PRUDENTIAL FINANCIAL INC

Form 4

August 08, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading PRUDENTIAL FINANCIAL INC Issuer Symbol KAYNE ANDERSON ENERGY (Check all applicable) DEVELOPMENT CO [KED] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner __X__ Other (specify Officer (give title (Month/Day/Year) below) below) 751 BROAD ST 08/06/2018 See Footnote 1 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person X_ Form filed by More than One Reporting NEWARK, NJ 07102 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect Beneficial (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Ownership (Month/Day/Year) (Instr. 8) Owned Direct (D) (Instr. 4) Following or Indirect Reported (I)(A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price By The Prudential Series A Insurance Mandatory Company of 1.000,000 J D $I^{(3)}$ Redeemable 08/06/2018 \$ 25 0 America, a (2) Preferred wholly-owned Shares (1) subsidiary of the Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e	Securit	ties	(Instr. 5)	Bene	
	Derivative				Securities			(Instr. 3 and 4)			Owne
	Security			Acquired							Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	^	Title Numb	Number		
				~					of		
				Code V	(A) (D)				Shares		

Reporting Owners

Director 10% Owner Officer Other

PRUDENTIAL FINANCIAL INC

751 BROAD ST See Footnote 1

NEWARK, NJ 07102

PRUDENTIAL INSURANCE CO OF AMERICA

751 BROAD STREET See Footnote 1

NEWARK, NJ 07102

Signatures

/s/Richard Baker,Second Vice President,Prudential Financial,Inc. 08/08/2018

**Signature of Reporting Person Date

/s/Christopher Halloran, VP, The Prudential Insurance Company of

America 08/08/2018

**Signature of Reporting Person Date

/s/Christopher Halloran, VP, PGIM, Inc., as Sub-Advisor 08/08/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Previous 10% Owner of Preferred Share Securities of KED; as of 08/06/2018, less than 10% Owner of Preferred Share Securities of KYN.

Reporting Owners 2

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- (2) (2) Share transfer to Kayne Anderson MLP/Midstream Investment Company (ticker KYN) due to KED merger into KYN on 08/06/2018.
- (3) These preferred shares were owned directly by The Prudential Insurance Company of America, a ten percent owner of a class, and indirectly owned by Prudential Financial, Inc., its parent holding company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.