588,949	I		by family members
Common Stock									1,401,612	I		by Carville Company, LP ⁽⁵⁾
Common Stock									261,587	I		by Judith Dauwalter GRAT ⁽⁴⁾

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Common Stock								76,355	I	by Judith Dauwalter GRAT II ⁽⁴⁾
Common Stock	04/29/03		S		5,000	D	\$11.10			
Common Stock	04/29/03		S		5,000	D	\$11.07			
Common Stock	04/29/03		S		10,000	D	\$11.05	550,000	I	by Carville Company II, LP ⁽⁵⁾
Common Stock								16,968	I	JJD Industries, LLC ⁽⁴⁾
Common Stock								50,354	I	by family foundation
Common Stock	05/01/03		J ⁽²⁾		28,016	D	n/a	252,145	I	by ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 & 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A) (D)	Date Exer-cisable	Expira-tion Date	Title	Amount or Number of Shares		
Stock Option (Right to Buy)	\$3.15						(6) —	12/11/07	Common Stock	294,574		
Stock Option (Right to Buy)	\$3.15						(6) —	2/11/08	Common Stock	220,006		
Stock Option (Right to Buy)	\$9.63						(7) —	9/18/10	Common Stock	30,000		
Stock Option (Right to Buy)	\$9.63						(8) —	9/18/10	Common Stock	35,000		

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Buy)														
Stock Option (Right to Buy)	\$8.38						(9)	11/26/10	Common Stock	90,000		90,000	D	
Stock Option (Right to Buy)	\$11.00						(6)	7/11/10	Common Stock	300		300	D	
Stock Option (Right to Buy)	\$8.04						(10)	10/15/11	Common Stock	135,000		135,000	D	
Stock Option (Right to Buy)	\$5.90						(11)	10/15/12	Common Stock	130,000		130,000	D	

Explanation of Responses:

- (1) This number was decreased by 31,128 shares which inadvertently included shares owned by Reporting Person's IRRA. Reporting Person's overall ownership of such shares did not change.
- (2) Reporting Person received a 10% in-service distribution from his ESOP on May 1, 2003.
- (3) This number includes 31,128 shares which had been inadvertently classified as shares owned by Reporting Person's trust. Reporting Person's overall ownership of such shares did not change.
- (4) This is a grantor retained annuity trust and was formed as part of a series of transactions for estate planning purposes.
- (5) This entity was formed as part of a series of transactions for estate planning purposes.
- (6) 100% vested.
- (7) 15,000 shares vested; an additional 7,500 shares will vest on each of August 19, 2003 and 2004.
- (8) 17,500 shares are exercisable; an additional 8,750 shares will vest on each of July 10, 2003 and 2004.
- (9) 45,000 shares are exercisable; an additional 22,500 shares will vest on each of November 27, 2003 and 2004.
- (10) 33,750 shares are exercisable; an additional 33,750 shares will become exercisable on each of October 15, 2003, 2004, and 2005.
- (11) This option will become exercisable with respect to 25% of the shares on each of October 15, 2003, 2004, 2005, and 2006.

By: /s/ **Lori Cameron**

Attorney-in-Fact for James E. Dauwalter

**Signature of Reporting Person

May 1, 2003

Date

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space is insufficient, See Instruction 6 for procedure.

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