

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC
Form 13F-HR
February 14, 2012

THIS FILING LISTS SECURITIES HOLDINGS REPORTED ON THE FORM 13F FILED ON
12/30/2011 PURSUANT TO A REQUEST FOR CONFIDENTIAL TREATMENT AND
FOR WHICH CONFIDENTIAL TREATMENT EXPIRED ON .

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 13F
Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 12/31/2011
Check here if Amendment ; Amendment Number: _____

This Amendment (Check only one.): is a restatement.
 adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Nomura Holdings Inc.

Address: 1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan

Form 13F File Number:

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this submission.

Persons Signing this Report on Behalf of Reporting Manager:

Name: Fernando Del Puerto
Title: Executive Director
Phone: 212 667 9857

Signature, Place, and Date of Signing:

| | | |
|-------------------------|---------------|-----------|
| /s/ Fernando Del Puerto | New York, NY | 2/14/2012 |
| ----- | ----- | ----- |
| [Signature] | [City, State] | [Date] |

Report Type (Check only one):

- 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)
- 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)
- 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

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List of Other Managers Reporting for this Manager:

| 13F File Number | Name |
|-----------------|--|
| 28-04983 | Nomura Securities International, Inc. |
| 28-04985 | Nomura International plc |
| 28-04979 | Nomura Securities Company, Ltd |
| 028-13982 | Nomura Corporate Research & Asset Management Inc. |
| 014-88809 | Nomura Funds Research and Technologies America, Inc. |

Form 13F SUMMARY PAGE

Report Summary:

| | |
|---|----------------|
| Number of Other Included Managers: | 5 |
| Form 13F Information Table Entry Total: | 1,301 |
| Form 13F Information Table Value Total: | \$ 102,688,391 |
| | ----- |
| | (In Thousands) |

List of Other Included Managers:

Provide a numbered list of the name(s) and 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

| No. | Form 13F File Number | Name |
|-----|----------------------|---|
| 1 | 28-04983 | Nomura Securities International, Inc. |
| 2 | 28-04985 | Nomura International plc |
| 3 | 28-04979 | Nomura Securities Company, Ltd |
| 4 | 028-13982 | Nomura Corporate Research & Asset Management Inc. |
| 5 | 014-88809 | Nomura Funds Research and Technologies America, Inc |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 |
|----------------|----------------|----------|--------------------|--------------------|-------------------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION M |
| ----- | ----- | ----- | ----- | ----- | ----- | ----- |

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| | | | | | | | |
|--|-----|-----------|-------------|------------|----|---------|---|
| 3D SYSTEMS CORP COM USD0.001 | CMN | 88554D205 | 38 | 2660 | SH | SOLE | 2 |
| 3M CO COM USD0.01 | CMN | 88579Y101 | 681 | 8337 | SH | DEFINED | 2 |
| 99 CENTS ONLY STORES COM NPV | CMN | 65440K106 | 15531 | 707541 | SH | DEFINED | 2 |
| A123 SYSTEM INC COM NPV | CMN | 03739T108 | 29 | 18127 | SH | SOLE | 2 |
| ABBOTT LABORATORIES COM NPV | CMN | 806882106 | 2489 | 44269 | SH | SOLE | 2 |
| ABERCROMBIE & FITCH CO CL'A'COM USD0.01 | CMN | 002896207 | 367 | 7517 | SH | DEFINED | 2 |
| ABERCROMBIE & FITCH CO CL'A'COM USD0.01 | CMN | 002896207 | 332 | 6803 | SH | DEFINED | 2 |
| ACADIA PHARMACEUTICALS INC COM USD0.001 | CMN | 004225108 | 11 | 10000 | SH | SOLE | 2 |
| SPDR S&P 500 ETF TRUST UNITS SER 1 NPV | CMN | 78462F103 | 721316 | 5747541 | SH | DEFINED | 1 |
| ACCENTURE PLC CLS'A'COM USD0.0000225 | CMN | G1151C101 | 555 | 10421 | SH | SOLE | 2 |
| Fortress Investment Group | CMN | 34958B106 | 344779.6962 | 60487666 | SH | SOLE | 1 |
| ACCURAY INC COM STK USD0.001 | CMN | 004397105 | 261 | 61592 | SH | SOLE | 2 |
| SIRIUS XM RADIO INC COM USD0.001 | CNV | 98375YAU0 | 254714 | 1993066.66 | SH | SOLE | 1 |
| ACTIVISION BLIZZARD INC COM USD0.000001 | CMN | 00507V109 | 1345 | 109152 | SH | SOLE | 2 |
| INCYTE CORP COM USD0.001 | CNV | 45337CAJ1 | 103830 | 553758.54 | SH | SOLE | 1 |
| HERTZ GLOBAL HOLDINGS INC COM STK USD0.01 | CNV | 42805TAA3 | 77152 | 494720.96 | SH | SOLE | 1 |
| ISHARES RUSSELL 2000 RUSSELL 2000 INDEX FUND | CMN | 464287655 | 76768 | 1040921 | SH | DEFINED | 1 |
| ALCOA INC COM USD1 | CNV | 013817AT8 | 75353 | 494930.96 | SH | SOLE | 1 |
| MYLAN INC COM USD0.50 | CNV | 628530AJ6 | 74321 | 425300.3 | SH | SOLE | 1 |
| CMS ENERGY CORP COM USD0.01 | CNV | 125896BD1 | 73414 | 457976.77 | SH | SOLE | 1 |
| ADVANCED MICRO DEVICES INC COM USD0.01 | CMN | 007903107 | 357 | 66108 | SH | SOLE | 2 |
| ALASKA COMMSS SYSTEMS GROUP INC COM USD0.01 | CNV | 01167PAB7 | 61195 | 620010.85 | SH | SOLE | 1 |
| TEXTRON INC COM STK USD0.125 | CNV | 883203BN0 | 61128 | 398095.23 | SH | SOLE | 1 |
| UTD RENTALS INC COM USD0.01 | CNV | 911363AL3 | 50932 | 185376.82 | SH | SOLE | 1 |
| PDL BIOPHARMA INC COM STK USD0.01 | CNV | 69329YAA2 | 48444 | 454877.34 | SH | SOLE | 1 |
| FORD MOTOR CO COM STK USD0.01 | CNV | 345370CN8 | 47653 | 334408.6 | SH | SOLE | 1 |
| AETNA INC COM USD0.01 | CMN | 00817Y108 | 849 | 20112 | SH | SOLE | 2 |
| AFLAC INC COM USD0.10 | CMN | 001055102 | 191 | 4411 | SH | DEFINED | 2 |
| AGILENT TECHNOLOGIES INC COM STK USD0.01 | CMN | 00846U101 | 344 | 9836 | SH | SOLE | 2 |
| SPDR GOLD SHARES GOLD SHARES NPV | CMN | 78463V107 | 40551 | 266800 | SH | DEFINED | 1 |
| INTERPUBLIC GROUP OF COS INC COM USD0.10 | CNV | 460690BA7 | 37454 | 373797.09 | SH | SOLE | 1 |
| XILINX INC COM USD0.01 | CNV | 983919AD3 | 35533 | 312924.54 | SH | SOLE | 1 |
| AGNICO-EAGLE MINES LTD COM NPV | CMN | 008474108 | 315 | 8678 | SH | SOLE | 2 |
| AGRIUM INC COM NPV | CMN | 008916108 | 1748 | 26044 | SH | DEFINED | 2 |
| AGRIUM INC COM NPV | CMN | 008916108 | 93 | 1389 | SH | DEFINED | 2 |
| FIN SELECT SECTOR SPDR AMEX FINANCIAL SELECT INDEX | CMN | 81369Y605 | 32511 | 2500825 | SH | DEFINED | 1 |
| AK STEEL HOLDING CORP COM USD0.01 | CMN | 001547108 | 843 | 102034 | SH | SOLE | 2 |

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FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | COLUMN 6 | C |
|--|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|---|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | M |
| AKAMAI TECHNOLOGIES INC COM USD0.01 | CMN | 00971T101 | 100 | 3100 | SH | DEFINED | 2 |
| VIRGIN MEDIA INC COM STK USD0.01 | CNV | 92769LAB7 | 28814 | 208116.54 | SH | SOLE | 1 |
| SAKS INC COM USD0.10 | CNV | 79377WAL2 | 28745 | 284461.15 | SH | SOLE | 1 |
| AKORN INC COM STK NPV | CMN | 009728106 | 121 | 10894 | SH | SOLE | 2 |
| WESTERN REFINING INC COM STK USD0.01 | CNV | 959319AC8 | 25422 | 177407.4 | SH | SOLE | 1 |
| GENCORP INC COM USD0.10 | CNV | 368682AN0 | 25242 | 280619.89 | SH | SOLE | 1 |
| ALCATEL-LUCENT EUR2 | CMN | 013904305 | 145 | 92916 | SH | SOLE | 2 |
| ALCOA INC COM USD1 | CMN | 013817101 | 3218 | 371994 | SH | DEFINED | 2 |
| ALCOA INC COM USD1 | CMN | 013817101 | 558 | 64499 | SH | DEFINED | 2 |
| ALEXION PHARMACEUTICALS INC COM USD0.0001 | CMN | 015351109 | 304 | 4250 | SH | SOLE | 2 |
| MICRON TECHNOLOGY INC COM USD0.10 | CNV | 595112AJ2 | 22737 | 159448.81 | SH | SOLE | 1 |
| ALLERGAN INC/UNITED STATES USD0.01 | CMN | 018490102 | 348 | 3965 | SH | SOLE | 2 |
| EXTERRAN COM STK USD0.01 | CNV | 30225XAA1 | 21230 | 241252.69 | SH | SOLE | 1 |
| CUBIST PHARMACEUTICALS INC COM USD0.001 | CNV | 229678AC1 | 20981 | 155996.1 | SH | SOLE | 1 |
| BPZ RESOURCES INC COM NPV | CNV | 055639AB4 | 20794 | 254356.16 | SH | SOLE | 1 |
| ALLIED IRISH BANKS PLC EUR0.01 | CMN | 019228808 | 175 | 257080 | SH | SOLE | 2 |
| TYSON FOODS INC CLASS 'A' COM USD0.10 | CNV | 902494AP8 | 19506 | 147214.64 | SH | SOLE | 1 |
| ALTRIA GROUP INC COM USD0.333333 | CMN | 02209S103 | 1119 | 37737 | SH | DEFINED | 2 |
| OMNICARE INC COM USD1 | CNV | 681904AN8 | 18498 | 132458.21 | SH | SOLE | 1 |
| CLEARWIRE CORP COM STK USD0.0001 CLASS 'A' | CNV | 18538TAG4 | 18410 | 288559.32 | SH | SOLE | 1 |
| PSS WORLD MED INC COM USD0.01 | CNV | 69366AAC4 | 18142 | 141402.71 | SH | SOLE | 1 |
| MICROCHIP TECHNOLOGY INC COM USD0.001 | CNV | 595017AB0 | 18028 | 133788.67 | SH | SOLE | 1 |
| ALUMINA LTD NPV | CMN | 022205108 | 148 | 32000 | SH | SOLE | 2 |
| CONVERGYS CORP COM NPV | CNV | 212485AE6 | 17332 | 129533.46 | SH | SOLE | 1 |
| AMAZON.COM INC COM USD0.01 | CMN | 023135106 | 1896 | 10951 | SH | DEFINED | 2 |
| MYLAN INC COM USD0.50 | CNV | 628530AG2 | 16878 | 167694.87 | SH | SOLE | 1 |
| AMAZON.COM INC COM USD0.01 | CMN | 023135106 | 381 | 2202 | SH | DEFINED | 2 |
| TEVA PHARMACEUTICAL IND LTD ILS0.1 (POST CONS) | CNV | 88163VAE9 | 15741 | 152268.17 | SH | SOLE | 1 |
| AMEC PLC ORD GBP0.50 | CMN | 726503105 | 18769791 | 207401 | SH | SOLE | 2 |
| AMERICA MOVIL SAB DE CV COM SER 'L' NPV (L/VTG) | CMN | 02364W105 | 10006 | 442760 | SH | SOLE | 2 |
| DDR CORP COM NPV | CNV | 251591AX1 | 14861 | 152035.47 | SH | SOLE | 1 |
| MICRON TECHNOLOGY INC COM USD0.10 | CNV | 595112AM5 | 14782 | 166842.1 | SH | SOLE | 1 |
| ANNALY CAPITAL MANAGEMENT | CNV | 035710AA0 | 14763 | 130066.52 | SH | SOLE | 1 |

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|-----------------------------|-----|-----------|-------|-----------|----|---------|--|---|
| INC COM USD0.01 | | | | | | | | |
| HERCULES TECHNOLOGY GROWTH | CNV | 427096AA0 | 14357 | 168208.57 | SH | SOLE | | 1 |
| CAP INC COM USD0.001 | | | | | | | | |
| AMERICAN CAPITAL AGENCY | CMN | 02503X105 | 1107 | 39410 | SH | SOLE | | 2 |
| CORP COMMON STOCK USD (NPV) | | | | | | | | |
| AMERICAN CAPITAL LTD COM | CMN | 02503Y103 | 92 | 13683 | SH | SOLE | | 2 |
| USD0.01 | | | | | | | | |
| INTEL CORP COM USD0.001 | CNV | 458140AF7 | 13668 | 109042.31 | SH | SOLE | | 1 |
| ISHARES MSCI BRAZIL MSCI | CMN | 464286400 | 13450 | 234367 | SH | DEFINED | | 1 |
| BRAZIL INDEX FUND | | | | | | | | |
| ALLIANCE DATA SYSTEMS CORP | CNV | 018581AD0 | 13358 | 97327.44 | SH | SOLE | | 1 |
| COM USD0.01 | | | | | | | | |

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|-----------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|---|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PRN | PUT/ CALL | INVESTMENT DISCRETION | M |
| AMERICAN ELECTRIC POWER CO | CMN | 025537101 | 218 | 5279 | SH | | SOLE | 2 |
| INC COM USD6.50 | | | | | | | | |
| AMERICAN EXPRESS CO COM | CMN | 025816109 | 1334 | 28282 | SH | | DEFINED | 2 |
| USD0.20 | | | | | | | | |
| HEALTH CARE REIT INC USD1 | CNV | 42217KAQ9 | 12495 | 109511.89 | SH | | SOLE | 1 |
| RUSSELL EXCHANGE TRADED | CMN | 782474480 | 12138 | 200000 | SH | | SOLE | 1 |
| FDS TR SMALL CAP ETF | | | | | | | | |
| ALLIANCE DATA SYSTEMS CORP | CNV | 018581AC2 | 12128 | 53736.08 | SH | | SOLE | 1 |
| COM USD0.01 | | | | | | | | |
| AMERICAN INTERNATIONAL | CMN | 026874784 | 738 | 31830 | SH | | DEFINED | 2 |
| GROUP INC COM USD2.50 | | | | | | | | |
| MASTEC INC COM USD0.10 | CNV | 576323AM1 | 11447 | 85357.97 | SH | | SOLE | 1 |
| AMERICAN SUPERCONDUCTOR | CMN | 030111108 | 284 | 76855 | SH | | SOLE | 2 |
| CORP COM USD0.01 | | | | | | | | |
| AMERICAN TOWER CORP | CMN | 029912201 | 152 | 2536 | SH | | DEFINED | 2 |
| CL'A'COM USD0.01 | | | | | | | | |
| THERAVANCE INC COM STK | CNV | 88338TAA2 | 11126 | 104367.99 | SH | | SOLE | 1 |
| USD0.01 | | | | | | | | |
| AMERIPRISE FINANCIAL INC | CMN | 03076C106 | 365 | 7359 | SH | | SOLE | 2 |
| COM STK USD0.01 | | | | | | | | |
| NOVELLUS SYSTEMS INC COM | CNV | 670008AC5 | 11024 | 92015.99 | SH | | SOLE | 1 |
| NPV | | | | | | | | |
| AMGEN INC COM USD0.0001 | CMN | 031162100 | 1142 | 17786 | SH | | SOLE | 2 |
| AMR CORP COM USD1 | CNV | 001765BC9 | 215 | 10101.01 | SH | | SOLE | 2 |
| BANK OF AMERICA CORP COM | CMN | 060505104 | 10727 | 1929243 | SH | | DEFINED | 1 |
| USD0.01 | | | | | | | | |
| PROSPECT CAPITAL CORP COM | CNV | 74348TAB8 | 10494 | 120689.65 | SH | | SOLE | 1 |
| STK USD0.001 | | | | | | | | |
| AMR CORP COM USD1 | CMN | 001765106 | 10 | 27265 | SH | | DEFINED | 2 |
| AMYLIN PHARMACEUTICALS INC | CMN | 032346108 | 574 | 50400 | SH | | SOLE | 2 |
| COM USD0.001 | | | | | | | | |
| AMERIGROUP CORP COM USD0.01 | CNV | 03073TAB8 | 9914 | 70534.13 | SH | | SOLE | 1 |
| ANADARKO PETROLEUM CORP | CMN | 032511107 | 179 | 2351 | SH | | DEFINED | 2 |
| COM USD0.10 | | | | | | | | |
| CNO FINANCIAL GROUP INC | CNV | 12621EAF0 | 9597 | 71038.25 | SH | | SOLE | 1 |
| COM USD0.01 | | | | | | | | |

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|--|-----|-----------|------|----------|----|---------|---|
| LIFE TECHNOLOGIES CORP COM USD0.01 | CNV | 46185RAK6 | 9594 | 96079.2 | SH | SOLE | 1 |
| ANN INC COM USD0.0068 | CMN | 035623107 | 96 | 3882 | SH | DEFINED | 2 |
| ANNALY CAPITAL MANAGEMENT INC COM USD0.01 | CMN | 035710409 | 1739 | 108937 | SH | SOLE | 2 |
| AON CORP COM USD1 | CMN | 872197108 | 110 | 2347 | SH | DEFINED | 2 |
| RAYONIER INC COM NPV | CNV | 75508AAB2 | 8971 | 71996.02 | SH | SOLE | 1 |
| TW TELECOM INC CLASS'A'USD0.01 | CNV | 887319AC5 | 8945 | 76124.56 | SH | SOLE | 1 |
| ZYNGA INC COM NPV | CMN | 98986T108 | 8940 | 950000 | SH | DEFINED | 1 |
| RUSSELL EXCHANGE TRADED FDS TR LOW P/E ETF | CMN | 782474696 | 8910 | 200000 | SH | SOLE | 1 |
| APPLE INC COM NPV | CMN | 037833100 | 4044 | 9985 | SH | SOLE | 2 |
| MOTOROLA MOBILITY HOLDINGS INC COM NPV | CMN | 620097105 | 8761 | 225800 | SH | DEFINED | 1 |
| APPLIED MATERIALS INC COM USD0.01 | CMN | 038222105 | 7753 | 723902 | SH | DEFINED | 2 |
| APPLIED MATERIALS INC COM USD0.01 | CMN | 038222105 | 124 | 11593 | SH | DEFINED | 2 |
| BSK & TECH INC USD0.001 | CNV | 530718AF2 | 8602 | 76636.05 | SH | SOLE | 1 |
| SOUTHWEST AIRLINES CO COM USD1 | CNV | 00949PAD0 | 8532 | 66348.42 | SH | SOLE | 1 |
| ARADIGM CORP COM STK NPV | CMN | 038505301 | 51 | 489791 | SH | SOLE | 2 |
| SELECT SECTOR SPDR ENERGY FUND SBI USD0.001 ENERGY | CMN | 81369Y506 | 8372 | 121100 | SH | DEFINED | 1 |

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|---|----------------|-----------|-----------------|-----------------|-------------------|-----------------------|---|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | M |
| VIROPHARMA INC COM USD0.002 | CNV | 928241AH1 | 8339 | 52994.17 | SH | SOLE | 1 |
| MICRON TECHNOLOGY INC COM USD0.10 | CNV | 595112AH6 | 8235 | 86226.28 | SH | SOLE | 1 |
| ARCELORMITTAL NY REGISTRY SHARES | CMN | 03938L104 | 3255 | 178967 | SH | SOLE | 2 |
| TEREX CORP COM USD0.01 | CNV | 880779AV5 | 8212 | 73846.15 | SH | SOLE | 1 |
| ARCHER-DANIELS-MIDLAND CO COM NPV | CNV | 039483AW2 | 8155 | 81180.59 | SH | SOLE | 1 |
| VISTEON CORP | CMN | 92839U206 | 8143.916 | 163074 | SH | SOLE | 4 |
| TEXAS INSTRUMENTS INC COM USD1 | CMN | 882508104 | 8126 | 279150 | SH | DEFINED | 1 |
| GAYLORD ENTERTAINMENT CO COM USD0.01 | CNV | 367905AE6 | 8112 | 72587.15 | SH | SOLE | 1 |
| AMERICAN EQUITY INVLIFE HOLDING CO COM USD1 | CNV | 025676AJ6 | 8104 | 80000 | SH | SOLE | 1 |
| FOREST CITY ENTS CLASS'A'COM USD0.33 1/3 | CNV | 345550AM9 | 7976 | 71889.17 | SH | SOLE | 1 |
| VISHAY INTERTECHNOLOGY INC COM USD0.10 | CNV | 928298AG3 | 7941 | 97676.86 | SH | SOLE | 1 |
| ARCH COAL INC COM USD0.01 | CMN | 039380100 | 269 | 18566 | SH | SOLE | 2 |
| ARCHER-DANIELS-MIDLAND CO COM NPV | CMN | 039483102 | 376 | 13145 | SH | DEFINED | 2 |
| ARIAD PHARMACEUTICALS INC | CMN | 80589M102 | 807 | 65900 | SH | SOLE | 2 |

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|-----------------------------|-----|-----------|------|-------------|--|---------|--|---|
| COM USD0.001 | | | | | | | | |
| ARM HOLDINGS PLC ORD | CMN | 042068106 | 216 | 7803 SH | | SOLE | | 2 |
| GBP0.0005 | | | | | | | | |
| GILEAD SCIENCES INC COM | CNV | 375558AN3 | 7440 | 68034.6 SH | | SOLE | | 1 |
| USD0.001 | | | | | | | | |
| MEDCO HEALTH SOLUTIONS INC | CMN | 58405U102 | 7348 | 131449 SH | | DEFINED | | 1 |
| COM USD0.01 | | | | | | | | |
| ISHARES TRUST MSCI | CMN | 464287234 | 7266 | 191503 SH | | DEFINED | | 1 |
| EMERGING MARKETS INDEX | | | | | | | | |
| ARROWHEAD RESEARCH CORP | CMN | 042797209 | 84 | 20000 SH | | SOLE | | 2 |
| COM STK USD0.001 | | | | | | | | |
| ASTRAZENECA PLC ORD USD0.25 | CMN | 046353108 | 465 | 10036 SH | | SOLE | | 2 |
| ISHARES INC MSCI SOUTH | CMN | 464286772 | 7008 | 134108 SH | | SOLE | | 1 |
| KOREA INDEX FUND | | | | | | | | |
| AT&T INC COM USD1 | CMN | 00206R102 | 300 | 9909 SH | | DEFINED | | 2 |
| ATP OIL & GAS CORP COM | CMN | 00208J108 | 97 | 13200 SH | | SOLE | | 2 |
| USD0.001 | | | | | | | | |
| TAM SA PRF NPV | CMN | 87484D103 | 6802 | 351549 SH | | SOLE | | 1 |
| MARKET VECTORS - GOLD | CMN | 57060U100 | 6777 | 131770 SH | | DEFINED | | 1 |
| MINERS ETF GOLD MINES | | | | | | | | |
| SONOSITE INC COM USD0.01 | CNV | 83568GAA2 | 6725 | 45996.81 SH | | SOLE | | 1 |
| ATRICURE INC COM USD0.001 | CMN | 04963C209 | 191 | 17203 SH | | SOLE | | 2 |
| S1 CORP COM USD0.01 | CMN | 78463B101 | 6625 | 692246 SH | | DEFINED | | 1 |
| MOTOROLA SOLUTIONS INC | CNV | 530715AN1 | 6619 | 117155 SH | | SOLE | | 1 |
| USD0.01 | | | | | | | | |
| COORS (ADOLPH) CO COM | CNV | 60871RAA8 | 6596 | 62222.84 SH | | SOLE | | 1 |
| CLS'B'COM NON-V USD0.01 | | | | | | | | |
| PDL BIOPHARMA INC COM STK | CNV | 69329YAC8 | 6542 | 64864.42 SH | | SOLE | | 1 |
| USD0.01 | | | | | | | | |
| ENDO PHARMACEUTICALS | CNV | 29264FAB2 | 6483 | 49657.53 SH | | SOLE | | 1 |
| HOLDINGS INC COM USD0.01 | | | | | | | | |
| SALESFORCE.COM INC COM | CNV | 79466LAB0 | 6481 | 47514.73 SH | | SOLE | | 1 |
| USD0.001 | | | | | | | | |
| NATIONAL RETAIL PROPERTIES | CNV | 637417AA4 | 6402 | 57057.05 SH | | SOLE | | 1 |
| INC COM USD0.01 | | | | | | | | |
| PROSPECT CAPITAL CORP COM | CNV | 74348TAA0 | 6397 | 68710.35 SH | | SOLE | | 1 |
| STK USD0.001 | | | | | | | | |

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| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION M |
| MENTOR GRAPHICS CORP COM | CNV | 587200AJ5 | 6388 | 63534.56 SH | | SOLE |
| NPV | | | | | | |
| AU OPTRONICS CORP TWD10 | CMN | 002255107 | 432 | 100000 SH | | SOLE |
| UNIVERSAL CORP 6.75% PERP | CNV | 913456307 | 6310 | 5925 SH | | SOLE |
| PFD CONV 15/03/ | | | | | | |
| AUTOZONE INC COM USD0.01 | CMN | 053332102 | 1012 | 3114 SH | | DEFINED |
| IPATH S&P 500 VIX | CMN | 06740C261 | 6218 | 175000 SH | | DEFINED |
| SHORT-TERM FUTURES ETN ETP | | | | | | |
| NPV | | | | | | |
| GOODRICH CORP COM USD5 | CMN | 382388106 | 6049 | 48900 SH | | SOLE |
| LOOPNET INC COM STK | CMN | 543524300 | 6031 | 329909 SH | | DEFINED |

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|-----------------------------|-----|-----------|----------|-------------|---------|--|--|--|---|
| USD0.001 | | | | | | | | | |
| AVI BIOPHARMA INC COM | CMN | 002346104 | 23 | 31000 SH | SOLE | | | | 2 |
| USD0.0001 | | | | | | | | | |
| AVIS BUDGET GROUP INC COM | CMN | 053774105 | 123 | 11432 SH | SOLE | | | | 2 |
| STK USD0.01 | | | | | | | | | |
| AVON PRODUCTS INC COM | CMN | 054303102 | 383 | 21912 SH | DEFINED | | | | 2 |
| USD0.25 | | | | | | | | | |
| NATIONAL RETAIL PROPERTIES | CNV | 637417AC0 | 5727 | 50482.16 SH | SOLE | | | | 1 |
| INC COM USD0.01 | | | | | | | | | |
| CIENA CORP COM STK USD0.01 | CNV | 171779AF8 | 5695 | 58229.09 SH | SOLE | | | | 1 |
| SIX FLAGS ENTMT CORP NEW | CMN | 83001A102 | 5656.148 | 137152 SH | SOLE | | | | 4 |
| VERIFONE SYSTEMS INC COM | CNV | 92342YAB5 | 5596 | 54752.81 SH | SOLE | | | | 1 |
| USD0.01 | | | | | | | | | |
| CIENA CORP COM STK USD0.01 | CNV | 171779AG6 | 5569 | 59494.29 SH | SOLE | | | | 1 |
| PROGRESS ENERGY INC COM NPV | CMN | 743263105 | 5378 | 96000 SH | DEFINED | | | | 1 |
| AXCELIS TECHNOLOGIES INC | CMN | 054540109 | 15 | 11000 SH | SOLE | | | | 2 |
| COM USD0.001 | | | | | | | | | |
| BAIDU INC/CHINA UNLISTED | CMN | 056752108 | 1119 | 9608 SH | SOLE | | | | 2 |
| NPV | | | | | | | | | |
| ACCURIDE CORP NEW | CMN | 00439T206 | 5136.874 | 721471 SH | SOLE | | | | 4 |
| SMITHFIELD FOODS INC COM | CNV | 832248AR9 | 5079 | 41613.4 SH | SOLE | | | | 1 |
| USD0.5 | | | | | | | | | |
| 99 CENTS ONLY STORES COM | CMN | 65440K106 | 5002 | 227899 SH | DEFINED | | | | 1 |
| NPV | | | | | | | | | |
| CONSTELLATION ENERGY GROUP | CMN | 210371100 | 4987 | 125700 SH | DEFINED | | | | 1 |
| INC COM NPV | | | | | | | | | |
| UNITED STATES STEEL CORP | CNV | 912909AE8 | 4982 | 45082.35 SH | SOLE | | | | 1 |
| COM USD1 | | | | | | | | | |
| GOODRICH PETROLEUM CORP | CNV | 382410AC2 | 4882 | 53003.63 SH | SOLE | | | | 1 |
| COM USD0.20 | | | | | | | | | |
| BAKER HUGHES INC COM USD1 | CMN | 057224107 | 542 | 11146 SH | SOLE | | | | 2 |
| BANCO SANTANDER BRASIL | CMN | 05967A107 | 1182 | 145167 SH | DEFINED | | | | 2 |
| SA/BRAZIL UNIT NPV | | | | | | | | | |
| BANCO SANTANDER BRASIL | CMN | 05967A107 | 41 | 4997 SH | DEFINED | | | | 2 |
| SA/BRAZIL UNIT NPV | | | | | | | | | |
| BANCO SANTANDER SA | CMN | 05964H105 | 224 | 29850 SH | SOLE | | | | 2 |
| EUR0.50 (REGD) | | | | | | | | | |
| BANK OF AMERICA CORP COM | CMN | 060505104 | 23014 | 4139284 SH | DEFINED | | | | 2 |
| USD0.01 | | | | | | | | | |
| INCYTE CORP COM USD0.001 | CMN | 45337C102 | 4647 | 309567 SH | DEFINED | | | | 1 |
| BANK OF AMERICA CORP COM | CMN | 060505104 | 16269 | 2926129 SH | DEFINED | | | | 2 |
| USD0.01 | | | | | | | | | |
| VORNADO REALTY TRUST COM | CNV | 929043AC1 | 4628 | 45371.11 SH | SOLE | | | | 1 |
| USD0.04 | | | | | | | | | |
| MARRIOTT INTERNATIONAL INC | CMN | 571903202 | 4609 | 158000 SH | DEFINED | | | | 1 |
| COM USD0.01 CLASS 'A' | | | | | | | | | |
| BANK OF IRELAND /THE | CMN | 46267Q202 | 4196 | 989560 SH | SOLE | | | | 2 |
| GOVERNOR & CO EURO.05 | | | | | | | | | |
| HOST HOTELS & RESORTS INC | CNV | 44107TAG1 | 4535 | 36428.19 SH | SOLE | | | | 1 |
| COM STK USD0.01 | | | | | | | | | |

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| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | C |
|----------------|----------------|----------|--------------------|--------------------|-------------------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION M |

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|---|-----|-----------|------------|-----------|----|---------|---|
| LYONDELLBASELL INDUSTRIES N | CMN | N53745100 | 4505.84337 | 191076 | SH | SOLE | 4 |
| EQUINIX INC COM NPV | CNV | 29444UAH9 | 4502 | 31986.16 | SH | SOLE | 1 |
| BANK OF NEW YORK MELLON CORP/THE COM STK USD0.01 | CMN | 064058100 | 327 | 16408 | SH | SOLE | 2 |
| EASTMAN KODAK CO COM USD2.50 | CNV | 277461BJ7 | 4470 | 168690.95 | SH | DEFINED | 1 |
| KILROY REALTY CORP COM STK USD0.01 | CNV | 49427RAC6 | 4460 | 37682.81 | SH | SOLE | 1 |
| FIDELITY NTL FIN INC CLASS 'A' COM STK USD0.0001 | CNV | 31620RAD7 | 4457 | 46382.18 | SH | SOLE | 1 |
| BANK OF NOVA SCOTIA COM NPV | CMN | 064149107 | 643 | 12910 | SH | SOLE | 2 |
| BARCLAYS PLC ORD GBP0.25 | CMN | 06738E204 | 181 | 16476 | SH | SOLE | 2 |
| INDEXIQ ETF TR US RL EST SMCP | CMN | 45409B628 | 4405 | 250000 | SH | SOLE | 1 |
| BARRICK GOLD CORP COM NPV | CMN | 067901108 | 931 | 20576 | SH | DEFINED | 2 |
| BARRICK GOLD CORP COM NPV | CMN | 067901108 | 83 | 1836 | SH | DEFINED | 2 |
| BAXTER INTERNATIONAL INC COM USD1 | CMN | 857477103 | 692 | 13984 | SH | SOLE | 2 |
| APOLLO INVESTMENT CORP COM USD0.001 | CNV | 03761UAA4 | 4225 | 50909.09 | SH | SOLE | 1 |
| BED BATH & BEYOND INC COM USD0.01 | CMN | 075896100 | 1237 | 21337 | SH | SOLE | 2 |
| BELLWAY PLC GBP0.125 | CMN | 758849103 | 2130589 | 29924 | SH | SOLE | 2 |
| BEMIS CO INC COM USD0.10 | CMN | 858119100 | 8 | 264 | SH | DEFINED | 2 |
| BERKSHIRE HATHAWAY INC CLASS 'A'USD0.0033 | CMN | 084670108 | 7803 | 68 | SH | SOLE | 2 |
| BERKSHIRE HATHAWAY INC CLASS B NONVTG USD0.0033 | CMN | 084670702 | 1589 | 20822 | SH | SOLE | 2 |
| NASH FINCH CO COM USD1.66 2/3 | CNV | 631158AD4 | 4098 | 89388.93 | SH | SOLE | 1 |
| NETLOGIC MICROSYSTEMS INC COM USD0.01 | CMN | 64118B100 | 4090 | 82500 | SH | DEFINED | 1 |
| NEWS CORP COM USD0.01 CLASS'A' | CMN | 65248E104 | 4090 | 229287 | SH | DEFINED | 1 |
| BEST BUY CO INC COM USD0.10 | CMN | 87236Y108 | 626 | 26799 | SH | SOLE | 2 |
| AMERICAN INTERNATIONAL GROUP INC COM USD2.50 | CMN | 026874784 | 4026 | 173527 | SH | DEFINED | 1 |
| TEMPLE-INLAND INC COM USD1 | CMN | 879868107 | 4008 | 126400 | SH | DEFINED | 1 |
| TRANSATLANTIC HOLDINGS INC COM USD1 | CMN | 893521104 | 4005 | 73185 | SH | DEFINED | 1 |
| BORGWARNER INC COM USD0.01 | CNV | 099724AF3 | 3934 | 20232.49 | SH | SOLE | 1 |
| BHP BILLITON PLC USD0.50 | CMN | 05545E209 | 17132 | 293398 | SH | SOLE | 2 |
| PROLOGIS INC COM USD0.01 | CNV | 74340XAT8 | 3860 | 37626.21 | SH | SOLE | 1 |
| BILL BARRETT CORP COM USD0.001 | CMN | 06846N104 | 211 | 6186 | SH | SOLE | 2 |
| HEALTH CARE REIT INC USD1 | CNV | 42217KAP1 | 3820 | 32971.93 | SH | SOLE | 1 |
| BIOGEN IDEC INC COM STK USD0.0005 | CMN | 09062X103 | 747 | 6792 | SH | SOLE | 2 |
| YAHOO INC COM USD0.001 | CMN | 984332106 | 3778 | 234232 | SH | DEFINED | 1 |
| BIOLASE TECHNOLOGY INC COM USD0.001 | CMN | 090911108 | 389 | 151500 | SH | SOLE | 2 |
| BIOTIME INC COM NPV | CMN | 09066L105 | 110 | 18955 | SH | SOLE | 2 |
| SUPERIOR WELL SERVICES INC PRF1000 | CNV | 86837X204 | 3647 | 4052 | SH | SOLE | 1 |
| BLACKROCK INC/NEW YORK COM STK USD0.01 | CMN | 09247X101 | 24 | 132 | SH | DEFINED | 2 |
| BLUE COAT SYSTEMS INC COM USD0.0001 | CMN | 09534T508 | 486 | 19113 | SH | DEFINED | 2 |
| BMC SOFTWARE INC COM USD0.01 | CMN | 055921100 | 492 | 15000 | SH | SOLE | 2 |

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|--|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|---|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | M |
| NEWMONT MINING CORP COM USD1.60 | CNV | 651639AK2 | 3496 | 26615.06 | SH | SOLE | 1 |
| COMPLETE PRODUCTION SERVICES INC COM STK USD0.01 | CMN | 20453E109 | 3490 | 103988 | SH | DEFINED | 1 |
| BOEING CO COM USD5 | CMN | 097023105 | 1978 | 26966 | SH | DEFINED | 2 |
| STANLEY BLACK & DECKER INC COM USD2.50 | CNV | 854616AM1 | 3327 | 29987.43 | SH | SOLE | 1 |
| BORGWARNER INC COM USD0.01 | CMN | 099724106 | 515 | 8081 | SH | SOLE | 2 |
| PNC FINANCIAL SERVICES GROUP INC COM USD5 | CMN | 693475105 | 3315 | 57487 | SH | DEFINED | 1 |
| SANDISK CORP COM USD0.001 | CMN | 80004C101 | 3306 | 67175 | SH | DEFINED | 1 |
| MEDTRONIC INC COM USD0.10 | CNV | 585055AM8 | 3298 | 32703.7 | SH | SOLE | 1 |
| BOSTON SCIENTIFIC CORP COM USD0.01 | CMN | 101137107 | 70 | 13160 | SH | SOLE | 2 |
| BP PLC ORD USD0.25 | CMN | 055622104 | 7933 | 185616 | SH | SOLE | 2 |
| BRF - BRASIL FOODS SA COM NPV | CMN | 10552T107 | 602 | 30813 | SH | SOLE | 2 |
| MORGANS HOTEL GROUP CO COM STK NPV | CNV | 61748WAB4 | 3182 | 39161.43 | SH | SOLE | 1 |
| BRISTOL-MYERS SQUIBB CO COM STK USD0.10 | CMN | 110122108 | 251 | 7115 | SH | SOLE | 2 |
| BRITISH AMERICAN TOBACCO PLC ORD GBP0.25 | CMN | 110448107 | 671 | 7070 | SH | SOLE | 2 |
| STREETTRACKS SERIE SPDR HOMEBUILDERS ETF | CMN | 78464A888 | 2986 | 174620 | SH | DEFINED | 1 |
| BROADCOM CORP CL A COM USD0.0001 | CMN | 111320107 | 341 | 11626 | SH | DEFINED | 2 |
| EMC CORP/MASSACHUSETTS COM USD0.01 | CNV | 268648AM4 | 2860 | 19900.49 | SH | SOLE | 1 |
| BROCADE COMMUNICATIONS SYSTEMS INC COM USD0.001 | CMN | 111621306 | 116 | 22300 | SH | DEFINED | 2 |
| CABLEVISION SYS CP NY GROUP CM CLASS'A'USD0.01 | CMN | 12686C109 | 285 | 20048 | SH | SOLE | 2 |
| CABOT OIL & GAS CORP COM USD0.10 | CMN | 127097103 | 546 | 7191 | SH | SOLE | 2 |
| CADIZ INC COM USD0.01 | CMN | 127537207 | 261 | 27089 | SH | SOLE | 2 |
| LEVEL 3 COMMUNICATIONS INC USD0.01 | CNV | 52729NBQ2 | 2702 | 24814.81 | SH | SOLE | 1 |
| JANUS CAPITAL GROUP INC COM USD0.01 | CMN | 47102X105 | 2682 | 425000 | SH | DEFINED | 1 |
| CALEDONIA INVESTMENTS PLC/FUND ORD GBP0.05 | CMN | 7591EP100 | 490445 | 3593 | SH | DEFINED | 2 |
| CALLAWAY GOLF CO COM USD0.01 | CMN | 131193104 | 330 | 59600 | SH | SOLE | 2 |
| GENERAL MOTORS CO 0.01 | CMN | 37045V100 | 2659 | 131199 | SH | DEFINED | 1 |
| RTI INTERNATIONAL METALS INC COM USD0.01 | CNV | 74973WAA5 | 2646 | 26315.78 | SH | SOLE | 1 |

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|--|-----|-----------|------|----------|----|---------|---|
| CALPINE CORP COM STK USD0.001 | CMN | 131347304 | 1304 | 79839 | SH | SOLE | 2 |
| CAMECO CORP COM NPV | CMN | 13321L108 | 386 | 21410 | SH | SOLE | 2 |
| PETROLEUM DEVELOPMENT CORP COM USD0.01 | CNV | 716578AC3 | 2621 | 24646.22 | SH | SOLE | 1 |
| CAMERON INTERNATIONAL CORP COM USD0.01 | CMN | 13342B105 | 153 | 3101 | SH | DEFINED | 2 |
| AGCO CORP COM USD0.01 | CNV | 001084AM4 | 2601 | 21139.2 | SH | DEFINED | 1 |
| ACTUANT CORP CL'A' COM USD0.20 | CNV | 00508XAB0 | 2587 | 21428.57 | SH | SOLE | 1 |
| CAMPBELL BROTHERS LTD NPV | CMN | 189754104 | 4 | 81 | SH | DEFINED | 2 |
| CANADIAN IMPERIAL BANK OF COMMERCE/CANADA COM NPV | CMN | 313586109 | 110 | 1494 | SH | SOLE | 2 |

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|--|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|---|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | M |
| CANADIAN NATURAL RESOURCES LTD COM NPV | CMN | 136385101 | 212 | 5660 | SH | DEFINED | 2 |
| TAKE-TWO INTERACTIVE SOFTWARE INC COM USD0.01 | CNV | 874054AB5 | 2542 | 26191.72 | SH | SOLE | 1 |
| CANADIAN NATURAL RESOURCES LTD COM NPV | CMN | 136385101 | 165 | 4412 | SH | DEFINED | 2 |
| AMERICAN TOWER CORP CL'A'COM USD0.01 | CMN | 029912201 | 2526 | 42094 | SH | DEFINED | 1 |
| PHARMASSET INC COM STK USD0.001 | CMN | 71715N106 | 2500 | 19500 | SH | DEFINED | 1 |
| CHESAPEAKE ENERGY CORP COM USD0.01 | CNV | 165167BZ9 | 2498 | 28056.77 | SH | SOLE | 1 |
| CANADIAN SOLAR INC COM STK NPV | CMN | 136635109 | 82 | 30989 | SH | SOLE | 2 |
| CAPITAL ONE FINANCIAL CORP COM USD0.01 | CMN | 858155203 | 1571 | 37138 | SH | SOLE | 2 |
| CAPSTONE TURBINE CORP COM USD0.001 | CMN | 14067D102 | 12 | 10000 | SH | SOLE | 2 |
| ON SEMICONDUCTOR CORP COM USD0.01 | CNV | 682189AG0 | 2376 | 21809.52 | SH | SOLE | 1 |
| CARMAX INC COM USD0.50 | CMN | 143130102 | 546 | 17915 | SH | SOLE | 2 |
| CARNIVAL CORP COM USD0.01 (PAIRED STOCK) | CMN | 143658300 | 338 | 10358 | SH | SOLE | 2 |
| CATERPILLAR INC COM USD1 | CMN | 149123101 | 1389 | 15331 | SH | DEFINED | 2 |
| RAYONIER INC COM NPV | CNV | 75508AAD8 | 2295 | 16239.52 | SH | SOLE | 1 |
| CATERPILLAR INC COM USD1 | CMN | 149123101 | 1305 | 14406 | SH | DEFINED | 2 |
| CBS CORP CLASS'B' COM STK USD0.001 | CMN | 124857202 | 2708 | 99797 | SH | DEFINED | 2 |
| AMERICAN EXPRESS CO COM USD0.20 | CMN | 025816109 | 2269 | 48096 | SH | DEFINED | 1 |
| CELGENE CORP COM USD0.01 | CMN | 151020104 | 959 | 14180 | SH | SOLE | 2 |
| PPL CORP PRF50 | CNV | 69351T601 | 2226 | 40000 | SH | SOLE | 1 |
| CELL THERAPEUTICS INC COM NPV | CMN | 150934602 | 12 | 10116 | SH | SOLE | 2 |
| CELSION CORP COM STK | CMN | 15117N305 | 34 | 20000 | SH | SOLE | 2 |

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|--|-----|-----------|---------|-------------|---------|---|--|--|
| USD0.01 | | | | | | | | |
| CEMEX SAB DE CV CPO NPV(REP 2'A' & 1'B') | CMN | 151290889 | 23815.1 | 4418378 SH | DEFINED | 2 | | |
| CEMEX SAB DE CV CPO NPV(REP 2'A' & 1'B') | CMN | 151290889 | 201.8 | 37431 SH | DEFINED | 2 | | |
| CENTRAIS ELETRICAS BRASILEIRAS SA COM NPV | CMN | 15234Q207 | 508 | 52300 SH | SOLE | 2 | | |
| LINEAR TECHNOLOGY CORP COM USD0.001 | CNV | 535678AC0 | 2121 | 20718.84 SH | SOLE | 1 | | |
| KKR & CO LP LTD PART NPV | CMN | 48248M102 | 2088 | 162742 SH | DEFINED | 1 | | |
| CENTRAL EUROPEAN DISTRIBUTION CORP COM USD0.01 | CMN | 153435102 | 410 | 93772 SH | SOLE | 2 | | |
| CENTURYLINK INC COM USD1 | CMN | 156700106 | 1222 | 32846 SH | DEFINED | 2 | | |
| SUNPOWER CORP COM USD0.001 CLASS'A' | CNV | 867652AE9 | 2060 | 25432.75 SH | SOLE | 1 | | |
| HEALTH CARE REIT INC 6.5% CNV PREF 31/12/2049 | CNV | 42217K601 | 2045 | 40000 SH | SOLE | 1 | | |
| CENTURYLINK INC COM USD1 | CMN | 156700106 | 372 | 10010 SH | DEFINED | 2 | | |
| CERNER CORP COM USD0.01 | CMN | 156782104 | 742 | 12119 SH | SOLE | 2 | | |
| AMERICAN DENTAL PARTNERS INC COM STK USD0.01 | CMN | 025353103 | 2009 | 106700 SH | SOLE | 1 | | |
| BROADCOM CORP CL A COM USD0.0001 | CMN | 111320107 | 1996 | 68000 SH | DEFINED | 1 | | |
| CF INDUSTRIES HOLDINGS INC COM USD0.01 | CMN | 125269100 | 233 | 1610 SH | DEFINED | 2 | | |

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|---|----------------|-----------|--------------------|--------------------|---------------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION M |
| CH ROBINSON WORLDWIDE INC COM USD0.1 | CMN | 12541W209 | 14 | 203 SH | DEFINED | 2 |
| CHARLES RIVER LABORATORIES COM STK USD0.01 | CMN | 159864107 | 1281 | 46877 SH | DEFINED | 2 |
| CHARLES RIVER LABORATORIES COM STK USD0.01 | CMN | 159864107 | 1 | 42 SH | DEFINED | 2 |
| CHECK POINT SOFTWARE TECHNOLOGIES LTD ORD ILS0.01 | CMN | M22465104 | 245 | 4665 SH | SOLE | 2 |
| CHART INDUSTRIES INC COM STK USD0.01 | CNV | 16115QAC4 | 1920 | 18194.98 SH | SOLE | 1 |
| CHELSEA THERAPEUTICS INTERNATIONAL LTD COM STK NPV | CMN | 163428105 | 65 | 12600 SH | SOLE | 2 |
| CHENIERE ENERGY INC COM STK USD0.003 | CMN | 16411R208 | 814 | 93665 SH | SOLE | 2 |
| CHESAPEAKE ENERGY CORP COM USD0.01 | CMN | 165167107 | 1339 | 60081 SH | SOLE | 2 |
| INTERPUBLIC GROUP OF COS CNV 5.25% CNV PREF SER 'B' | CNV | 460690803 | 1896 | 2121 SH | SOLE | 1 |
| SAVIENT PHARMACEUTICALS INC COM USD0.01 | CNV | 80517QAA8 | 1879 | 44637.05 SH | SOLE | 1 |

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|--|-----|-----------|------|-------------|---------|---|
| CHEVRON CORP COM USD0.75 | CMN | 166764100 | 2159 | 20291 SH | SOLE | 2 |
| CHICAGO BRIDGE & IRON CO | CMN | 167250109 | 306 | 8099 SH | SOLE | 2 |
| NV NY REGISTRY SH EUR0.01 | | | | | | |
| GENTIVA HEALTH SERVICES INC COM USD0.10 | CMN | 37247A102 | 1856 | 275000 SH | DEFINED | 1 |
| AKAMAI TECHNOLOGIES INC COM USD0.01 | CMN | 00971T101 | 1851 | 57343 SH | DEFINED | 1 |
| CHINA AGRITECH INC USD0.1 | CMN | 16937A200 | 35 | 20025 SH | SOLE | 2 |
| CHINA DIGITAL TV HOLDING-ADR ADR EACH REPR 1 ORD SHS | CMN | 16938G107 | 170 | 53741 SH | SOLE | 2 |
| CHINA GERUI ADVANCED MATERIALS COM STK USD0.0001 | CMN | G21101103 | 145 | 43158 SH | SOLE | 2 |
| CHINA GREEN AGRICULTURE INC USD0.001 | CMN | 16943W105 | 31 | 10300 SH | SOLE | 2 |
| CHINA INFORMATION TECHNOLOGY INC COM USD0.01 | CMN | 16950L109 | 7 | 11500 SH | SOLE | 2 |
| CHINA INTEGRATED ENERGY INC COM NPV | CMN | 16948P105 | 6 | 15900 SH | SOLE | 2 |
| CHINA LIFE INSURANCE CO LTD 'H' CNY1 | CMN | 16939P106 | 458 | 12375 SH | SOLE | 2 |
| CHINA NTH EAST PETROLEUM HOLDINGS LTD COM USD0.001 | CMN | 16941G102 | 27 | 13150 SH | SOLE | 2 |
| CHINA PETROLEUM & CHEMICAL CORP 'H' CNY1 | CMN | 16941R108 | 4832 | 46000 SH | SOLE | 2 |
| ALPHA NAT RES LLC COM USD0.01 | CNV | 02076XAA0 | 1703 | 18296.18 SH | SOLE | 1 |
| CONSUMER STAPLES SPDR AMEX CONSUMER STAPLES INDEX | CMN | 81369Y308 | 1695 | 52163 SH | DEFINED | 1 |
| CHIPOTLE MEXICAN GRILL INC CLASS 'A' COM STK USD0.01 | CMN | 169656105 | 184 | 544 SH | DEFINED | 2 |
| CHIQUITA BRANDS INTERNATIONAL INC COM USD.01 | CMN | 170032809 | 709 | 85000 SH | SOLE | 2 |
| SELECT SECTOR SPDR SBI CONSUMER DISCRETIONARY | CMN | 81369Y407 | 1680 | 43055 SH | DEFINED | 1 |
| CHUGAI RO CO LTD NPV | CMN | 885160101 | 2546 | 10265 SH | SOLE | 2 |

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|---|----------------|-----------|--------------------|--------------------|-------------------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION M |
| SECTOR SPDR TRUST AMEX TECHNOLOGY SELECT IDX | CMN | 81369Y803 | 1658 | 65130 SH | DEFINED | 1 |
| GREAT PLAINS ENERGY INC PRF50 | CNV | 391164803 | 1651 | 25000 SH | SOLE | 1 |
| CIA BRASILIERA DE DISTRIBUICAO GRUPO PRF NPV | CMN | 20440T201 | 610 | 16740 SH | SOLE | 2 |
| CIA SIDERURGICA NACIONAL SA COM NPV | CMN | 20440W105 | 1445 | 176693 SH | DEFINED | 2 |
| CIA SIDERURGICA NACIONAL SA COM NPV | CMN | 20440W105 | 701 | 85750 SH | DEFINED | 2 |

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|--|-----|-----------|----------|----------|----|---------|---|
| CIENA CORP COM STK USD0.01 | CMN | 171779309 | 150 | 12380 | SH | DEFINED | 2 |
| CINEMARK HOLDINGS INC COM STK USD0.001 | CMN | 17243V102 | 257 | 13897 | SH | SOLE | 2 |
| TRIPADVISOR INC 'W/I'NPV | CMN | 896945201 | 1601 | 63494 | SH | DEFINED | 1 |
| CISCO SYSTEMS INC COM USD0.001 | CMN | 17275R102 | 2076 | 114848 | SH | SOLE | 2 |
| FIFTH THIRD BANCORP COM NPV | CMN | 316773100 | 1590 | 124983 | SH | DEFINED | 1 |
| CITIGROUP INC USD0.01 | CMN | 172967424 | 30536 | 1160636 | SH | DEFINED | 2 |
| CITIGROUP INC USD0.01 | CMN | 172967424 | 670 | 25473 | SH | DEFINED | 2 |
| CITY NATIONAL CORPORATION COM USD1 | CMN | 812578102 | 21 | 477 | SH | SOLE | 2 |
| L 3 COMMUNICATIONS HOLDING COM USD0.01 | CNV | 502413AW7 | 1560 | 16365.38 | SH | SOLE | 1 |
| PRIMUS TELECOMMUNICATIONS GR | CMN | 741929301 | 1554.395 | 122780 | SH | SOLE | 4 |
| COMERICA INC COM USD5 | CMN | 200340107 | 1548 | 60000 | SH | DEFINED | 1 |
| CLAYMORE S&P GBL WAT IDX ET CLAYMORE S&P GBL WAT IDX | CMN | 18383Q507 | 253 | 13500 | SH | SOLE | 2 |
| CLIFFS NATURAL RESOURCES INC COM USD1 | CMN | 18683K101 | 295 | 4726 | SH | DEFINED | 2 |
| CLOROX CO COM USD1 | CMN | 189054109 | 293 | 4405 | SH | DEFINED | 2 |
| LAM RESEARCH CORP COM USD0.001 | CNV | 512807AH1 | 1490 | 15820.37 | SH | SOLE | 1 |
| CNH GLOBAL NV COM EUR2.25 | CMN | N20935206 | 562 | 15620 | SH | SOLE | 2 |
| JAKKS PACIFIC INC COM STK USD0.001 | CMN | 47012E106 | 1474 | 104481 | SH | SOLE | 1 |
| MCMORAN EXPLORATION CO COM USD0.01 | CMN | 582411104 | 1470 | 101000 | SH | DEFINED | 1 |
| CNINSURE INC ADS EACH REPR 20 ORD SHS | CMN | 18976M103 | 175 | 25220 | SH | SOLE | 2 |
| CNOOC LTD HKD0.02 | CMN | 126132109 | 5782 | 33100 | SH | SOLE | 2 |
| COACH INC COM USD0.01 | CMN | 189754104 | 712 | 11672 | SH | DEFINED | 2 |
| COCA-COLA CO/THE COM USD0.25 | CMN | 81369Y209 | 2674 | 38223 | SH | DEFINED | 2 |
| COCA-COLA CO/THE COM USD0.25 | CMN | 81369Y209 | 18 | 260 | SH | DEFINED | 2 |
| COCA-COLA ENTERPRISES INC COM USD1 | CMN | 81369Y308 | 482 | 18690 | SH | DEFINED | 2 |
| COEUR D'ALENE MINES CORP USD1 | CMN | 192108504 | 479 | 19837 | SH | SOLE | 2 |
| COLGATE-PALMOLIVE CO COM USD1 | CMN | 830830105 | 1098 | 11888 | SH | SOLE | 2 |
| PETSMART INC COM USD0.0001 | CMN | 716768106 | 1364 | 26597 | SH | DEFINED | 1 |
| COMCAST CORP COM CLS'A' USD0.01 | CMN | 20030N101 | 18528 | 781425 | SH | SOLE | 2 |
| MARKET VECTORS JUNIOR GOLD MINERS ETF ETP NPV | CMN | 57060U589 | 1354 | 54800 | SH | DEFINED | 1 |
| COMERICA INC COM USD5 | CMN | 200340107 | 228 | 8822 | SH | DEFINED | 2 |
| COMPLETE GENOMICS INC COM NPV | CMN | 20454K104 | 63 | 21412 | SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 |
|----------|----------|----------|----------|---------------------|------------|
| | | | VALUE | SHRS OR SH/ PUT/ | INVESTMENT |

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| NAME OF ISSUER | TITLE OF CLASS | CUSIP | (x\$1000) | PRN | AMT | PRN CALL | DISCRETION | M |
|---|----------------|-----------|-----------|----------|-----|----------|------------|---|
| COMPLETE PRODUCTION SERVICES INC COM STK USD0.01 | CMN | 20453E109 | 2279 | 67906 | SH | | DEFINED | 2 |
| CONAGRA FOODS INC COM USD5 | CMN | 205887102 | 213 | 8067 | SH | | SOLE | 2 |
| PHARMERICA CORP COM STK USD0.01 | CMN | 71714F104 | 1342 | 88418 | SH | | SOLE | 1 |
| CONCUR TECHNOLOGIES INC COM USD0.001 | CMN | 206708109 | 135 | 2657 | SH | | DEFINED | 2 |
| METLIFE INC COM USD0.01 | CMN | 59156R108 | 1335 | 42808 | SH | | DEFINED | 1 |
| CONOCOPHILLIPS COM USD0.01 | CMN | 20825C104 | 1204 | 16528 | SH | | SOLE | 2 |
| CONSOL ENERGY INC COM USD0.01 | CMN | 20854P109 | 521 | 14184 | SH | | SOLE | 2 |
| CONSTELLATION ENERGY GROUP INC COM NPV | CMN | 210371100 | 33 | 834 | SH | | DEFINED | 2 |
| AMAZON.COM INC COM USD0.01 | CMN | 023135106 | 1316 | 7605 | SH | | DEFINED | 1 |
| CONSUMER STAPLES SPDR AMEX CONSUMER STAPLES INDEX | CMN | 81369Y308 | 52 | 1600 | SH | | DEFINED | 2 |
| CONTINENTAL RESOURCES INC/OK COM STK USD0.01 | CMN | 212015101 | 230 | 3448 | SH | | DEFINED | 2 |
| CORNING INC COM USD0.50 | CMN | 219350105 | 2461 | 189629 | SH | | DEFINED | 2 |
| CORNING INC COM USD0.50 | CMN | 219350105 | 114 | 8771 | SH | | DEFINED | 2 |
| COSTCO WHOLESALE CORP COM STK USD0.005 | CMN | 22160K105 | 825 | 9901 | SH | | SOLE | 2 |
| CREDIT SUISSE GROUP CHF0.04 (REGD) | CMN | 225401108 | 885 | 37700 | SH | | SOLE | 2 |
| CROCS INC COM STK USD0.001 | CMN | 227046109 | 214 | 14517 | SH | | SOLE | 2 |
| NEWMONT MINING CORP COM USD1.60 | CNV | 651639AH9 | 1278 | 9171.82 | SH | | SOLE | 1 |
| GROUP 1 AUTOMOTIVE INC COM USD0.01 | CNV | 398905AF6 | 1271 | 8510.28 | SH | | SOLE | 1 |
| CSR PLC ORD GBP0.001 | CMN | 12640Y205 | 163 | 14296 | SH | | SOLE | 2 |
| VIACOM INC NEW CL'B' NON-VIG USD0.001 | CMN | 92553P201 | 1268 | 27927 | SH | | DEFINED | 1 |
| CSX CORP COM USD1 | CMN | 126408103 | 379 | 18005 | SH | | SOLE | 2 |
| CTC MEDIA INC COM STK USD0.01 | CMN | 12642X106 | 1580 | 180198 | SH | | SOLE | 2 |
| CTRIP.COM INTL LTD ADS EA REP 0.5 ORD USD0.01 | CMN | 22943F100 | 356 | 15230 | SH | | SOLE | 2 |
| DIGITAL RIVER INC COM STK USD0.01 | CNV | 25388BAC8 | 1232 | 14960.3 | SH | | SOLE | 1 |
| CUMMINS INC COM USD2.50 | CMN | 231021106 | 678 | 7701 | SH | | DEFINED | 2 |
| CURIS INC COM USD0.01 | CMN | 231269101 | 150 | 32100 | SH | | SOLE | 2 |
| CVR ENERGY INC COM STK USD0.01 | CMN | 12662P108 | 454 | 24260 | SH | | SOLE | 2 |
| THE GOLDMAN SACHS GROUP, INC COM USD0.01 | CMN | 38141G104 | 1214 | 13424 | SH | | DEFINED | 1 |
| EXPEDIA INC COM STK USD0.001 | CMN | 30212P303 | 1210 | 41708 | SH | | DEFINED | 1 |
| CVS CAREMARK CORP COM STK USD0.01 | CMN | 126650100 | 8469 | 207664 | SH | | DEFINED | 2 |
| CVS CAREMARK CORP COM STK USD0.01 | CMN | 126650100 | 1307 | 32057 | SH | | DEFINED | 2 |
| CYTEC INDUSTRIES INC COM USD0.01 | CMN | 87305R109 | 36 | 816 | SH | | DEFINED | 2 |
| SL GREEN REALTY CORP COM USD0.01 | CNV | 78444FAC0 | 1200 | 11653.65 | SH | | SOLE | 1 |
| COINSTAR INC COM USD0.001 | CNV | 19259PAF9 | 1197 | 9183.42 | SH | | SOLE | 1 |
| DANAHER CORP COM USD0.01 | CMN | 235851102 | 52 | 1114 | SH | | DEFINED | 2 |
| DARDEN RESTAURANTS INC COM | CMN | 237194105 | 249 | 5465 | SH | | SOLE | 2 |

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NPV

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | | COLUMN 6 |
|---|----------------|-----------|--------------------|--------------------|------------|--------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PRN | PUT/ CALL | INVESTMENT DISCRETION M |
| DECKERS OUTDOOR CORP COM USD0.01 | CMN | 243537107 | 591 | 7815 | SH | | SOLE |
| DEERE & CO COM USD1 | CMN | 81369Y605 | 79 | 1027 | SH | | DEFINED |
| DELL INC COM USD0.01 | CMN | 81369Y803 | 1003 | 68566 | SH | | DEFINED |
| DELPHI FINANCIAL GROUP INC CLASS'A'COM USD0.01 | CMN | 247131105 | 239 | 5400 | SH | | SOLE |
| DELTA AIR LINES INC COM USD0.0001 | CMN | 247361702 | 970 | 119892 | SH | | SOLE |
| LINCARE HOLDINGS INC COM USD0.01 | CNV | 532791AF7 | 1130 | 10464.41 | SH | | SOLE |
| A123 SYSTEM INC COM NPV | CNV | 03739TAA6 | 1123 | 34722.22 | SH | | SOLE |
| DELTA PETROLEUM CP USD0.01 | CMN | 247907306 | 1 | 13100 | SH | | SOLE |
| DENDREON CORP COM USD0.001 | CMN | 24823Q107 | 932 | 122665 | SH | | SOLE |
| DEUTSCHE BANK AG NPV (REGD) | CMN | D18190898 | 967 | 25546 | SH | | SOLE |
| DEVON ENERGY CORP COM USD0.10 | CMN | 25179M103 | 735 | 11848 | SH | | SOLE |
| RAYONIER INC | CMN | 754907103 | 1097.52 | 24000 | SH | | SOLE |
| DIAMOND FOODS INC COM USD0.001 | CMN | 252603105 | 4418 | 136903 | SH | | SOLE |
| DIAMOND OFFSHORE DRILLING INC COM USD0.01 | CMN | 25271C102 | 194 | 3515 | SH | | DEFINED |
| DIAMONDS TST SERIES I SER'1' UNITS OF BEN INT NPV | CMN | 78467X109 | 802 | 6581 | SH | | SOLE |
| DIANA SHIPPING INC COM STK USD0.01 | CMN | Y2066G104 | 157 | 21050 | SH | | SOLE |
| PLUM CREEK TIMBER CO INC | CMN | 729251108 | 1047.06 | 27000 | SH | | SOLE |
| FORD MOTOR CO COM STK USD0.01 | CMN | 345370860 | 1040 | 96655 | SH | | DEFINED |
| LIFEPOINT HOSPITALS INC COM USD0.01 | CNV | 53219LAH2 | 1013 | 9944 | SH | | SOLE |
| DIGITAL GENERATION INC COM USD0.001 | CMN | 25400B108 | 137 | 11500 | SH | | SOLE |
| BLUE COAT SYSTEMS INC COM USD0.0001 | CMN | 09534T508 | 1010 | 39700 | SH | | DEFINED |
| DIGITAL RIVER INC COM STK USD0.01 | CMN | 25388B104 | 165 | 11000 | SH | | SOLE |
| DIREXION DAILY 10-YEAR TREASURY BEAR 3X 'Y TR BEAR 3X'ETP NPV | CMN | 25459W557 | 251 | 9150 | SH | | SOLE |
| DIREXION DAILY 30-YEAR TREASURY BEAR 3X 'Y TR BEAR 3X'ETP NPV | CMN | 25459Y678 | 637 | 9377 | SH | | SOLE |
| WINN-DIXIE STORES INC COM STK USD1 | CMN | 974280307 | 1002 | 106800 | SH | | DEFINED |
| INTERDIGITAL INC COM STK USD0.01 | CNV | 458660AA5 | 1001 | 9505.63 | SH | | SOLE |

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|---|-----|-----------|-----|----------|----|---------|---|
| STATE BANCORP INC/NY COM USD5 | CMN | 855716106 | 995 | 81568 | SH | SOLE | 1 |
| DANAHER CORP COM USD0.01 | CNV | 235851AF9 | 980 | 7178.68 | SH | SOLE | 1 |
| OWENS-ILLINOIS INC COM USD0.01 | CNV | 69073TAQ6 | 977 | 10617.23 | SH | SOLE | 1 |
| DIREXION DAILY GOLD MINERS BUL ETP NPV | CMN | 25459W250 | 247 | 12600 | SH | SOLE | 2 |
| STREETTRACKS SPDR O&G EX&PRD | CMN | 78464A730 | 969 | 18400 | SH | SOLE | 1 |
| DISCOVER FINANCIAL SERVICES COM STK USD0.01 | CMN | 254709108 | 141 | 5892 | SH | DEFINED | 2 |
| KINDER MORGAN INC/DELAWARE COM NPV | CMN | 49456B101 | 965 | 30000 | SH | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | COLUMN 6 | C |
|---|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|---|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | M |
| DISCOVERY COMMUNICATIONS INC COM USD0.01 CL 'A' | CMN | 25470F104 | 212 | 5182 | SH | SOLE | 2 |
| ROVI CORP COM USD0.001 | CNV | 779376AB8 | 955 | 9565.05 | SH | SOLE | 1 |
| DOLLAR THRIFTY AUTOMOTIVE GROUP INC COM USD0.01 | CMN | 256743105 | 301 | 4290 | SH | SOLE | 2 |
| MCCORMICK & SCHMICK'S SEAFOO COM STK USD0.001 | CMN | 579793100 | 932 | 106598 | SH | SOLE | 1 |
| DOLLAR TREE INC COM USD0.01 | CMN | 256746108 | 636 | 7658 | SH | SOLE | 2 |
| DOVER CORP COM USD1 | CMN | 260003108 | 36 | 628 | SH | DEFINED | 2 |
| DOW CHEMICAL CO/THE COM USD2.50 | CMN | 260543103 | 4203 | 146150 | SH | DEFINED | 2 |
| DOW CHEMICAL CO/THE COM USD2.50 | CMN | 260543103 | 886 | 30808 | SH | DEFINED | 2 |
| NORTHROP GRUMMAN CORP COM USD1 | CMN | 666807102 | 924 | 15795 | SH | DEFINED | 1 |
| WALT DISNEY CO/THE DISNEY COM USD0.01 | CMN | 254687106 | 915 | 24407 | SH | DEFINED | 1 |
| GENERAL CABLE CORP COM USD0.01 | CNV | 369300AD0 | 914 | 9928 | SH | SOLE | 1 |
| FEDERAL MOGUL CORP | CMN | 313549404 | 908.6356 | 61602 | SH | SOLE | 4 |
| OLD REPUBLIC INTERNATIONAL CORP COM USD1 | CNV | 680223AH7 | 907 | 10296.01 | SH | SOLE | 1 |
| CIENA CORP COM STK USD0.01 | CNV | 171779AB7 | 900 | 9183.94 | SH | SOLE | 1 |
| DR HORTON INC COM USD0.01 | CMN | 23331A109 | 234 | 18585 | SH | SOLE | 2 |
| DR PEPPER SNAPPLE GROUP INC USD0.01 | CMN | 26138E109 | 226 | 5721 | SH | SOLE | 2 |
| DRYSHIPS INC COM USD0.01 | CMN | Y2109Q101 | 120 | 60140 | SH | SOLE | 2 |
| DU PONT EI DE NEMOURS & CO COM USD0.30 | CMN | 263534109 | 1670 | 36477 | SH | DEFINED | 2 |
| DU PONT EI DE NEMOURS & CO COM USD0.30 | CMN | 263534109 | 1241 | 27106 | SH | DEFINED | 2 |
| DUKE ENERGY CORP COM STK NPV | CMN | 26441C105 | 54 | 2443 | SH | DEFINED | 2 |
| DYNAVAX TECHNOLOGIES CORP COM USD0.001 | CMN | 268158102 | 2644 | 796500 | SH | SOLE | 2 |

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|---------------------------------------|-----|-----------|-------|----------|----|---------|---|
| TJX COS INC COM USD1 | CMN | 872540109 | 884 | 13700 | SH | DEFINED | 1 |
| E*TRADE FINANCIAL CORP USD0.01 | CMN | 269246401 | 487 | 61164 | SH | SOLE | 2 |
| EASTMAN CHEMICAL CO COM USD0.01 | CMN | 277432100 | 242 | 6198 | SH | DEFINED | 2 |
| EASTMAN KODAK CO COM USD2.50 | CMN | 277461BJ7 | 715 | 26990.55 | SH | DEFINED | 2 |
| EASTMAN KODAK CO COM USD2.50 | CMN | 832154108 | 321 | 493192 | SH | SOLE | 2 |
| CIENA CORP COM STK USD0.01 | CMN | 171779309 | 866 | 71594 | SH | DEFINED | 1 |
| EATON CORP COM USD0.50 | CMN | 832248108 | 421 | 9680 | SH | SOLE | 2 |
| EBAY INC COM USD0.001 | CMN | 278642103 | 468 | 15422 | SH | DEFINED | 2 |
| EBAY INC COM USD0.001 | CMN | 278642103 | 330 | 10885 | SH | DEFINED | 2 |
| ECOLAB INC COM USD1 | CMN | 278865100 | 421 | 7286 | SH | SOLE | 2 |
| ECOPETROL SA NPV | CMN | 279158109 | 34025 | 764260 | SH | SOLE | 2 |
| EDISON INTERNATIONAL COM NPV | CMN | 281020107 | 482 | 11647 | SH | SOLE | 2 |
| ELAN CORP PLC ORD EUR0.05 | CMN | 284131208 | 1683 | 122503 | SH | SOLE | 2 |
| ELDORADO GOLD CORP COM NPV | CMN | 284902103 | 4317 | 314892 | SH | SOLE | 2 |
| ELECTRONIC ARTS INC COM USD0.01 | CMN | 285512109 | 322 | 15616 | SH | DEFINED | 2 |
| ELI LILLY & CO COM NPV | CMN | 532457108 | 837 | 20147 | SH | DEFINED | 2 |
| EMC CORP/MASSACHUSETTS COM USD0.01 | CMN | 268648102 | 213 | 9901 | SH | DEFINED | 2 |
| EMERSON ELECTRIC CO COM USD0.50 | CMN | 291011104 | 339 | 7280 | SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | C | |
|---|----------------|-----------|--------------------|--------------------|---------------------|----------------------------|---|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION M | |
| EMPRESAS ICA SAB DE CV COM NPV | CMN | 292448206 | 191 | 40000 | SH | SOLE | 2 |
| ENERGY BEAR 3X SHARES | CMN | 25459W342 | 120 | 10590 | SH | SOLE | 2 |
| ENERGY BEAR 3X SHARES | CMN | 292659109 | 6 | 28755 | SH | SOLE | 2 |
| ENERGY CONVERSION DEVICES INC COM USD0.01 | CMN | 219350105 | 2 | 1483 | SH | DEFINED | 2 |
| ENERGY RESOURCES OF AUSTRALIA LTD NPV CLS 'A' | CMN | 29364G103 | 253 | 3459 | SH | SOLE | 2 |
| ENTERGY CORP COM USD0.01 | CMN | 29408K102 | 1 | 15000 | SH | SOLE | 2 |
| ENVIRONMENTAL SOLUTIONS WORLDWIDE INC COM USD0.001 | CMN | 293904108 | 24 | 3550 | SH | SOLE | 2 |
| ENZON PHARMACEUTICALS INC COM USD0.01 | CMN | 26884L109 | 226 | 4118 | SH | SOLE | 2 |
| EQT CORPORATION COM NPV | CMN | 29444U502 | 13744 | 135539 | SH | DEFINED | 2 |
| EQUINIX INC COM NPV | CMN | 29444U502 | 73 | 717 | SH | DEFINED | 2 |
| EQUINIX INC COM NPV | CMN | 518439104 | 274 | 2438 | SH | DEFINED | 2 |
| ESTEE LAUDER COS INC/THE CLASS'A'COM USD0.01 | CMN | 26922X107 | 407 | 14800 | SH | SOLE | 2 |
| ETF SILVER TRUST ETP NPV | CMN | 549463AH0 | 756 | 8677.52 | SH | SOLE | 1 |
| ALCATEL-LUCENT EUR2 | CMN | 09061GAD3 | 751 | 4223.96 | SH | SOLE | 1 |
| BIOMARIN PHARMACEUTICALS INC COM USD0.001 | CMN | | | | | | |

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|---|-----|-----------|------|---------|----|---------|---|
| EQUINIX INC COM NPV | CNV | 29444UAF3 | 749 | 7256.75 | SH | SOLE | 1 |
| EXCEL MARITIME CARRIERS LTD COM USD0.01 | CMN | V3267N107 | 32 | 22300 | SH | SOLE | 2 |
| EXCO RESOURCES INC COM STK USD0.001 | CMN | 269279402 | 299 | 28605 | SH | SOLE | 2 |
| EXELIXIS INC COM USD0.001 | CMN | 30161Q104 | 53 | 11197 | SH | SOLE | 2 |
| KOHLBERG CAP CORP COM STK USD0.01 | CNV | 500233AA9 | 739 | 8296.78 | SH | SOLE | 1 |
| EXELON CORP COM NPV | CMN | 30161N101 | 867 | 19999 | SH | DEFINED | 2 |
| EXPEDIA INC COM STK USD0.001 | CMN | 30212P303 | 71 | 2445 | SH | DEFINED | 2 |
| EXPRESS SCRIPTS INC COM USD0.01 | CMN | 302182100 | 81 | 1809 | SH | DEFINED | 2 |
| IPATH S&P 500 VIX MID-TERM FUTURES ETN IPATH S&P 500 VIX M/T FU ETN | CMN | 06740C519 | 723 | 11916 | SH | SOLE | 1 |
| EXTERRAN COM STK USD0.01 | CMN | 30225X103 | 182 | 20000 | SH | SOLE | 2 |
| EXTINCT AMARIN CORP PLC ORD GBPO.50#DISABLED# | CMN | 023111206 | 79 | 10500 | SH | SOLE | 2 |
| EXTINCT CHINA SUNERGY CO LTD COM NPV | CMN | 16942X302 | 48 | 43008 | SH | SOLE | 2 |
| INTUITIVE SURGICAL INC COM USD0.001 | CMN | 46120E602 | 714 | 1543 | SH | DEFINED | 1 |
| EXTINCT KU6 MEDIA CO LTD COM NPV | CMN | 48274B103 | 42 | 35400 | SH | SOLE | 2 |
| EXTINCT TERNIUM SA COM NPV | CMN | 880890108 | 419 | 22770 | SH | SOLE | 2 |
| EXXON MOBIL CORP COM NPV | CMN | 30231G102 | 6636 | 78292 | SH | DEFINED | 2 |
| INTEGRA LIFESCI HL COM STK USD0.01 | CNV | 457985AJ8 | 705 | 8356.4 | SH | SOLE | 1 |
| EXXON MOBIL CORP COM NPV | CMN | 30231G102 | 4659 | 54964 | SH | DEFINED | 2 |
| F5 NETWORKS INC COM STK NPV | CMN | 315616102 | 269 | 2532 | SH | DEFINED | 2 |
| FANNIE MAE COM NPV | CMN | 313586109 | 53 | 266050 | SH | SOLE | 2 |
| HEALTH CARE REIT INC USD1 | CNV | 42217KAR7 | 696 | 6082.53 | SH | SOLE | 1 |
| FEDEX CORP COM USD0.10 | CMN | 31428X106 | 250 | 2998 | SH | SOLE | 2 |
| DANAHER CORP COM USD0.01 | CMN | 235851102 | 691 | 14693 | SH | DEFINED | 1 |
| FERRO CORP COM USD1 | CMN | 315405100 | 249 | 51000 | SH | SOLE | 2 |
| FIFTH THIRD BANCORP COM NPV | CMN | 316773100 | 411 | 32334 | SH | DEFINED | 2 |
| FIN SELECT SECTOR SPDR AMEX FINANCIAL SELECT INDEX | CMN | 81369Y605 | 317 | 24381 | SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | COLUMN 6 | C |
|--|----------------|-----------|-----------------|-----------------|---------------|-----------------------|---|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | M |
| BROOKLINE BANCORP INC COM USD0.01 | CMN | 11373M107 | 686 | 81257 | SH | SOLE | 1 |
| FINANCIAL BEAR 3X SHARES | CMN | 25459W144 | 1814 | 48584 | SH | SOLE | 2 |
| FINANCIAL BEAR 3X SHARES | CMN | 87162W100 | 1223 | 152900 | SH | SOLE | 2 |
| FIRST HORIZON NATIONAL CORP COM USD0.625 | CMN | 336433107 | 605 | 17933 | SH | SOLE | 2 |

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|--|-----|-----------|-------------|--------------|---------|---|
| FIRSTENERGY CORP COM USD0.10 | CMN | 337932107 | 549 | 12398 SH | DEFINED | 2 |
| FLUOR CORP COM USD0.01 | CMN | 343412102 | 1206 | 23998 SH | SOLE | 2 |
| CBS CORP CLASS'B' COM STK USD0.001 | CMN | 124857202 | 679 | 25000 SH | DEFINED | 1 |
| FMC TECHNOLOGIES INC COM STK USD0.01 | CMN | 30249U101 | 547 | 10465 SH | SOLE | 2 |
| FOCUS MEDIA HOLDING LTD SP ADR RP 5 ORD USD0.00005 | CMN | 34415V109 | 4129 | 211850 SH | DEFINED | 2 |
| FOCUS MEDIA HOLDING LTD SP ADR RP 5 ORD USD0.00005 | CMN | 34415V109 | 86 | 4395 SH | DEFINED | 2 |
| FORD MOTOR CO COM STK USD0.01 | CMN | 345370134 | 2348 | 978260.86 SH | DEFINED | 2 |
| FORD MOTOR CO COM STK USD0.01 | CMN | 345370860 | 1912 | 177656 SH | DEFINED | 2 |
| FORD MOTOR CO COM STK USD0.01 | CMN | 345370860 | 1324 | 123005 SH | DEFINED | 2 |
| FOREST CITY ENTS CLASS'A'COM USD0.33 1/3 | CMN | 345550107 | 1847 | 156270 SH | SOLE | 2 |
| MATERIALS SELECT SECTOR SPDR SBI MATERIALS NPV | CMN | 81369Y100 | 670 | 20000 SH | DEFINED | 1 |
| Fortress Investment Group | CMN | 34958B106 | 344779.6962 | 60487666 SH | SOLE | 1 |
| CONCUR TECHNOLOGIES INC COM USD0.001 | CMN | 206708109 | 664 | 13064 SH | DEFINED | 1 |
| MICROSOFT CORP COM USD0.0000125 | CMN | 594918104 | 664 | 25572 SH | DEFINED | 1 |
| FOSSIL INC COM USD0.01 | CMN | 349882100 | 255 | 3214 SH | DEFINED | 2 |
| FOSTER WHEELER AG CHF3 | CMN | H27178104 | 395 | 20616 SH | SOLE | 2 |
| FRANCE TELECOM SA EUR4 | CMN | 35177Q105 | 483 | 30825 SH | SOLE | 2 |
| FREDDIE MAC COM USD0.21 | CMN | 313400301 | 18 | 85693 SH | SOLE | 2 |
| JP MORGAN CHASE BANK NA COM USD1 | CMN | 46625H100 | 653 | 19641 SH | DEFINED | 1 |
| FREEPORT-MCMORAN COPPER & GOLD INC COM STK USD0.10 | CMN | 35671D857 | 1452 | 39476 SH | DEFINED | 2 |
| FRESENIUS SE & CO KGAA NPV | CMN | 29266S106 | 7812 | 109214 SH | DEFINED | 2 |
| FRONTIER COMMUNICATIONS CORP CL B COM USD0.25 | CMN | 812350106 | 147 | 28628 SH | DEFINED | 2 |
| LENNAR CORP COM CL'A'USD0.10 | CMN | 526057104 | 641 | 32637 SH | DEFINED | 1 |
| FRONTIER COMMUNICATIONS CORP CL B COM USD0.25 | CMN | 812350106 | 1 | 226 SH | DEFINED | 2 |
| FRONTLINE LTD COM USD2.50 | CMN | G3682E127 | 85 | 19701 SH | SOLE | 2 |
| FUSHI COPPERWELD INC COM STK USD0.006 | CMN | 36113E107 | 357 | 47500 SH | SOLE | 2 |
| GAFISA SA COM NPV | CMN | 362607301 | 593 | 128944 SH | SOLE | 2 |
| GALLIFORD TRY PLC GBP0.5 | CMN | 739276103 | 724423 | 15251 SH | SOLE | 2 |
| GANNETT CO INC COM USD1 | CMN | 364730101 | 145 | 10876 SH | SOLE | 2 |
| GAP INC/THE COM USD0.05 | CMN | 364760108 | 115 | 6197 SH | DEFINED | 2 |
| GAYLORD ENTERTAINMENT CO COM USD0.01 | CMN | 367905106 | 7242 | 300000 SH | DEFINED | 2 |
| SANDISK CORP COM USD0.001 | CNV | 80004CAC5 | 617 | 6265.17 SH | SOLE | 1 |
| GAYLORD ENTERTAINMENT CO COM USD0.01 | CMN | 367905106 | 2028 | 84009 SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

COLUMN 1 COLUMN 2 COLUMN 3 COLUMN 4 COLUMN 5 COLUMN 6 C

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| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PRN | PUT/ CALL | INVESTMENT DISCRETION | M |
|--|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|---|
| GENERAL DYNAMICS CORP COM USD1 | CMN | 369550108 | 389 | 5858 | SH | | SOLE | 2 |
| GENERAL ELECTRIC CO COM USD0.06 | CMN | 369604103 | 7200 | 402008 | SH | | DEFINED | 2 |
| METROPCS COMMUNICATIONS INC COM STK USD0.0001 | CMN | 591708102 | 608 | 70100 | SH | | DEFINED | 1 |
| GENERAL ELECTRIC CO COM USD0.06 | CMN | 369604103 | 637 | 35576 | SH | | DEFINED | 2 |
| GENERAL MILLS INC COM STK USD0.10 | CMN | 370334104 | 548 | 13549 | SH | | DEFINED | 2 |
| GENERAL MOLY INC COM STK USD0.001 | CMN | 370373102 | 40 | 13000 | SH | | SOLE | 2 |
| GENERAL MOTORS CO 0.01 | CMN | 37045V100 | 2717 | 134038 | SH | | DEFINED | 2 |
| GENERAL MOTORS CO 0.01 | CMN | 37045V100 | 142 | 7021 | SH | | DEFINED | 2 |
| GENETIC TECHNOLOGIES LTD NPV | CMN | 37185R109 | 700 | 231000 | SH | | SOLE | 2 |
| NETAPP INC COM USD0.001 | CMN | 64110D104 | 596 | 16442 | SH | | DEFINED | 1 |
| GENON ENERGY INC COM USD0.001 | CMN | 37244E107 | 65 | 24986 | SH | | DEFINED | 2 |
| GENTIVA HEALTH SERVICES INC COM USD0.10 | CMN | 37247A102 | 9 | 1300 | SH | | DEFINED | 2 |
| DIGITAL REALTY TRUST INC COM STK USD0.01 | CNV | 25389JAC0 | 593 | 3627.29 | SH | | SOLE | 1 |
| GENUINE PARTS CO COM STK USD1 | CMN | 372460105 | 281 | 4590 | SH | | SOLE | 2 |
| GENWORTH FINANCIAL INC COM CL'A'USD0.001 | CMN | 37247D106 | 77 | 11828 | SH | | SOLE | 2 |
| GERDAU SA PRF NPV | CMN | 373737105 | 3542 | 453583 | SH | | DEFINED | 2 |
| GERDAU SA PRF NPV | CMN | 373737105 | 714 | 91393 | SH | | DEFINED | 2 |
| GERON CORP COM USD0.001 | CMN | 374163103 | 222 | 150001 | SH | | SOLE | 2 |
| GFI GROUP INC COM USD0.01 | CMN | 361652209 | 47 | 11301 | SH | | SOLE | 2 |
| GIANT INTERACTIVE GROUP-ADR ADR EACH REPR 1 ORD SH | CMN | 374511103 | 169 | 41300 | SH | | SOLE | 2 |
| GILEAD SCIENCES INC COM USD0.001 | CMN | 375558103 | 22325 | 545445 | SH | | DEFINED | 2 |
| GILEAD SCIENCES INC COM USD0.001 | CMN | 375558103 | 1522 | 37189 | SH | | DEFINED | 2 |
| UNITED THERAPEUTICS CORP COM USD0.01 | CNV | 91307CAE2 | 574 | 4948.62 | SH | | SOLE | 1 |
| GLAXOSMITHKLINE PLC ORD GBP0.25 | CMN | 879433860 | 237 | 5200 | SH | | SOLE | 2 |
| PRICELINE.COM INC COM USD0.008 | CNV | 741503AM8 | 570 | 3467.98 | SH | | SOLE | 1 |
| GLOBAL X URANIUM ETF ETP NPV | CMN | 37950E754 | 132 | 16145 | SH | | SOLE | 2 |
| ISHARES MSCI EAFE INDEX FUND MSCI EAFE INDEX FUND | CMN | 464287465 | 565 | 11400 | SH | | DEFINED | 1 |
| GMX RESOURCES INC COM USD0.001 | CMN | 38011M108 | 21 | 17150 | SH | | SOLE | 2 |
| GOLDCORP INC COM NPV | CMN | 380956409 | 456 | 10296 | SH | | SOLE | 2 |
| GOODRICH CORP COM USD5 | CMN | 81616X103 | 15343 | 124037 | SH | | SOLE | 2 |
| GOODYEAR TIRE & RUBBER CO/THE COM NPV | CMN | 382550101 | 236 | 16621 | SH | | SOLE | 2 |
| GOOGLE INC COM USD0.001 CL'A' | CMN | 38259P508 | 1602 | 2480 | SH | | DEFINED | 2 |
| GOOGLE INC COM USD0.001 | CMN | 38259P508 | 1489 | 2305 | SH | | DEFINED | 2 |

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|--------------------------|-----|-----------|------|-------|----|------|---|
| CL'A' | | | | | | | |
| GREEN MOUNTAIN COFFEE | CMN | 393122106 | 1149 | 25614 | SH | SOLE | 2 |
| ROASTERS INC COM USD0.10 | | | | | | | |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | COLUMN 6 | C |
|---|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|---|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | M |
| GULF RESOURCES INC USD0.001 | CMN | 40251W309 | 19 | 10400 | SH | SOLE | 2 |
| H&R BLOCK INC COM NPV | CMN | 093671105 | 185 | 11299 | SH | SOLE | 2 |
| HALLIBURTON CO COM USD2.50 | CMN | 406216101 | 4116 | 119258 | SH | DEFINED | 2 |
| HALLIBURTON CO COM USD2.50 | CMN | 406216101 | 46 | 1341 | SH | DEFINED | 2 |
| HERSHEY CO/THE COM USD1 | CMN | 427866108 | 545 | 8825 | SH | SOLE | 1 |
| HANSEN MEDICAL INC COM STK USD0.0001 | CMN | 411307101 | 166 | 64440 | SH | SOLE | 2 |
| HANSEN NATURAL CORP COM USD0.005 | CMN | 411310105 | 195 | 2119 | SH | DEFINED | 2 |
| HANWHA SOLARONE CO LTD ADR EACH REPR 5 ORD SHS | CMN | 41135V103 | 14 | 14546 | SH | SOLE | 2 |
| HARLEYSVILLE GROUP INC COM USD1 | CMN | 412824104 | 243 | 4304 | SH | SOLE | 2 |
| HARMONY GOLD MINING CO LTD ZAR0.50 | CMN | 413216300 | 230 | 19743 | SH | SOLE | 2 |
| HARTFORD FINANCIAL SERVICES GROUP INC COM USD0.01 | CMN | 416515104 | 167 | 10283 | SH | SOLE | 2 |
| PEABODY ENERGY CORP COM STK USD0.01 | CMN | 704549104 | 530 | 16000 | SH | DEFINED | 1 |
| HASBRO INC COM USD0.50 | CMN | 418056107 | 363 | 11380 | SH | SOLE | 2 |
| HDFC BANK LTD INR2 | CMN | 40415F101 | 825 | 31389 | SH | SOLE | 2 |
| HEALTHSPRING INC USD0.01 | CMN | 42224N101 | 8971 | 164486 | SH | SOLE | 2 |
| HECLA MINING CO COM USD0.25 | CMN | 422704106 | 311 | 59500 | SH | SOLE | 2 |
| HEINZ (HJ) COM USD0.25 | CMN | 423074103 | 690 | 12767 | SH | SOLE | 2 |
| HELMERICH & PAYNE INC COM USD0.10 | CMN | 423452101 | 155 | 2661 | SH | DEFINED | 2 |
| PENNICHUCK CORP COM USD1 | CMN | 708254206 | 513 | 17788 | SH | SOLE | 1 |
| ALLEGHENY TECHNOLOGIES INC COM USD0.10 | CNV | 01741RAD4 | 512 | 3684.65 | SH | SOLE | 1 |
| HERBALIFE LTD COM USD0.002 | CMN | G4412G101 | 4630 | 89613 | SH | SOLE | 2 |
| HERSHEY CO/THE COM USD1 | CMN | 863667101 | 187 | 3029 | SH | SOLE | 2 |
| HERTZ GLOBAL HOLDINGS INC COM STK USD0.01 | CMN | 42805T105 | 120 | 10280 | SH | SOLE | 2 |
| GENERAL ELECTRIC CO COM USD0.06 | CMN | 369604103 | 497 | 27764 | SH | DEFINED | 1 |
| HESS CORP COM USD1 | CMN | 42809H107 | 1844 | 32460 | SH | DEFINED | 2 |
| HESS CORP COM USD1 | CMN | 42809H107 | 196 | 3453 | SH | DEFINED | 2 |
| HEWLETT-PACKARD CO COM USD0.01 | CMN | 428236103 | 12709 | 493345 | SH | DEFINED | 2 |
| HEWLETT-PACKARD CO COM USD0.01 | CMN | 428236103 | 2031 | 78824 | SH | DEFINED | 2 |
| HEXCEL CORP COM USD0.01 | CMN | 428291108 | 202 | 8345 | SH | SOLE | 2 |
| DEX ONE CORP | CMN | 25212W100 | 491.9642 | 296364 | SH | SOLE | 4 |
| HOME DEPOT INC COM USD0.05 | CMN | 437076102 | 1346 | 32012 | SH | SOLE | 2 |

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|---|-----|-----------|------|----------|---------|---|
| HONEYWELL INTERNATIONAL INC COM USD1 | CMN | 438516106 | 1376 | 25316 SH | SOLE | 2 |
| HORNBECK OFFSHORE SERVICES INC COM USD0.01 | CMN | 440543106 | 212 | 6837 SH | DEFINED | 2 |
| HOSPIRA INC COM USD0.01 | CMN | 441060100 | 209 | 6894 SH | SOLE | 2 |
| HUGHES ELECTRONICS CORP/OLD COM USD0.01 | CMN | 25490A101 | 532 | 12449 SH | DEFINED | 2 |
| HUMAN GENOME SCIENCES INC COM USD0.01 | CMN | 444903108 | 112 | 15223 SH | SOLE | 2 |
| HUNTINGTON BANCSHARES INC/OH COM STK NPV | CMN | 446150104 | 86 | 15588 SH | SOLE | 2 |
| HUTCHISON HARBOUR RING LTD HKD0.10 | CMN | 398901108 | 1 | 2007 SH | DEFINED | 2 |
| HYPERDYNAMICS CORP COM USD0.001 | CMN | 448954107 | 123 | 50100 SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

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|--|----------------|-----------|--------------------|--------------------|-------------------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION M |
| IAMGOLD CORP COM NPV | CMN | 450913108 | 1984 | 125202 SH | SOLE | 2 |
| ISHARES INC MSCI JAPAN MSCI JAPAN INDEX FUND | CMN | 464286848 | 476 | 52245 SH | DEFINED | 1 |
| MARRIOTT VACATIONS WORLDWIDE CORP COM NPV | CMN | 57164Y107 | 475 | 27700 SH | SOLE | 1 |
| GOOGLE INC COM USD0.001 CL'A' | CMN | 38259P508 | 474 | 734 SH | DEFINED | 1 |
| ICICI BANK LTD INR10 | CMN | 45104G104 | 1271 | 48086 SH | DEFINED | 2 |
| ICICI BANK LTD INR10 | CMN | 45104G104 | 1057 | 40000 SH | DEFINED | 2 |
| ILLINOIS TOOL WORKS INC COM NPV | CMN | 452308109 | 665 | 14242 SH | DEFINED | 2 |
| ILLINOIS TOOL WORKS INC COM NPV | CMN | 452308109 | 122 | 2610 SH | DEFINED | 2 |
| ILLUMINA INC COM USD0.01 | CMN | 452327109 | 1862 | 61080 SH | SOLE | 2 |
| INCYTE CORP COM USD0.001 | CMN | 45337C102 | 255 | 17001 SH | DEFINED | 2 |
| INDUSTRIAL SELECT SECT SPDR AMEX INDUSTRIAL SELECT | CMN | 81369Y704 | 864 | 25600 SH | DEFINED | 2 |
| INDUSTRIAL SELECT SECT SPDR AMEX INDUSTRIAL SELECT | CMN | 81369Y704 | 34 | 1000 SH | DEFINED | 2 |
| ANN INC COM USD0.0068 | CMN | 035623107 | 452 | 18252 SH | DEFINED | 1 |
| INFINERA CORP COM STK USD0.001 | CMN | 45667G103 | 4 | 600 SH | SOLE | 2 |
| INFORMATION SYSTEMS ASSOCIATES INC COM NPV | CMN | 45676R108 | 2 | 30000 SH | SOLE | 2 |
| ANIXTER INTERNATIONAL INC COM USD1 | CNV | 035290AJ4 | 448 | 3947.57 SH | SOLE | 1 |
| INFOSYS LTD INR5 | CMN | 456788108 | 2351 | 45764 SH | SOLE | 2 |
| INTEL CORP COM USD0.001 | CMN | 458140100 | 3047 | 125651 SH | DEFINED | 2 |
| INTEL CORP COM USD0.001 | CMN | 458140100 | 487 | 20087 SH | DEFINED | 2 |
| INTERDIGITAL INC COM STK USD0.01 | CMN | 45867G101 | 329 | 7555 SH | SOLE | 2 |

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|--|-----|-----------|-------|------------|---------|---|
| INTERNATIONAL BUSINESS MACHINES CORP COM USD0.20 | CMN | 459200101 | 426 | 2315 SH | SOLE | 2 |
| INTERNATIONAL GAME TECHNOLOGY USD0.00062 | CMN | 459902102 | 8769 | 509814 SH | DEFINED | 2 |
| INTERNATIONAL GAME TECHNOLOGY USD0.00062 | CMN | 459902102 | 236 | 13721 SH | DEFINED | 2 |
| OMNICARE CAPITAL TRUST II PRF50 | CNV | 68214Q200 | 434 | 9400 SH | SOLE | 1 |
| WELLPOINT INC COM USD0.01 | CMN | 94973V107 | 433 | 6539 SH | DEFINED | 1 |
| INTEROIL CORP COM NPV | CMN | 460951106 | 764 | 14950 SH | SOLE | 2 |
| INTL FLAVORS & FRAGRANCES INC COM USD0.125 | CMN | 459506101 | 234 | 4471 SH | SOLE | 2 |
| ARCHER-DANIELS-MIDLAND CO COM NPV | CMN | 039483102 | 429 | 15000 SH | DEFINED | 1 |
| DUKE ENERGY CORP COM STK NPV | CMN | 26441C105 | 429 | 19489 SH | DEFINED | 1 |
| RIGHTNOW TECHNOLOGIES INC COM USD0.001 | CNV | 76657RAB2 | 429 | 2978.99 SH | SOLE | 1 |
| INTUITIVE SURGICAL INC COM USD0.001 | CMN | 46120E602 | 408 | 881 SH | DEFINED | 2 |
| INVESCO MORTGAGE CAPITAL INC USD0.01 | CMN | 46131B100 | 492 | 35000 SH | SOLE | 2 |
| ROSS STORES INC COM USD0.01 | CMN | 778296103 | 422 | 8886 SH | DEFINED | 1 |
| IPATH INVERSE S&P 500 VIX SHOR ETP NPV | CMN | 06740L592 | 660 | 20000 SH | SOLE | 2 |
| IPATH S&P 500 VIX SHORT-TERM FUTURES ETN | CMN | 06740C261 | 17768 | 500095 SH | DEFINED | 2 |
| IPATH S&P 500 VIX S/T FU ETN | | | | | | |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | C |
|--|----------------|-----------|-----------------|-----------------|---------------|-------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION M |
| IPATH S&P 500 VIX SHORT-TERM FUTURES ETN | CMN | 06740C261 | 55 | 1558 SH | | DEFINED |
| IPATH S&P 500 VIX S/T FU ETN | | | | | | |
| COACH INC COM USD0.01 | CMN | 189754104 | 419 | 6868 SH | | DEFINED |
| IPG PHOTONICS CORP COM USD0.0001 | CMN | 44980X109 | 177 | 5219 SH | | DEFINED |
| NORTHWEST PIPE CO COM USD0.01 | CMN | 667746101 | 416 | 18197 SH | | SOLE |
| ISHARES DJ SELECT DIVIDEND | CMN | 464287168 | 213 | 3970 SH | | SOLE |
| ISHARES GOLD TRUST COMEX GOLD ETF USD | CMN | 464285105 | 79 | 5200 SH | | DEFINED |
| ISHARES INC - MSCI MEXICO FREE INDX FUND | CMN | 464286822 | 208 | 3860 SH | | SOLE |
| ISHARES INC - MSCI TAIWAN INDX FUND | CMN | 464286731 | 577 | 49260 SH | | SOLE |
| ISHARES INC MSCI JAPAN MSCI JAPAN INDEX FUND | CMN | 464286848 | 177 | 19391 SH | | DEFINED |
| SANDRIDGE ENERGY INC COM | CMN | 80007P307 | 408 | 50000 SH | | DEFINED |

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|---|-----|-----------|------|----------|---------|---|--|--|
| STK USD0.001 | | | | | | | | |
| ISHARES MSCI BRAZIL MSCI BRAZIL INDEX FUND | CMN | 464286400 | 1945 | 33895 SH | DEFINED | 2 | | |
| ISHARES MSCI BRAZIL MSCI BRAZIL INDEX FUND | CMN | 464286400 | 9 | 150 SH | DEFINED | 2 | | |
| FIRSTENERGY CORP COM USD0.10 | CMN | 337932107 | 400 | 9036 SH | DEFINED | 1 | | |
| ISHARES MSCI EAFE INDEX FUND MSCI EAFE INDEX FUND | CMN | 464287465 | 1281 | 25867 SH | DEFINED | 2 | | |
| ISHARES MSCI EAFE INDEX FUND MSCI EAFE INDEX FUND | CMN | 464287465 | 30 | 600 SH | DEFINED | 2 | | |
| ISHARES MSCI EMERGING MARKETS EASTERN EUROPE INDEX FUND ETP NPV | CMN | 464286566 | 513 | 21800 SH | SOLE | 2 | | |
| UNITED TECHNOLOGIES CORP COM USD1 | CMN | 913017109 | 397 | 5435 SH | DEFINED | 1 | | |
| ISHARES MSCI GERMANY INDEX MSCI GERMANY INDEX FUND | CMN | 464286806 | 230 | 11951 SH | SOLE | 2 | | |
| AUTOZONE INC COM USD0.01 | CMN | 053332102 | 392 | 1205 SH | DEFINED | 1 | | |
| ISHARES MSCI SINGAPORE MSCI SINGAPORE FREE INDEX F | CMN | 464286673 | 458 | 42315 SH | SOLE | 2 | | |
| ISHARES MSCI TURKEY INDEX FD ISHARES MSCI TURKEY INDEX FD | CMN | 464286715 | 638 | 15506 SH | SOLE | 2 | | |
| ISHARES RUSSELL 2000 RUSSELL 2000 INDEX FUND | CMN | 464287655 | 1327 | 17990 SH | DEFINED | 2 | | |
| ISHARES RUSSELL 2000 RUSSELL 2000 INDEX FUND | CMN | 464287655 | 189 | 2565 SH | DEFINED | 2 | | |
| TRACTOR SUPPLY CO COM USD0.008 | CMN | 892356106 | 384 | 5480 SH | DEFINED | 1 | | |
| ISHARES SILVER TST ISHARES DOVER CORP COM USD1 | CMN | 46428Q109 | 1228 | 45601 SH | DEFINED | 2 | | |
| ISHARES TRUST 1-3 YRS TREASURY INDEX FUND | CMN | 260003108 | 383 | 6595 SH | DEFINED | 1 | | |
| ISHARES TRUST FUNDS HYG FUNDS HYG | CMN | 464287457 | 212 | 2504 SH | SOLE | 2 | | |
| REGIONS FINANCIAL CORP (NEW) COM USD0.625 | CMN | 464288513 | 6278 | 70197 SH | SOLE | 2 | | |
| ISHARES TRUST GOLDMAN SACHS CRP BD FD | CMN | 7591EP100 | 379 | 88200 SH | DEFINED | 1 | | |
| | CMN | 464287242 | 1923 | 16907 SH | SOLE | 2 | | |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | C |
|--|----------------|-----------|--------------------|--------------------|-------------------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION M |
| US BANCORP INC COM USD0.01 | CMN | 902973304 | 378 | 13985 SH | DEFINED | 1 |
| ISHARES TRUST LEHMAN 20 PLUS YR TREASURY | CMN | 464287432 | 758 | 6250 SH | SOLE | 2 |
| BLACKROCK INC/NEW YORK COM STK USD0.01 | CMN | 09247X101 | 376 | 2107 SH | DEFINED | 1 |
| ISHARES TRUST LEHMAN US AGGREGATE BOND FD | CMN | 464287226 | 6371 | 57787 SH | SOLE | 2 |

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|---|-----|-----------|----------|-----------|---------|---|
| ISHARES TRUST MCSI BRIC INDEX FUND | CMN | 464286657 | 558 | 15386 SH | SOLE | 2 |
| ISHARES TRUST MSCI EMERGING MARKETS INDEX | CMN | 464287234 | 26531 | 699278 SH | DEFINED | 2 |
| ISHARES TRUST MSCI EMERGING MARKETS INDEX | CMN | 464287234 | 1157 | 30491 SH | DEFINED | 2 |
| ITT CORP USD1 | CMN | 865378103 | 39 | 2001 SH | SOLE | 2 |
| IVANHOE ENERGY INC COM NPV | CMN | 465790103 | 12 | 10500 SH | SOLE | 2 |
| IVANHOE MINES LTD/CA COM NPV | CMN | 46579N103 | 3802 | 214556 SH | SOLE | 2 |
| JABIL CIRCUIT INC COM USD0.001 | CMN | 466313103 | 434 | 22094 SH | SOLE | 2 |
| DISCOVER FINANCIAL SERVICES COM STK USD0.01 | CMN | 254709108 | 365 | 15218 SH | DEFINED | 1 |
| JANUS CAPITAL GROUP INC COM USD0.01 | CMN | 47102X105 | 60 | 9500 SH | DEFINED | 2 |
| JAPAN FOUNDATION ENGINEERING CO LTD NPV | CMN | 913903100 | 632 | 2700 SH | SOLE | 2 |
| QUALITY DISTR INC FLA | CMN | 74756M102 | 362.4975 | 32222 SH | SOLE | 4 |
| LEAR CORP | CMN | 521865204 | 358.2 | 9000 SH | SOLE | 4 |
| JAZZ PHARMACEUTICALS INC COM STK USD0.0001 | CMN | 472147107 | 226 | 5852 SH | SOLE | 2 |
| JDS UNIPHASE CORP COM STK USD0.001 | CMN | 46612J507 | 83 | 7963 SH | DEFINED | 2 |
| JOHNSON & JOHNSON COM USD1 | CMN | 478160104 | 11306 | 172397 SH | DEFINED | 2 |
| JOHNSON & JOHNSON COM USD1 | CMN | 478160104 | 368 | 5619 SH | DEFINED | 2 |
| CH ROBINSON WORLDWIDE INC COM USD0.1 | CMN | 12541W209 | 355 | 5089 SH | DEFINED | 1 |
| CATERPILLAR INC COM USD1 | CMN | 149123101 | 354 | 3904 SH | DEFINED | 1 |
| MEADWESTVACO CORP COM USD0.01 | CMN | 583334107 | 353 | 11800 SH | DEFINED | 1 |
| JOHNSON CONTROLS INC COM STK USD0.01388 | CMN | 478366107 | 374 | 11976 SH | DEFINED | 2 |
| TESLA MOTORS INC USD0.001 | CMN | 88160R101 | 348 | 12200 SH | DEFINED | 1 |
| PPL CORP COM USD0.01 | CMN | 69351T106 | 347 | 11800 SH | SOLE | 1 |
| JOY GLOBAL INC COM USD1 | CMN | 481165108 | 313 | 4173 SH | DEFINED | 2 |
| JPMORGAN CHASE & CO COM USD1 | CMN | 46625H100 | 10326 | 310554 SH | DEFINED | 2 |
| HORNBECK OFFSHORE SERVICES INC COM USD0.01 | CMN | 440543106 | 344 | 11090 SH | DEFINED | 1 |
| CAPITAL ONE FINANCIAL CORP COM USD0.01 | CMN | 14040H105 | 343 | 8117 SH | SOLE | 1 |
| ELECTRONIC ARTS INC COM USD0.01 | CMN | 285512109 | 343 | 16662 SH | DEFINED | 1 |
| JPMORGAN CHASE & CO COM USD1 | CMN | 46625H100 | 1873 | 56319 SH | DEFINED | 2 |
| JUNIPER NETWORKS INC COM USD0.00001 | CMN | 48203R104 | 247 | 12126 SH | SOLE | 2 |
| KAISER ALUMINUM CORP COM USD0.01 | CMN | 483007704 | 3498 | 76243 SH | SOLE | 2 |
| STEEL DYNAMICS INC | CMN | 858119100 | 339 | 25807 SH | DEFINED | 1 |
| KANDI TECHNOLOGIES CORP COM STK USD0.001 | CMN | 483709101 | 43 | 11600 SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

COLUMN 1 COLUMN 2 COLUMN 3 COLUMN 4 COLUMN 5 COLUMN 6 C

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| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PRN | PUT/ CALL | INVESTMENT DISCRETION | M |
|---|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|---|
| KOHL'S CORP COM USD0.01 | CMN | 500255104 | 337 | 6825 | SH | | DEFINED | 1 |
| AIR LEASE CORP COM NPV 144A | CNV | 00912XAA2 | 336 | 3308.35 | SH | | SOLE | 1 |
| KB HOME COM STK USD1 | CMN | 87874R100 | 129 | 19200 | SH | | SOLE | 2 |
| KELLOGG CO COM USD0.25 | CMN | 487836108 | 244 | 4827 | SH | | SOLE | 2 |
| KERYX BIOPHARMACEUTICALS INC COM USD0.001 | CMN | 492515101 | 74 | 29413 | SH | | SOLE | 2 |
| KEYCORP COM USD1 | CMN | 493267108 | 94 | 12262 | SH | | SOLE | 2 |
| KIMBERLY-CLARK CORP COM USD1.25 | CMN | 494368103 | 673 | 9149 | SH | | SOLE | 2 |
| KIMCO REALTY CORP COM USD0.01 | CMN | 49446R109 | 292 | 17975 | SH | | DEFINED | 2 |
| KINDER MORGAN INC/DELAWARE COM NPV | CMN | 49456B101 | 12 | 362 | SH | | DEFINED | 2 |
| KINROSS GOLD CORP COM NPV | CMN | 496902404 | 652 | 57217 | SH | | SOLE | 2 |
| KLA-TENCOR CORP COM USD0.001 | CMN | 482480100 | 214 | 4435 | SH | | SOLE | 2 |
| KNIGHT CAPITAL GROUP INC CLASS 'A' COM USD0.01 | CMN | 499005106 | 6992 | 591542 | SH | | DEFINED | 2 |
| KNIGHT CAPITAL GROUP INC CLASS 'A' COM USD0.01 | CMN | 499005106 | 1 | 100 | SH | | DEFINED | 2 |
| KODIAK OIL & GAS CORP COM NPV | CMN | 50015Q100 | 291 | 30600 | SH | | SOLE | 2 |
| KOHL'S CORP COM USD0.01 | CMN | 500255104 | 1347 | 27290 | SH | | DEFINED | 2 |
| KRAFT FOODS INC COM STK NPV CLS'A' | CMN | 50075N104 | 1981 | 53023 | SH | | DEFINED | 2 |
| KROGER CO/THE COM USD1 | CMN | 501044101 | 722 | 29820 | SH | | SOLE | 2 |
| KYUDENKO CORP NPV | CMN | 928708106 | 4710 | 10001 | SH | | SOLE | 2 |
| LAS VEGAS SANDS CORP COM USD0.001 | CMN | 517834107 | 2141 | 50097 | SH | | DEFINED | 2 |
| LAS VEGAS SANDS CORP COM USD0.001 | CMN | 517834107 | 1636 | 38277 | SH | | DEFINED | 2 |
| LDK SOLAR CO LTD ADR EACH REPR 1 ORD USD0.10 | CMN | 50183L107 | 79 | 18949 | SH | | SOLE | 2 |
| LEGGETT & PLATT INC USD0.01 | CMN | 524660107 | 444 | 19270 | SH | | SOLE | 2 |
| LEHMAN BROTHERS HOLDINGS INC USD0.1 | CMN | 524908100 | 1 | 34803 | SH | | SOLE | 2 |
| LEIGHTON HOLDINGS LTD NPV | CMN | 260543103 | 577 | 30321 | SH | | DEFINED | 2 |
| LEIGHTON HOLDINGS LTD NPV | CMN | 260543103 | 23 | 1221 | SH | | DEFINED | 2 |
| LENNAR CORP COM CL'A'USD0.10 | CMN | 526057104 | 102 | 5180 | SH | | DEFINED | 2 |
| LIMELIGHT NETWORKS INC COM STK USD0.001 | CMN | 53261M104 | 95 | 32000 | SH | | DEFINED | 2 |
| LINCOLN NATIONAL CORP COM NPV | CMN | 534187109 | 252 | 12968 | SH | | SOLE | 2 |
| HOLOGIC INC COM USD0.01 | CNV | 436440AB7 | 308 | 2822.4 | SH | | SOLE | 1 |
| LLOYDS BANKING GROUP PLC GBP0.1 | CMN | 539439109 | 226 | 143732 | SH | | SOLE | 2 |
| LOCKHEED MARTIN CORP COM USD1 | CMN | 539830109 | 325 | 4014 | SH | | SOLE | 2 |
| LOOPNET INC COM STK USD0.001 | CMN | 543524300 | 32 | 1748 | SH | | DEFINED | 2 |
| LORILLARD INC USD0.01 | CMN | 544147101 | 898 | 7877 | SH | | DEFINED | 2 |
| GOODRICH PETROLEUM CORP PERP PFD CONV SER B | CNV | 382410603 | 304 | 10000 | SH | | SOLE | 1 |
| MICRON TECHNOLOGY INC COM USD0.10 | CMN | 595112103 | 304 | 48374 | SH | | SOLE | 1 |
| LOWE'S COS INC COM USD0.50 | CMN | 548661107 | 1207 | 47553 | SH | | SOLE | 2 |

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|---|-----|-----------|------|----------|---------|---|
| LSI CORP COM STK USD0.01 | CMN | 502161102 | 87 | 14601 SH | SOLE | 2 |
| LTD BRANDS INC COM USD0.50 | CMN | 836091108 | 1644 | 40738 SH | SOLE | 2 |
| LYONDELLBASELL INDUSTRIES AF SCA NPV | CMN | N53745100 | 2623 | 80720 SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | C |
|---|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION |
| LYONDELLBASELL INDUSTRIES AF SCA NPV | CMN | N53745100 | 107 | 3301 SH | | DEFINED |
| MACY'S INC COM STK USD0.01 | CMN | 55616P104 | 262 | 8147 SH | | SOLE |
| BOSTON PROPERTIES INC COM USD0.01 | CMN | 10112RAM6 | 297 | 2764.07 SH | | SOLE |
| SONIC AUTOMOTIVE INC COM USD0.01 CL'A' | CMN | 83545GAQ5 | 296 | 2241.73 SH | | SOLE |
| TRINITY INDUSTRIES INC COM USD1 | CMN | 896522109 | 296 | 9855 SH | | DEFINED |
| MAEDA ROAD CONSTRUCTION CO LTD NPV | CMN | 896239100 | 8754 | 10848 SH | | SOLE |
| MANNKIND CORP COM USD0.01 | CMN | 56400P201 | 147 | 58900 SH | | SOLE |
| MANPOWERGROUP COM USD0.01 | CMN | 56418H100 | 1384 | 38711 SH | | SOLE |
| MARATHON OIL CORP COM USD1 | CMN | 565849106 | 448 | 15313 SH | | SOLE |
| MARKET VECTORS - GOLD MINERS ETF GOLD MINES | CMN | 57060U100 | 8229 | 160000 SH | | DEFINED |
| NASDAQ 100 INDEX TRACK STCK UNITS SERIES '1' | CMN | 73935A104 | 290 | 5200 SH | | DEFINED |
| MARKET VECTORS - GOLD MINERS ETF GOLD MINES | CMN | 57060U100 | 2819 | 54816 SH | | DEFINED |
| MARKET VECTORS ETF SBI USD0.001 RUSSIA ETF TRU | CMN | 57060U506 | 1695 | 63587 SH | | DEFINED |
| MARKET VECTORS ETF SBI USD0.001 RUSSIA ETF TRU | CMN | 57060U506 | 105 | 3930 SH | | DEFINED |
| MARKET VECTORS JUNIOR GOLD MINERS ETF ETP NPV | CMN | 57060U589 | 636 | 25753 SH | | DEFINED |
| ISHARES TRUST DOW JONES US REAL EST IDX F | CMN | 464287739 | 284 | 5000 SH | | SOLE |
| MARKET VECTORS RARE EARTH/STRATEGIC METALS ETF ETP NPV | CMN | 57060U472 | 322 | 21600 SH | | SOLE |
| MARKET VECTORS SOLAR ENERGY MARKET VECTORS SOLAR ENERGY | CMN | 57060U811 | 5 | 1415 SH | | SOLE |
| MARKET VECTORS VIETNAM ETF ETP NPV | CMN | 57060U761 | 508 | 34885 SH | | SOLE |
| MARRIOTT INTL INC/DE COM USD0.01 CLASS 'A' | CMN | 571903202 | 207 | 7096 SH | | DEFINED |
| MARSH & MCLENNAN COS INC COM USD1 | CMN | 571748102 | 218 | 6890 SH | | SOLE |
| MARSTON'S PLC ORD GBP0.07375 | CMN | 853887107 | 1775858 | 193554 SH | | SOLE |
| MARTHA STEWART LIVING OMNIMEDIA CLASS 'A' USD0.01 | CMN | 573083102 | 88 | 20000 SH | | SOLE |

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|--|-----|-----------|-----|------------|---------|---|
| MARVELL TECHNOLOGY GROUP LTD COM USD0.002 | CMN | G5876H105 | 142 | 10285 SH | SOLE | 2 |
| MASCO CORP COM USD1 | CMN | 574599106 | 286 | 27326 SH | SOLE | 2 |
| HELIX ENERGY SOLUTIONS GROUP INC COM NPV | CNV | 127914AB5 | 274 | 2738.55 SH | SOLE | 1 |
| MASTERCARD INC COM USD0.0001 CLASS 'A' | CMN | 57636Q104 | 594 | 1594 SH | DEFINED | 2 |
| MATERIALS SELECT SECTOR SPDR SBI MATERIALS NPV | CMN | 81369Y100 | 14 | 430 SH | DEFINED | 2 |
| MATTEL INC COM USD1 | CMN | 577081102 | 207 | 7463 SH | DEFINED | 2 |
| MAXIM INTEGRATED PRODUCTS INC COM USD0.001 | CMN | 57772K101 | 131 | 5017 SH | SOLE | 2 |
| TTM TECHNOLOGIES INC COM NPV | CMN | 87305R109 | 264 | 24100 SH | DEFINED | 1 |
| MCDERMOTT INTERNATIONAL INC COM STK USD1 | CMN | 580037109 | 517 | 44936 SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | C |
|---|----------------|-----------|--------------------|--------------------|---------------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION M |
| MCDONALD'S CORP COM USD0.01 | CMN | 580135101 | 3325 | 33145 SH | SOLE | 2 |
| MCGRAW-HILL COS INC/THE COM USD1 | CMN | 580645109 | 1148 | 25523 SH | DEFINED | 2 |
| MCKESSON CORP COM USD0.01 | CMN | 58155Q103 | 309 | 3972 SH | DEFINED | 2 |
| MCMORAN EXPLORATION CO COM USD0.01 | CMN | 582411104 | 127 | 8700 SH | DEFINED | 2 |
| MEAD JOHNSON NUTRITION CO COM USD0.01 | CMN | 582839106 | 682 | 9918 SH | DEFINED | 2 |
| MEADWESTVACO CORP COM USD0.01 | CMN | 583334107 | 82 | 2735 SH | DEFINED | 2 |
| MECHEL OAO | CMN | 583840103 | 9535 | 1121711 SH | SOLE | 2 |
| MEDCO HEALTH SOLUTIONS INC COM USD0.01 | CMN | 58405U102 | 14084 | 251943 SH | DEFINED | 2 |
| SPDR METALS & MINING ETF | CMN | 78464A755 | 255 | 5200 SH | SOLE | 1 |
| SPDR S&P METALS & MNG ETF | CMN | 30161N101 | 254 | 5859 SH | DEFINED | 1 |
| EXELON CORP COM NPV | CMN | 58501N101 | 3707 | 80397 SH | SOLE | 2 |
| MEDIVATION INC COM USD0.01 | CMN | 585055106 | 2083 | 54468 SH | DEFINED | 2 |
| MEDTRONIC INC COM USD0.10 | CMN | 585055106 | 299 | 7807 SH | DEFINED | 2 |
| MEDTRONIC INC COM USD0.10 | CMN | 585055106 | 299 | 7807 SH | DEFINED | 2 |
| MEGGITT PLC ORD GBP0.05 | CMN | 78486Q101 | 35924626 | 1020876 SH | SOLE | 2 |
| MELCO PBL ENTERTAI ADR REPR 3 ORD SHS USD0.01 | CMN | 585464100 | 149 | 15516 SH | SOLE | 2 |
| VANGUARD MSCI EMERGING MARKETS FUND | CMN | 922042858 | 252 | 6600 SH | DEFINED | 1 |
| MEMC ELECTRONIC MATERIALS COM USD0.01 | CMN | 552715104 | 79 | 19935 SH | SOLE | 2 |
| MERCADOLIBRE INC COM STK USD0.001 | CMN | 58733R102 | 279 | 3502 SH | SOLE | 2 |
| MERCHANTS TRUST PLC/THE ORD GBP0.25 | CMN | 785060104 | 309582 | 8552 SH | DEFINED | 2 |
| MERCK & CO INC COM USD0.01 | CMN | 58933Y105 | 1594 | 42275 SH | DEFINED | 2 |
| MERCK & CO INC COM USD0.01 | CMN | 58933Y105 | 773 | 20494 SH | DEFINED | 2 |

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|--|-----|-----------|---------|-----------|---------|---|
| COVANTA HOLDING CORP COM STK USD0.10 | CMN | 22282E102 | 249 | 18187 SH | SOLE | 1 |
| METLIFE INC COM USD0.01 | CMN | 59156R108 | 134 | 4296 SH | DEFINED | 2 |
| METROPCS COMMUNICATIONS INC COM STK USD0.0001 | CMN | 591708102 | 13 | 1501 SH | DEFINED | 2 |
| MGM RESORTS INTERNATIONAL COM STK USD0.01 | CMN | 552953101 | 175 | 16782 SH | SOLE | 2 |
| DANA HLDG CORP | CMN | 235825205 | 247.714 | 20388 SH | SOLE | 4 |
| MICRON TECHNOLOGY INC COM USD0.10 | CMN | 842587107 | 478 | 75996 SH | SOLE | 2 |
| MICROSOFT CORP COM USD0.0000125 | CMN | 594918104 | 9100 | 350524 SH | DEFINED | 2 |
| MICROVISION INC WA COM STK USD0.01 | CMN | 594960106 | 5 | 15000 SH | SOLE | 2 |
| MILESTONE SCIENTIFIC INC COM USD0.001 | CMN | 59935P209 | 123 | 341259 SH | SOLE | 2 |
| MOBILE TELESYSTEMS OJSC | CMN | 607409109 | 9849 | 670942 SH | SOLE | 2 |
| OCCIDENTAL PETROLEUM CORP COM USD0.20 | CMN | 674599105 | 245 | 2612 SH | DEFINED | 1 |
| MOLYCORP INC COM NPV | CMN | 608753109 | 372 | 15496 SH | SOLE | 2 |
| MONSANTO CO COM STK USD0.01 | CMN | 61166W101 | 1362 | 19433 SH | DEFINED | 2 |
| MONSANTO CO COM STK USD0.01 | CMN | 61166W101 | 457 | 6520 SH | DEFINED | 2 |
| MONSTER WORLDWIDE INC COM USD0.001 | CMN | 611742107 | 207 | 26050 SH | SOLE | 2 |
| MOODY'S CORP COM USD0.01 | CMN | 615369105 | 207 | 6144 SH | DEFINED | 2 |
| SPDR S&P DIVIDEND ETF DIVIDEND ETF | CMN | 78464A763 | 242 | 4500 SH | SOLE | 1 |
| MORGAN STANLEY COM STK USD0.01 | CMN | 81369Y407 | 2315 | 153023 SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

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|---|----------------|-----------|--------------------|--------------------|-------------------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION M |
| MORGAN STANLEY COM STK USD0.01 | CMN | 81369Y407 | 928 | 61329 SH | DEFINED | 2 |
| MOSAIC CO/THE COM STK USD0.01 | CMN | 61945C103 | 671 | 13312 SH | SOLE | 2 |
| MOTOROLA MOBILITY HOLDINGS INC COM NPV | CMN | 620097105 | 23558 | 607164 SH | DEFINED | 2 |
| MOTOROLA SOLUTIONS INC USD0.01 | CMN | 620076307 | 555 | 11997 SH | SOLE | 2 |
| MOUNTAIN PROVINCE DIAMONDS INC COM NPV | CMN | 298736109 | 244 | 61684 SH | SOLE | 2 |
| MOVADO GROUP INC COM USD0.01 | CMN | 624580106 | 182 | 10000 SH | SOLE | 2 |
| MUELLER WATER PROD COM STK USD0.01 SER 'A' | CMN | 624758108 | 180 | 73800 SH | DEFINED | 2 |
| MICRON TECHNOLOGY INC COM USD0.10 | CMN | 595112AK9 | 234 | 2752.29 SH | SOLE | 1 |
| MYREXIS INC NON-VTG USD0.01 | CMN | 62856H107 | 59 | 22156 SH | SOLE | 2 |
| NABORS INDUSTRIES LTD COM USD0.001 | CMN | 86764P109 | 115 | 6607 SH | DEFINED | 2 |

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|--|-----|-----------|-------|-----------|---------|---|
| NASDAQ 100 INDEX TRACK STCK UNITS SERIES '1' | CMN | 73935A104 | 452 | 8104 SH | DEFINED | 2 |
| NATIONAL BANK OF GREECE SA EUR5.00 (REGD) | CMN | 633643606 | 128 | 64663 SH | SOLE | 2 |
| NATIONAL OILWELL VARCO INC COM USD0.01 | CMN | 637071101 | 613 | 9009 SH | SOLE | 2 |
| NAVIOS MARITIME HOLDINGS INC USD0.0001 | CMN | Y62196103 | 54 | 15265 SH | SOLE | 2 |
| NCC AB SER 'B' NPV | CMN | 69349H107 | 804 | 6660 SH | SOLE | 2 |
| NEKTAR THERAPEUTICS COM USD0.0001 | CMN | 640268108 | 120 | 21400 SH | SOLE | 2 |
| NET SERVICOS DE COMUNICACAO SA PRF NPV | CMN | 64109T201 | 197 | 20492 SH | SOLE | 2 |
| MASTERCARD INC COM USD0.0001 CLASS 'A' | CMN | 57636Q104 | 229 | 613 SH | DEFINED | 1 |
| NETAPP INC COM USD0.001 | CMN | 64110D104 | 251 | 6909 SH | DEFINED | 2 |
| NETLOGIC MICROSYSTEMS INC COM USD0.01 | CMN | 64118B100 | 23897 | 482076 SH | DEFINED | 2 |
| NETWORK CN INC USD0.001 | CMN | 64125G209 | 5 | 31800 SH | SOLE | 2 |
| NEWELL RUBBERMAID INC COM USD1 | CMN | 820280105 | 366 | 22664 SH | SOLE | 2 |
| NEWFIELD EXPLORATION CO COM USD0.01 | CMN | 651290108 | 745 | 19745 SH | SOLE | 2 |
| NEWMONT MINING CORP COM USD1.60 | CMN | 651639106 | 3241 | 54005 SH | DEFINED | 2 |
| SEMICONDUCTOR HOLDRS TST DEP REC (20 SEMI COND COS) | CMN | 57060U233 | 225 | 7400 SH | SOLE | 1 |
| NEWMONT MINING CORP COM USD1.60 | CMN | 651639106 | 779 | 12984 SH | DEFINED | 2 |
| NEWS CORP COM USD0.01 CLASS 'A' | CMN | 65248E104 | 839 | 47040 SH | DEFINED | 2 |
| NIKE INC CLASS 'B' COM NPV | CMN | 654106103 | 769 | 7979 SH | DEFINED | 2 |
| SUNOCO INC COM USD1 | CMN | 86764P109 | 222 | 5414 SH | DEFINED | 1 |
| NIPPON KOEI CO LTD NPV | CMN | 928645100 | 250 | 900 SH | SOLE | 2 |
| NOBLE CORP CHF3.54 | CMN | H5833N103 | 689 | 22800 SH | SOLE | 2 |
| NOBLE ENERGY INC COM USD3.33 1/3 | CMN | 655044105 | 540 | 5722 SH | DEFINED | 2 |
| NOKIA OYJ NPV | CMN | 654902204 | 2132 | 442317 SH | SOLE | 2 |
| NORDSTROM INC COM NPV | CMN | 655664100 | 321 | 6452 SH | SOLE | 2 |
| NORTHROP GRUMMAN CORP COM USD1 | CMN | 666807102 | 385 | 6580 SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

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|---|----------------|-----------|--------------------|--------------------|-------------------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION M |
| NOVAGOLD RESOURCES INC COM NPV | CMN | 66987E206 | 105 | 12419 SH | SOLE | 2 |
| NUANCE COMMUNICATIONS INC COM USD0.001 | CMN | 67020Y100 | 105 | 4169 SH | DEFINED | 2 |
| NUCOR CORP COM STK USD0.40 | CMN | 670346105 | 5253 | 132760 SH | DEFINED | 2 |
| NUCOR CORP COM STK USD0.40 | CMN | 670346105 | 233 | 5892 SH | DEFINED | 2 |
| NUVASIVE INC COM USD0.001 | CMN | 670704105 | 835 | 66310 SH | DEFINED | 2 |

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|--|-----|-----------|------|--------|----|---------|---|
| NUVASIVE INC COM USD0.001 | CMN | 670704105 | 2 | 130 | SH | DEFINED | 2 |
| NVIDIA CORP COM USD0.001 | CMN | 67066G104 | 4448 | 320918 | SH | DEFINED | 2 |
| NYSE EURONEXT COM STK USD0.01 | CMN | 629491101 | 430 | 16460 | SH | DEFINED | 2 |
| OCCIDENTAL PETROLEUM CORP COM USD0.20 | CMN | 674599105 | 664 | 7082 | SH | DEFINED | 2 |
| OCCIDENTAL PETROLEUM CORP COM USD0.20 | CMN | 674599105 | 317 | 3382 | SH | DEFINED | 2 |
| OCEANEERING INTERNATIONAL INC COM USD0.25 | CMN | 675232102 | 222 | 4821 | SH | SOLE | 2 |
| OENON HOLDINGS INC NPV | CMN | 891092108 | 378 | 2100 | SH | DEFINED | 2 |
| OMNICARE INC COM USD1 | CMN | 681904108 | 210 | 6084 | SH | SOLE | 2 |
| ONEOK INC COM USD0.01 | CMN | 682680103 | 239 | 2752 | SH | SOLE | 2 |
| ONYX PHARMACEUTICALS INC COM USD0.001 | CMN | 683399109 | 217 | 4937 | SH | SOLE | 2 |
| NUANCE COMMUNICATIONS INC COM USD0.001 | CMN | 67020Y100 | 210 | 8327 | SH | DEFINED | 1 |
| OPENWAVE SYSTEMS INC COM USD0.001 | CMN | 683718308 | 40 | 25000 | SH | SOLE | 2 |
| ORACLE CORP COM USD0.01 | CMN | 68389X105 | 8686 | 338632 | SH | DEFINED | 2 |
| VISHAY PRECISION GROUP INC COM NPV | CMN | 9289990A0 | 209 | 467164 | SH | SOLE | 1 |
| ORACLE CORP COM USD0.01 | CMN | 68389X105 | 1387 | 54075 | SH | DEFINED | 2 |
| ORCKIT COMMUNICATIONS LTD NPV | CMN | M7531S206 | 10 | 10000 | SH | SOLE | 2 |
| O'REILLY AUTOMOTIVE INC COM USD0.01 | CMN | 67103H107 | 280 | 3507 | SH | SOLE | 2 |
| ORICA LTD NPV | CMN | 244894101 | 277 | 11434 | SH | SOLE | 2 |
| ORIENT-EXPRESS HOTELS LTD CL'A'COM USD0.01 | CMN | G67743107 | 75 | 10000 | SH | SOLE | 2 |
| PACIFIC BIOSCIENCES OF CALIFORNIA INC USD0.0001 | CMN | 69404D108 | 38 | 13500 | SH | SOLE | 2 |
| PACIFIC ETHANOL INC USD0.001 | CMN | 69423U206 | 22 | 20714 | SH | SOLE | 2 |
| PACIFIC SUNWEAR OF CALIFORNIA INC COM USD0.01 | CMN | 694873100 | 171 | 100000 | SH | SOLE | 2 |
| PAN AMERICAN SILVER CORP COM NPV | CMN | 697900108 | 263 | 12036 | SH | SOLE | 2 |
| PARAGON SHIPPING INC COM STK USD0.001 CL'A' | CMN | 69913R309 | 24 | 37780 | SH | SOLE | 2 |
| UNISOURCE ENERGY NPV COM NPV | CMN | 909205106 | 207 | 5617 | SH | DEFINED | 1 |
| PATRIOT COAL CORP COM STK USD0.01 | CMN | 70336T104 | 180 | 21224 | SH | SOLE | 2 |
| PDL BIOPHARMA INC COM STK USD0.01 | CMN | 69329Y104 | 484 | 78000 | SH | DEFINED | 2 |
| XYLEM INC/NY 'W/I'NPV | CMN | 98419M100 | 206 | 8000 | SH | DEFINED | 1 |
| PPG INDUSTRIES INC COM USD1.666 | CMN | 693506107 | 205 | 2450 | SH | DEFINED | 1 |
| PDL BIOPHARMA INC COM STK USD0.01 | CMN | 69329Y104 | 42 | 6800 | SH | DEFINED | 2 |
| ITT CORP USD1 | CMN | 450911201 | 203 | 10499 | SH | SOLE | 1 |
| PEABODY ENERGY CORP COM STK USD0.01 | CMN | 704549104 | 1403 | 42369 | SH | DEFINED | 2 |

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| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | | COLUMN 6 |
|--|----------------|-----------|--------------------|--------------------|------------|--------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PRN | PUT/ CALL | INVESTMENT DISCRETION M |
| PEABODY ENERGY CORP COM STK USD0.01 | CMN | 704549104 | 492 | 14854 | SH | | DEFINED |
| EMC CORP/MASSACHUSETTS COM USD0.01 | CMN | 268648102 | 202 | 9367 | SH | | DEFINED |
| PEPSICO INC CAP USD0.016666 | CMN | 713448108 | 1648 | 24831 | SH | | SOLE |
| PERFECT WORLD CO LTD ADR EACH REPR 5 COM CLS'B'S | CMN | 71372U104 | 1312 | 125340 | SH | | SOLE |
| LORILLARD INC USD0.01 | CMN | 544147101 | 199 | 1743 | SH | | DEFINED |
| PERRIGO CO COM NPV | CMN | 714290103 | 310 | 3185 | SH | | SOLE |
| PETROBRAS ARGENTINA SA CLASS'B'ARS1 | CMN | 71646J109 | 1754 | 138980 | SH | | SOLE |
| PETROLEO BRASILEIRO SA - PETROBRAS COM NPV | CMN | 71654V408 | 73370 | 2952508 | SH | | DEFINED |
| PETROLEO BRASILEIRO SA - PETROBRAS COM NPV | CMN | 71654V408 | 31590 | 1271222 | SH | | DEFINED |
| TALBOTS INC COM USD0.01 | CMN | 874161102 | 196 | 73500 | SH | | DEFINED |
| PETSMART INC COM USD0.0001 | CMN | 716768106 | 135 | 2639 | SH | | DEFINED |
| PFIZER INC COM USD0.05 | CMN | 717081103 | 3879 | 179259 | SH | | SOLE |
| EXPRESS SCRIPTS INC COM USD0.01 | CMN | 302182100 | 192 | 4294 | SH | | DEFINED |
| PG&E CORP COM NPV | CMN | 867914103 | 203 | 4933 | SH | | DEFINED |
| PHARMASSET INC COM STK USD0.001 | CMN | 71715N106 | 43236 | 337258 | SH | | DEFINED |
| PHARMATHENE INC USD0.0001 | CMN | 71714G102 | 69 | 54500 | SH | | SOLE |
| PHH CORP COM NPV | CMN | 693320202 | 1352 | 126388 | SH | | DEFINED |
| PHH CORP COM NPV | CMN | 693320202 | 26 | 2384 | SH | | DEFINED |
| PHILIP MORRIS INTERNATIONAL INC COM STK NPV 'WI' | CMN | 718172109 | 2537 | 32322 | SH | | DEFINED |
| PIER 1 IMPORTS INC USD0.001 | CMN | 720279108 | 24 | 1700 | SH | | SOLE |
| PINNACLE WEST CAPITAL CORP COM NPV | CMN | 723484101 | 281 | 5831 | SH | | SOLE |
| PLAINS ALL AMERICA UNIT REP LIM PART INT NPV | CMN | 726503105 | 37 | 500 | SH | | SOLE |
| PLATINUM GROUP METALS LTD COM NPV | CMN | 72765Q205 | 11 | 13000 | SH | | SOLE |
| PLURISTEM THERAPEUTICS INC COM STK USD0.00001 | CMN | 72940R102 | 25 | 10000 | SH | | SOLE |
| MEAD JOHNSON NUTRITION CO COM USD0.01 | CMN | 582839106 | 183 | 2669 | SH | | DEFINED |
| PNC FINANCIAL SERVICES GROUP INC COM USD5 | CMN | 693475105 | 3398 | 58930 | SH | | DEFINED |
| PNC FINANCIAL SERVICES GROUP INC COM USD5 | CMN | 693475105 | 8 | 139 | SH | | DEFINED |
| POLARIS INDUSTRIES INC COM USD0.01 | CMN | 731068102 | 185 | 3300 | SH | | DEFINED |
| POLYPORE INTERNATIONAL INC COM STK USD0.01 | CMN | 73179V103 | 219 | 4972 | SH | | SOLE |
| POTASH CORP OF SASKATCHEWAN COM NPV | CMN | 73755L107 | 544 | 13167 | SH | | SOLE |
| POWER-ONE INC COM USD0.001 | CMN | 73930R102 | 289 | 74021 | SH | | SOLE |
| POWERSHARES DB AGRICULTURE FUND POWERSHARES DB AGRICULTURE | CMN | 73936B408 | 325 | 11242 | SH | | SOLE |
| POWERSHARES DB COMMODITY IND UNIT BEN INT | CMN | 73935S105 | 2226 | 82929 | SH | | DEFINED |

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|---|-----|-----------|-----|----------|------|---|
| POWERSHARES DB OIL FUND | CMN | 73936B507 | 293 | 10255 SH | SOLE | 2 |
| POWERSHARES DB OIL FUND | | | | | | |
| POWERSHARES GLOBAL GLOBAL WATER PORTFOLIO | CMN | 73936T623 | 246 | 15770 SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | COLUMN 6 |
|--|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION |
| POWERSHARES INDIA PORTFOLIO POWER SHS INDIA PORT | CMN | 73935L100 | 655 | 40195 SH | | SOLE |
| POWERWAVE TECHNOLOGIES INC USD0.0001 | CMN | 739363307 | 61 | 29500 SH | | SOLE |
| PPG INDUSTRIES INC COM USD1.666 | CMN | 693506107 | 181 | 2170 SH | | DEFINED |
| PPL CORP COM USD0.01 | CMN | 88023U101 | 166 | 5654 SH | | DEFINED |
| PRICELINE.COM INC COM USD0.008 | CMN | 741503403 | 926 | 1979 SH | | DEFINED |
| PROCTER & GAMBLE CO COM NPV | CMN | 742718109 | 4210 | 63114 SH | | DEFINED |
| PROCTER & GAMBLE CO COM NPV | CMN | 742718109 | 22 | 330 SH | | DEFINED |
| PROGRESS ENERGY INC COM NPV | CMN | 743263105 | 30 | 540 SH | | DEFINED |
| PROSHARES SHORT QQQ SHORT QQQ PROSHARES | CMN | 74347R602 | 12 | 370 SH | | SOLE |
| EXELIS INC COM NPV | CMN | 30162A108 | 166 | 18300 SH | | SOLE |
| PROSHARES SHORT S&P500 SHORT S&P 500 PROSHARES | CMN | 74347R503 | 23 | 560 SH | | SOLE |
| NVIDIA CORP COM USD0.001 | CMN | 67066G104 | 166 | 11957 SH | | DEFINED |
| PROSHARES TRUST ULTRASHORT | CMN | 74347R867 | 164 | 10705 SH | | SOLE |
| PROSHARES TRUST ULTRASHORT S&P500 | CMN | 74347R883 | 1004 | 52067 SH | | SOLE |
| PROSHARES ULTRA OIL GAS ULTRA OIL & GAS PROSHARES | CMN | 74347R719 | 4 | 100 SH | | SOLE |
| PROSHARES ULTRA SILVER | CMN | 74347W841 | 555 | 13337 SH | | SOLE |
| PROSHARES ULTRA SILVER | | | | | | |
| PROSHARES ULTRASHORT DJ-AIG CRUDE OIL 'AIG CRUDE OIL'PROSHRE U/S DJ-AIG CRUDE OI | CMN | 74347W668 | 343 | 8866 SH | | SOLE |
| PROSHARES ULTRASHORT QQQ ULTRASHORT QQQ PROSHARES | CMN | 74347X237 | 211 | 4670 SH | | SOLE |
| ARRIS GROUP INC COM USD0.01 | CMN | 04269Q100 | 162 | 15013 SH | | SOLE |
| PROTALIX BIOTHERAPEUTICS INC COM STK USD0.0001 | CMN | 74365A101 | 234 | 47500 SH | | SOLE |
| PSIVIDA CORP CDI | CMN | 74440J101 | 111 | 100000 SH | | SOLE |
| EBAY INC COM USD0.001 | CMN | 278642103 | 156 | 5131 SH | | DEFINED |
| PUBLIC SERVICE ENTERPRISE GROUP INC COM NPV | CMN | 744573106 | 776 | 23496 SH | | SOLE |
| PULTE GROUP INC COM USD0.01 | CMN | 745867101 | 399 | 63195 SH | | SOLE |
| PZ CUSSONS PLC ORD GBP0.01 | CMN | 73936T854 | 888755 | 25393 SH | | SOLE |
| QUALCOMM INC COM USD0.0001 | CMN | 747525103 | 710 | 12978 SH | | DEFINED |
| QUALCOMM INC COM USD0.0001 | CMN | 747525103 | 45 | 821 SH | | DEFINED |
| QUESTCOR PHARMACEUTICALS | CMN | 74835Y101 | 208 | 5011 SH | | SOLE |

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|--|-----|-----------|------|----------|---------|---|--|--|
| INC COM NPV | | | | | | | | |
| QUICKSILVER RESOURCES INC COM USD0.01 | CMN | 74837R104 | 87 | 12962 SH | SOLE | 2 | | |
| RACKSPACE HOSTING INC COM NPV | CMN | 750086100 | 307 | 7145 SH | SOLE | 2 | | |
| RADIAN GROUP INC COM USD0.001 | CMN | 750236101 | 83 | 35550 SH | SOLE | 2 | | |
| RADIOSHACK CORP COM USD1 | CMN | 750438103 | 581 | 59876 SH | SOLE | 2 | | |
| RADVISION LTD ILS0.1 | CMN | M81869105 | 93 | 11000 SH | SOLE | 2 | | |
| RALCORP HOLDINGS INC COM USD0.01 | CMN | 751028101 | 44 | 513 SH | SOLE | 2 | | |
| RALPH LAUREN CORP CLASS'A'COM USD0.01 | CMN | 751212101 | 1145 | 8291 SH | DEFINED | 2 | | |
| RAMBUS INC COM USD0.001 | CMN | 750917106 | 152 | 20183 SH | SOLE | 2 | | |
| RANDGOLD RESOURCES LTD ORD USD0.05 | CMN | 752344309 | 651 | 6373 SH | SOLE | 2 | | |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | C |
|---|----------------|-----------|--------------------|--------------------|-----------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PRN CALL | INVESTMENT DISCRETION M |
| RANGE RESOURCES CORP COM USD0.01 | CMN | 75281A109 | 880 | 14201 SH | SOLE | 2 |
| RAPTOR PHARMACEUTICAL CORP USD0.001 | CMN | 75382F106 | 2923 | 466883 SH | SOLE | 2 |
| RARE ELEMENT RESOURCES LTD COM NPV | CMN | 75381M102 | 192 | 59038 SH | SOLE | 2 |
| RATOS AB SER'B'NPV | CMN | 63607P208 | 1433 | 17858 SH | SOLE | 2 |
| FAIRPOINT COMMUNICATIONS INC | CMN | 305560302 | 140.1015 | 32356 SH | SOLE | 4 |
| RAYMOND JAMES FINANCIAL INC COM USD0.01 | CMN | 754730109 | 60 | 1931 SH | SOLE | 2 |
| RAYTHEON CO COM USD0.01 | CMN | 755111507 | 497 | 10282 SH | SOLE | 2 |
| RED HAT INC COM STK USD0.0001 | CMN | 756577102 | 248 | 6015 SH | SOLE | 2 |
| REGENCY CENTERS CORP COM USD0.01 | CMN | 758849103 | 20 | 529 SH | SOLE | 2 |
| REGENERON PHARMACEUTICALS INC COM USD0.001 | CMN | 75886F107 | 203 | 3663 SH | SOLE | 2 |
| REGIONS FINANCIAL CORP (NEW) COM USD0.625 | CMN | 7591EP100 | 2 | 503 SH | DEFINED | 2 |
| RENESOLA LTD ORD SHS NPV | CNV | 75971TAA1 | 898 | 18957.34 SH | SOLE | 2 |
| RENESOLA LTD ORD SHS NPV | CMN | 75971T103 | 198 | 129572 SH | DEFINED | 2 |
| RESEARCH IN MOTION LTD COM NPV | CMN | 760975102 | 4396 | 303172 SH | DEFINED | 2 |
| RESEARCH IN MOTION LTD COM NPV | CMN | 760975102 | 686 | 47333 SH | DEFINED | 2 |
| REYNOLDS AMERICAN INC COM USD0.0001 | CMN | 761713106 | 321 | 7746 SH | SOLE | 2 |
| RIGEL PHARMACEUTICALS INC COM USD0.001 | CMN | 766559603 | 206 | 26100 SH | SOLE | 2 |
| CAMERON INTERNATIONAL CORP COM USD0.01 | CMN | 13342B105 | 128 | 2595 SH | DEFINED | 1 |

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|--|-----|-----------|----------|----------|---------|---|
| RIGHTNOW TECHNOLOGIES INC COM USD0.001 | CMN | 76657R106 | 1822 | 42634 SH | SOLE | 2 |
| RIO TINTO PLC ORD GBP0.10 | CMN | 767204100 | 1531 | 31288 SH | SOLE | 2 |
| IXIA COM NPV | CMN | 45071R109 | 127 | 12100 SH | SOLE | 1 |
| RIVERBED TECHNOLOGY INC COM USD0.0001 | CMN | 768573107 | 266 | 11331 SH | SOLE | 2 |
| SPRINT NEXTEL CORP COM USD2 SERIE 1 | CMN | 852061100 | 127 | 54170 SH | DEFINED | 1 |
| ROCKWELL AUTOMATION INC COM USD1 | CMN | 84763A108 | 95 | 1289 SH | SOLE | 2 |
| ROSS STORES INC COM USD0.01 | CMN | 778296103 | 836 | 17594 SH | DEFINED | 2 |
| ROTORK PLC ORD GBP0.05 | CMN | 78463X509 | 3052175 | 15839 SH | SOLE | 2 |
| ROWAN COS INC COM USD0.125 | CMN | 779382100 | 427 | 14067 SH | SOLE | 2 |
| ROYAL CARIBBEAN CRUISES LTD COM USD0.01 | CMN | V7780T103 | 494 | 19935 SH | SOLE | 2 |
| GENERAL MTRS CO | CMN | 37045V100 | 121.3565 | 5987 SH | SOLE | 4 |
| ROYALE ENERGY INC COM NPV | CMN | 78074G200 | 10 | 2100 SH | SOLE | 2 |
| PHILIP MORRIS INTERNATIONAL INC COM STK NPV 'WI' | CMN | 718172109 | 121 | 1537 SH | DEFINED | 1 |
| RPC INC COM USD0.10 | CMN | 749660106 | 233 | 12749 SH | DEFINED | 2 |
| RSC HOLDINGS INC COM STK NPV | CMN | 74972L102 | 1708 | 92350 SH | SOLE | 2 |
| RUBY TUESDAY INC COM USD0.01 | CMN | 781182100 | 2 | 231 SH | SOLE | 2 |
| RYDER SYSTEM INC COM USD0.50 | CMN | 783549108 | 205 | 3857 SH | SOLE | 2 |
| S1 CORP COM USD0.01 | CMN | 78463B101 | 422 | 44074 SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | C |
|--|----------------|-----------|--------------------|--------------------|---------------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION M |
| SAFE BULKERS INC COM NPV | CMN | Y7388L103 | 60 | 10000 SH | SOLE | 2 |
| SAKS INC COM USD0.10 | CMN | 79377W108 | 3828 | 392600 SH | DEFINED | 2 |
| SAKS INC COM USD0.10 | CMN | 79377W108 | 215 | 22000 SH | DEFINED | 2 |
| SALESFORCE.COM INC COM USD0.001 | CMN | 79466L302 | 11835 | 116644 SH | DEFINED | 2 |
| SALESFORCE.COM INC COM USD0.001 | CMN | 79466L302 | 501 | 4942 SH | DEFINED | 2 |
| SANDERSON FARMS INC COM USD1 | CMN | 800013104 | 595 | 11876 SH | SOLE | 2 |
| SANDISK CORP COM USD0.001 | CMN | 80004C101 | 10844 | 220371 SH | DEFINED | 2 |
| SANDISK CORP COM USD0.001 | CMN | 80004C101 | 541 | 10990 SH | DEFINED | 2 |
| SANDRIDGE ENERGY INC COM STK USD0.001 | CMN | 80007P307 | 177 | 21680 SH | DEFINED | 2 |
| SARA LEE CORP USD0.01 | CMN | 803111103 | 264 | 13975 SH | SOLE | 2 |
| SARATOGA RESOURCES INC USD0.001 | CMN | 803521103 | 183 | 25000 SH | SOLE | 2 |
| SCANA CORP COM NPV | CMN | 80589M102 | 114 | 2525 SH | SOLE | 2 |
| SCHLUMBERGER LTD COM USD0.01 | CMN | 806857108 | 854 | 12507 SH | SOLE | 2 |
| ISHARES SILVER TST ISHARES | CMN | 46428Q109 | 108 | 4000 SH | DEFINED | 1 |

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|-----------------------------|-----|-----------|------|-----------|---------|---|
| SCRIPPS NETWORKS | CMN | 811065101 | 357 | 8413 SH | DEFINED | 2 |
| INTERACTIVE INC COM NPV | | | | | | |
| SEARS HOLDINGS CORP COM | CMN | 812350106 | 106 | 3331 SH | DEFINED | 2 |
| USD0.01 | | | | | | |
| EASTMAN CHEMICAL CO COM | CMN | 277432100 | 106 | 2714 SH | DEFINED | 1 |
| USD0.01 | | | | | | |
| SEASPAN CORP COM STK | CMN | Y75638109 | 410 | 30000 SH | SOLE | 2 |
| USD0.01 | | | | | | |
| SEATTLE GENETICS INC COM | CMN | 812578102 | 252 | 15067 SH | SOLE | 2 |
| USD0.001 | | | | | | |
| SECTOR SPDR TRUST AMEX | CMN | 81369Y803 | 75 | 2964 SH | DEFINED | 2 |
| TECHNOLOGY SELECT IDX | | | | | | |
| SEIHYO CO LTD NPV | CMN | 909205106 | 144 | 1000 SH | DEFINED | 2 |
| SELECT COMFORT CORP COM | CMN | 81616X103 | 28 | 1285 SH | SOLE | 2 |
| USD0.01 | | | | | | |
| GENIE ENERGY LTD 'B'NPV | CMN | 372284208 | 103 | 13015 SH | SOLE | 1 |
| SELECT SECTOR SPDR ENERGY | CMN | 81369Y506 | 675 | 9765 SH | DEFINED | 2 |
| FUND SBI USD0.001 ENERGY | | | | | | |
| SELECT SECTOR SPDR SBI | CMN | 81369Y407 | 6 | 150 SH | DEFINED | 2 |
| CONSUMER DISCRETIONARY | | | | | | |
| SHANDA INTERACTIVE SPON | CMN | 81941Q203 | 1087 | 27163 SH | SOLE | 2 |
| ADR EA REP 2 ORD USD | | | | | | |
| SHAW GROUP INC/THE COM NPV | CMN | 820280105 | 25 | 920 SH | SOLE | 2 |
| BOEING CO COM USD5 | CMN | 097023105 | 95 | 1300 SH | DEFINED | 1 |
| SHIRE PLC ORD GBP0.05 | CMN | 82481R106 | 206 | 1980 SH | SOLE | 2 |
| SIGA TECHNOLOGIES INC COM | CMN | 826917106 | 184 | 73000 SH | SOLE | 2 |
| USD0.0001 | | | | | | |
| SIGMA-ALDRICH CORP COM USD1 | CMN | 826552101 | 312 | 4998 SH | SOLE | 2 |
| SILVER WHEATON CORP COM NPV | CMN | 828336107 | 1010 | 34874 SH | SOLE | 2 |
| SILVERCORP METALS INC COM | CMN | 82835P103 | 241 | 37610 SH | SOLE | 2 |
| NPV | | | | | | |
| SIMCERE PHARMACEUT SPONS | CMN | 82859P104 | 132 | 14000 SH | SOLE | 2 |
| ADR EA REP 2 ORD SHS | | | | | | |
| SINA CORP/CHINA ORD | CMN | G81477104 | 1140 | 21932 SH | SOLE | 2 |
| USD0.133 | | | | | | |
| ISHARES GOLD TRUST COMEX | CMN | 464285105 | 92 | 6017 SH | DEFINED | 3 |
| GOLD ETF USD | | | | | | |
| SIRIUS XM RADIO INC COM | CMN | 82967N108 | 1057 | 580639 SH | DEFINED | 2 |
| USD0.001 | | | | | | |
| SIRIUS XM RADIO INC COM | CMN | 82967N108 | 51 | 28158 SH | DEFINED | 2 |
| USD0.001 | | | | | | |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | C |
|----------------------------|----------------|-----------|--------------------|--------------------|-------------------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION M |
| SKANSKA AB SER'B'NPV | CMN | 637071101 | 4547 | 40386 SH | SOLE | 2 |
| SYSCO CORP COM USD1 | CMN | 871829107 | 87 | 2951 SH | DEFINED | 1 |
| SKY-MOBI LTD 'MOBI LTD'NPV | CMN | 83084G109 | 85 | 28000 SH | SOLE | 2 |
| SMALL CAP BEAR 3X SHARES | CMN | 25459W110 | 3027 | 114297 SH | SOLE | 2 |
| SMALL CAP BEAR 3X SHARES | | | | | | |
| SMARTHEAT INC COM STK | CMN | 83172F104 | 6 | 18500 SH | SOLE | 2 |
| USD0.001 | | | | | | |

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|--|-----|-----------|-------|-------------|---------|---|
| SMITHFIELD FOODS INC COM USD0.5 | CMN | 832248108 | 106 | 4378 SH | SOLE | 2 |
| SOHU.COM INC COM USD0.001 | CMN | 83408W103 | 345 | 6890 SH | DEFINED | 2 |
| SOHU.COM INC COM USD0.001 | CMN | 83408W103 | 85 | 1700 SH | DEFINED | 1 |
| SOLUTIA INC/NEW COM STK USD0.01 | CMN | 834376501 | 299 | 17308 SH | SOLE | 2 |
| SOUTHERN UNION CO COM USD1 | CMN | 844030106 | 20423 | 485001 SH | SOLE | 2 |
| SOUTHWEST AIRLINES CO COM USD1 | CMN | 844741108 | 107 | 12500 SH | SOLE | 2 |
| SOUTHWESTERN ENERGY CO USD0.1 | CMN | 845467109 | 517 | 16178 SH | DEFINED | 2 |
| SPDR GOLD SHARES GOLD SHARES NPV | CMN | 78463V107 | 34238 | 225263 SH | DEFINED | 2 |
| SPDR S&P 500 ETF TRUST UNITS SER 1 NPV | CMN | 78462F103 | 32666 | 260286 SH | DEFINED | 2 |
| SPDR S&P 500 ETF TRUST UNITS SER 1 NPV | CMN | 78462F103 | 128 | 1022 SH | DEFINED | 2 |
| SPDR S&P MIDCAP 400 ETF TRUST UNIT SERIES 1 | CMN | 78467Y107 | 2675 | 16775 SH | SOLE | 2 |
| WHOLE FOODS MARKET INC COM NPV | CMN | 966837106 | 80 | 1144 SH | DEFINED | 1 |
| SPDR SERIES TRUST LEHMAN HIGH YIELD BD ETF | CMN | 78464A417 | 451 | 11724 SH | SOLE | 2 |
| SPECTRA ENERGY CORP COM STK USD0.001 | CMN | 847560109 | 307 | 9982 SH | SOLE | 2 |
| SPECTRUM PHARMACEUTICALS INC COM USD0.001 | CMN | 84763A108 | 300 | 20508 SH | SOLE | 2 |
| SPRINT NEXTEL CORP COM USD2 SERIE 1 | CMN | 852061100 | 121 | 51531 SH | DEFINED | 2 |
| SSAB AB SER'A'NPV | CMN | 67421G104 | 1542 | 25507 SH | SOLE | 2 |
| PRICELINE.COM INC COM USD0.008 | CMN | 741503403 | 79 | 168 SH | DEFINED | 1 |
| ELECTRONIC SENSOR TECHNOLOGY INC USD0.001 | CMN | 285835104 | 78 | 7750000 SH | SOLE | 1 |
| STANLEY BLACK & DECKER INC COM USD2.50 | CMN | 854502101 | 212 | 3133 SH | SOLE | 2 |
| FORD MOTOR CO COM STK USD0.01 | CMN | 345370134 | 76 | 31623.26 SH | DEFINED | 1 |
| STAPLES INC COM STK USD0.0006 | CMN | 855030102 | 402 | 28972 SH | SOLE | 2 |
| STARBUCKS CORP COM USD0.001 | CMN | 855244109 | 895 | 19463 SH | SOLE | 2 |
| ANADARKO PETROLEUM CORP COM USD0.10 | CMN | 032511107 | 74 | 976 SH | DEFINED | 1 |
| GOOGLE INC COM USD0.001 CL'A' | CMN | 38259P508 | 74 | 115 SH | DEFINED | 3 |
| STARWOOD HOTELS & RESORTS COM STK USD0.01 | CMN | 85590A401 | 311 | 6487 SH | SOLE | 2 |
| STATE STREET CORP COM STK USD1 | CMN | 857477103 | 142 | 3522 SH | SOLE | 2 |
| STEEL DYNAMICS INC COM STK USD0.01 | CMN | 858119100 | 134 | 10200 SH | DEFINED | 2 |
| STMICROELECTRONICS NV COM EUR1.04 (NY REG) | CMN | 861012102 | 890 | 150000 SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

COLUMN 1 COLUMN 2 COLUMN 3 COLUMN 4 COLUMN 5 COLUMN 6 C

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| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PRN | PUT/ CALL | INVESTMENT DISCRETION | M |
|---|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|---|
| STREETTRACKS GOLD TRUST GOLD SHARES NPV | CMN | 78463V107 | 151 | 1000 | SH | | DEFINED | 2 |
| STREETTRACKS SERIE SPDR HOMEBUILDERS ETF | CMN | 78464A888 | 67 | 3900 | SH | | DEFINED | 2 |
| STRYKER CORP COM USD0.10 | CMN | 863667101 | 217 | 4364 | SH | | SOLE | 2 |
| SUCCESSFACTORS INC USD0.001 | CMN | 864596101 | 6014 | 150840 | SH | | SOLE | 2 |
| SUNCOR ENERGY INC COM NPV | CMN | 867224107 | 246 | 8518 | SH | | SOLE | 2 |
| SUNOCO INC COM USD1 | CMN | 86764P109 | 72 | 1748 | SH | | DEFINED | 2 |
| SUNPOWER CORP COM USD0.001 CLASS 'A' | CMN | 867652406 | 66 | 10650 | SH | | SOLE | 2 |
| SUNTECH POWER HLDG SPONS ADR EA REPR 1 ORD SHS | CMN | 86800C104 | 196 | 88660 | SH | | SOLE | 2 |
| SUNTRUST BANKS, INC COM USD1 | CMN | 867914103 | 185 | 10449 | SH | | DEFINED | 2 |
| WHIRLPOOL CORP COM USD1 | CMN | 963320106 | 66 | 1400 | SH | | DEFINED | 1 |
| SUPERVALU INC COM USD1 | CMN | 868536103 | 71 | 8686 | SH | | DEFINED | 2 |
| SVB FINANCIAL GROUP COM USD0.001 | CMN | 78486Q101 | 9 | 183 | SH | | SOLE | 2 |
| AVON PRODUCTS INC COM USD0.25 | CMN | 054303102 | 64 | 3652 | SH | | DEFINED | 1 |
| GENERAL MTRS CO | CNV | 37045V118 | 63.52752 | 5439 | SH | | SOLE | 4 |
| SYNOVUS FINANCIAL CORP COM STK USD1 | CMN | 87927P200 | 17 | 12000 | SH | | SOLE | 2 |
| SYSCO CORP COM USD1 | CMN | 871829107 | 635 | 21665 | SH | | DEFINED | 2 |
| TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD TWD10 | CMN | 874039100 | 41427 | 3208895 | SH | | SOLE | 2 |
| TAKE-TWO INTERACTIVE SOFTWARE INC COM USD0.01 | CMN | 874054109 | 6819 | 503283 | SH | | DEFINED | 2 |
| TAKE-TWO INTERACTIVE SOFTWARE INC COM USD0.01 | CMN | 874054109 | 9 | 686 | SH | | DEFINED | 2 |
| TALBOTS INC COM USD0.01 | CMN | 874161102 | 3 | 1000 | SH | | DEFINED | 2 |
| TALISMAN ENERGY INC COM NPV | CMN | 87425E103 | 220 | 17250 | SH | | SOLE | 2 |
| TANZANIAN ROYALTY EXPLORATION CORP COM NPV | CMN | 87600U104 | 72 | 30000 | SH | | SOLE | 2 |
| TARGET CORP COM STK USD0.0833 | CMN | 87612E106 | 4745 | 92636 | SH | | DEFINED | 2 |
| NYSE EURONEXT COM STK USD0.01 | CMN | 629491101 | 55 | 2110 | SH | | DEFINED | 1 |
| TARGET CORP COM STK USD0.0833 | CMN | 87612E106 | 737 | 14386 | SH | | DEFINED | 2 |
| TBS INTERNATIONAL PLC COM USD0.01 CLASS 'A' | CMN | G8657Q104 | 3 | 20370 | SH | | SOLE | 2 |
| TD AMERITRADE HOLDING CORP COM USD0.01 | CMN | 87236Y108 | 137 | 8747 | SH | | SOLE | 2 |
| TELECOMMNS SYSTEMS COM USD0.01 | CMN | 87929J103 | 118 | 50000 | SH | | SOLE | 2 |
| TELEFONAKTIEBOLAGET LM ERICSSON SER'A' NPV | CMN | 550819106 | 598 | 8575 | SH | | SOLE | 2 |
| TELEFONICA SA EUR1 | CMN | 879382208 | 443 | 25785 | SH | | SOLE | 2 |
| TELEKOMUNIKASI INDONESIA TBK PT SER'B'IDR250 | CMN | 715684106 | 615 | 20000 | SH | | SOLE | 2 |
| TELEPHONE & DATA SYSTEMS INC SPECIAL COM USD0.01 | CMN | 879433860 | 4263 | 179054 | SH | | SOLE | 2 |
| GAP INC/THE COM USD0.05 | CMN | 364760108 | 51 | 2757 | SH | | DEFINED | 1 |
| TELLABS INC COM USD0.01 | CMN | 879664100 | 309 | 76370 | SH | | SOLE | 2 |
| CHIPOTLE MEXICAN GRILL INC CLASS 'A' COM STK USD0.01 | CMN | 169656105 | 49 | 146 | SH | | DEFINED | 1 |

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|---|-----|-----------|-------|--------|----|---------|---|
| SUPERVALU INC COM USD1 | CMN | 868536103 | 49 | 5975 | SH | DEFINED | 1 |
| TEMPLE-INLAND INC COM USD1 | CMN | 879868107 | 11410 | 359838 | SH | DEFINED | 2 |
| TEMPUR-PEDIC INTERNATIONAL INC COM USD0.01 | CMN | 88023U101 | 872 | 16593 | SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | COLUMN 6 | C |
|---|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|---|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | M |
| TENARIS SA USD1 | CMN | 88031M109 | 444 | 11950 | SH | SOLE | 2 |
| GENERAL MILLS INC COM STK USD0.10 | CMN | 370334104 | 46 | 1141 | SH | DEFINED | 1 |
| TERADATA CORP COM STK USD0.01 | CMN | 88076W103 | 400 | 8250 | SH | DEFINED | 2 |
| TERADYNE INC COM USD0.125 | CMN | 880770102 | 10944 | 802907 | SH | DEFINED | 2 |
| QUALCOMM INC COM USD0.0001 | CMN | 747525103 | 44 | 800 | SH | DEFINED | 3 |
| TERADYNE INC COM USD0.125 | CMN | 880770102 | 275 | 20196 | SH | DEFINED | 2 |
| TESLA MOTORS INC USD0.001 | CMN | 88160R101 | 208 | 7290 | SH | DEFINED | 2 |
| EMERSON ELECTRIC CO COM USD0.50 | CMN | 291011104 | 43 | 932 | SH | DEFINED | 1 |
| TESLA MOTORS INC USD0.001 | CMN | 88160R101 | 60 | 2095 | SH | DEFINED | 2 |
| KRAFT FOODS INC COM STK NPV CLS'A' | CMN | 50075N104 | 43 | 1158 | SH | DEFINED | 1 |
| TESORO CORP COM USD0.1666 | CMN | 881609101 | 543 | 23225 | SH | DEFINED | 2 |
| GENERAL MTRS CO | CNV | 37045V126 | 42.63393 | 5438 | SH | SOLE | 4 |
| CORNING INC COM USD0.50 | CMN | 219350105 | 42 | 3224 | SH | DEFINED | 1 |
| TEVA PHARMACEUTICAL IND LTD ILS0.1 (POST CONS) | CMN | 881624209 | 1903 | 47161 | SH | SOLE | 2 |
| TEXAS INSTRUMENTS INC COM USD1 | CMN | 882508104 | 68 | 2346 | SH | DEFINED | 2 |
| TEXTRON INC COM STK USD0.125 | CMN | 883203101 | 12643 | 683781 | SH | DEFINED | 2 |
| TEXTRON INC COM STK USD0.125 | CMN | 883203101 | 166 | 8967 | SH | DEFINED | 2 |
| THE CHARLES SCHWAB CORPORATION COM USD0.01 | CMN | 808513105 | 371 | 32960 | SH | SOLE | 2 |
| VISA INC USD0.0001 | CMN | 92826C839 | 40 | 393 | SH | DEFINED | 1 |
| AMERICAN INTERNATIONAL GROUP INC COM USD2.50 | CMN | 026874784 | 39 | 1701 | SH | DEFINED | 3 |
| THE GOLDMAN SACHS GROUP, INC COM USD0.01 | CMN | 38141G104 | 11064 | 122348 | SH | DEFINED | 2 |
| THE GOLDMAN SACHS GROUP, INC COM USD0.01 | CMN | 38141G104 | 5238 | 57928 | SH | DEFINED | 2 |
| THERMO ELECTRON CORP COM USD1 | CMN | 883556102 | 686 | 15249 | SH | SOLE | 2 |
| TIBCO SOFTWARE INC COM USD0.001 | CMN | 88632Q103 | 234 | 9800 | SH | SOLE | 2 |
| ELI LILLY & CO COM NPV | CMN | 532457108 | 37 | 880 | SH | DEFINED | 1 |
| NIKE INC CLASS'B'COM NPV | CMN | 654106103 | 37 | 380 | SH | DEFINED | 1 |
| TIFFANY & CO COM USD0.01 | CMN | 886547108 | 682 | 10289 | SH | DEFINED | 2 |
| AMR CORP COM USD1 | CMN | 001765106 | 36 | 104166 | SH | DEFINED | 1 |
| TIME WARNER CABLE INC 'A'USD0.01 | CMN | 88732J207 | 331 | 5202 | SH | SOLE | 2 |

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|--|-----|-----------|-----|-------|----|---------|---|
| TIME WARNER INC USD0.01 | CMN | 855244109 | 929 | 25712 | SH | SOLE | 2 |
| SCRIPPS NETWORKS | CMN | 811065101 | 36 | 837 | SH | DEFINED | 1 |
| INTERACTIVE INC COM NPV | | | | | | | |
| TORO CO COM USD1 | CMN | 891092108 | 36 | 590 | SH | DEFINED | 1 |
| CF INDUSTRIES HOLDINGS INC COM USD0.01 | CMN | 125269100 | 35 | 238 | SH | DEFINED | 1 |
| TJX COS INC COM USD1 | CMN | 872540109 | 602 | 9331 | SH | DEFINED | 2 |
| EXXON MOBIL CORP COM NPV | CMN | 30231G102 | 35 | 414 | SH | DEFINED | 1 |
| MCGRAW-HILL COS INC/THE COM USD1 | CMN | 580645109 | 35 | 780 | SH | DEFINED | 1 |
| VALSPAR CORP COM USD0.50 | CMN | 920355104 | 35 | 900 | SH | DEFINED | 1 |
| YUM! BRANDS INC COM NPV | CMN | 988498101 | 35 | 594 | SH | DEFINED | 1 |
| 3M CO COM USD0.01 | CMN | 88579Y101 | 34 | 411 | SH | DEFINED | 1 |
| ALTRIA GROUP INC COM USD0.333333 | CMN | 02209S103 | 34 | 1161 | SH | DEFINED | 1 |
| TORCHMARK CORP COM USD1 | CMN | 891027104 | 54 | 1253 | SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | C | |
|--|----------------|-----------|--------------------|--------------------|---------------------|----------------------------|---|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION M | |
| CLOROX CO COM USD1 | CMN | 189054109 | 34 | 513 | SH | DEFINED | 1 |
| TOTAL SA EUR2.5 | CMN | 89151E109 | 207 | 4050 | SH | SOLE | 2 |
| JOHNSON CONTROLS INC COM STK USD0.01388 | CMN | 478366107 | 34 | 1080 | SH | DEFINED | 1 |
| TOYOTA MOTOR CORP NPV | CMN | 892331307 | 244 | 3690 | SH | SOLE | 2 |
| APPLIED MATERIALS INC COM USD0.01 | CMN | 038222105 | 33 | 3092 | SH | DEFINED | 1 |
| TRACTOR SUPPLY CO COM USD0.008 | CMN | 892356106 | 158 | 2258 | SH | DEFINED | 2 |
| HANSEN NATURAL CORP COM USD0.005 | CMN | 411310105 | 33 | 361 | SH | DEFINED | 1 |
| TESORO CORP COM USD0.1666 | CMN | 881609101 | 33 | 1395 | SH | DEFINED | 1 |
| ESTEE LAUDER COS INC/THE CLASS'A'COM USD0.01 | CMN | 518439104 | 32 | 282 | SH | DEFINED | 1 |
| TRANSATLANTIC HOLDINGS INC COM USD1 | CMN | 893521104 | 3581 | 65432 | SH | DEFINED | 2 |
| TRANSOCEAN LTD/SWITZERLAND CHF15 | CMN | H8817H100 | 812 | 21163 | SH | SOLE | 2 |
| MATTEL INC COM USD1 | CMN | 577081102 | 32 | 1157 | SH | DEFINED | 1 |
| MOODY'S CORP COM USD0.01 | CMN | 615369105 | 32 | 960 | SH | DEFINED | 1 |
| RALPH LAUREN CORP CLASS'A'COM USD0.01 | CMN | 751212101 | 32 | 234 | SH | DEFINED | 1 |
| TEMPUR-PEDIC INTERNATIONAL INC COM USD0.01 | CMN | 88023U101 | 32 | 610 | SH | DEFINED | 1 |
| TRAVELZOO INC COM USD0.01 | CMN | 89421Q106 | 689 | 28036 | SH | SOLE | 2 |
| CLIFFS NATURAL RESOURCES INC COM USD1 | CMN | 18683K101 | 31 | 491 | SH | DEFINED | 1 |
| CONTINENTAL RESOURCES INC/OK COM STK USD0.01 | CMN | 212015101 | 31 | 472 | SH | DEFINED | 1 |
| DIAMOND OFFSHORE DRILLING INC COM USD0.01 | CMN | 25271C102 | 31 | 554 | SH | DEFINED | 1 |
| F5 NETWORKS INC COM STK NPV | CMN | 315616102 | 31 | 294 | SH | DEFINED | 1 |

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|---|-----|-----------|-----|----------|---------|---|
| FREEMPORT-MCMORAN COPPER & GOLD INC COM STK USD0.10 | CMN | 35671D857 | 31 | 841 SH | DEFINED | 1 |
| GARTNER INC COM STK USD0.0005 | CMN | 366651107 | 31 | 881 SH | DEFINED | 1 |
| HALLIBURTON CO COM USD2.50 | CMN | 406216101 | 31 | 905 SH | DEFINED | 1 |
| POLARIS INDUSTRIES INC COM USD0.01 | CMN | 731068102 | 31 | 554 SH | DEFINED | 1 |
| RPC INC COM USD0.10 | CMN | 749660106 | 31 | 1707 SH | DEFINED | 1 |
| WEIGHT WATCHERS INTERNATIONAL INC COM NPV | CMN | 948626106 | 31 | 567 SH | DEFINED | 1 |
| CUMMINS INC COM USD2.50 | CMN | 231021106 | 30 | 346 SH | DEFINED | 1 |
| FOSSIL INC COM USD0.01 | CMN | 349882100 | 30 | 372 SH | DEFINED | 1 |
| HUGHES ELECTRONICS CORP/OLD COM USD0.01 | CMN | 25490A101 | 30 | 705 SH | DEFINED | 1 |
| TRIMBLE NAVIGATION LTD COM NPV | CMN | 896239100 | 4 | 100 SH | SOLE | 2 |
| TRINA SOLAR LIMITE ADR REP 50 ORD USD0.00001 | CMN | 89628E104 | 282 | 42165 SH | SOLE | 2 |
| TERADATA CORP COM STK USD0.01 | CMN | 88076W103 | 30 | 614 SH | DEFINED | 1 |
| WADDELL & REED FINANCIAL INC CL A COM USD0.01 | CMN | 930059100 | 30 | 1226 SH | DEFINED | 1 |
| WISDOMTREE INDIA EARNINGS FUND INDIA EARNINGS FUND | CMN | 97717W422 | 30 | 1900 SH | DEFINED | 1 |
| TRINITY INDUSTRIES INC COM USD1 | CMN | 896522109 | 48 | 1613 SH | DEFINED | 2 |
| IPG PHOTONICS CORP COM USD0.0001 | CMN | 44980X109 | 29 | 869 SH | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | C |
|--|----------------|-----------|-----------------|-----------------|---------------|-------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION M |
| TRIPADVISOR INC 'W/I'NPV | CMN | 896945201 | 43 | 1708 SH | DEFINED | 2 |
| TTM TECHNOLOGIES INC COM NPV | CMN | 87305R109 | 44 | 4000 SH | DEFINED | 2 |
| JOY GLOBAL INC COM USD1 | CMN | 481165108 | 27 | 365 SH | DEFINED | 1 |
| AFLAC INC COM USD0.10 | CMN | 001055102 | 26 | 600 SH | DEFINED | 3 |
| TWIN DISC INC COM NPV | CMN | 901476101 | 4 | 112 SH | SOLE | 2 |
| TYCO INTERNATIONAL LTD CHF6.7 | CMN | H89128104 | 283 | 6061 SH | SOLE | 2 |
| TYSON FOODS INC CLASS'A'COM USD0.10 | CMN | 902494103 | 882 | 42725 SH | SOLE | 2 |
| ULTRA PETROLEUM CORP COM NPV | CMN | 903914109 | 573 | 19325 SH | SOLE | 2 |
| ULTRASHORT FINS PROSHA ULTRASHORT FINANCIALS PROSH | CMN | 74347X146 | 587 | 9889 SH | SOLE | 2 |
| ULTRASHORT LEHMAN 20+ YEAR | CMN | 74347R297 | 2483 | 137389 SH | SOLE | 2 |
| ULTRASHORT LEHMAN 20+ YEAR | CMN | 912318110 | 1625 | 251606 SH | SOLE | 2 |
| UNITED STATES NATURAL GAS FUND LP UNIT | CMN | 912318110 | 1625 | 251606 SH | SOLE | 2 |
| POWERSHARES DB COMMODITY | CMN | 73935S105 | 24 | 900 SH | DEFINED | 1 |

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|--|-----|-----------|-------|------------|---------|---|--|--|
| IND UNIT BEN INT | | | | | | | | |
| SY BANCORP INC COM NPV | CMN | 785060104 | 24 | 1178 SH | DEFINED | 1 | | |
| UNITED STATES STEEL CORP COM USD1 | CMN | 912909108 | 601 | 22723 SH | SOLE | 2 | | |
| DOW CHEMICAL CO/THE COM USD2.50 | CMN | 260543103 | 23 | 800 SH | DEFINED | 1 | | |
| UNITED TECHNOLOGIES CORP COM USD1 | CMN | 913017109 | 1066 | 14589 SH | DEFINED | 2 | | |
| UNITEDHEALTH GROUP INC COM USD0.01 | CMN | 91324P102 | 474 | 9347 SH | SOLE | 2 | | |
| NUVASIVE INC COM USD0.001 | CMN | 670704105 | 22 | 1765 SH | DEFINED | 1 | | |
| UNIVERSAL HEALTH SERVICES INC CLASS'B'COM USD0.01 | CMN | 913903100 | 4 | 100 SH | SOLE | 2 | | |
| URANERZ ENERGY CORP COM USD0.001 | CMN | 91688T104 | 73 | 40137 SH | SOLE | 2 | | |
| URANIUM ENERGY CORP COM USD0.001 | CMN | 916896103 | 80 | 26000 SH | SOLE | 2 | | |
| URANIUM RESOURCES INC COM STK USD0.001 | CMN | 916901507 | 12 | 16008 SH | SOLE | 2 | | |
| US AIRWAYS GROUP INC/NEW COM STK USD0.01 | CMN | 90341W108 | 58 | 11453 SH | SOLE | 2 | | |
| SOUTHWESTERN ENERGY CO USD0.1 | CMN | 845467109 | 21 | 666 SH | DEFINED | 1 | | |
| US BANCORP INC COM USD0.01 | CMN | 902973304 | 1304 | 48194 SH | DEFINED | 2 | | |
| USEC INC COM USD0.10 | CNV | 90333EAC2 | 400 | 8364 SH | SOLE | 2 | | |
| CITIGROUP INC USD0.01 | CMN | 172967424 | 19 | 724 SH | DEFINED | 3 | | |
| USEC INC COM USD0.10 | CMN | 90333E108 | 87 | 76500 SH | SOLE | 2 | | |
| UTD STS OIL FD LP UNITS | CMN | 91232N108 | 1253 | 32873 SH | DEFINED | 2 | | |
| UTD STS OIL FD LP UNITS | CMN | 91232N108 | 482 | 12660 SH | DEFINED | 2 | | |
| TIFFANY & CO COM USD0.01 | CMN | 886547108 | 18 | 266 SH | DEFINED | 1 | | |
| SELECT SECTOR SPDR | CMN | 81369Y209 | 17 | 500 SH | DEFINED | 1 | | |
| HEALTHCARE FUND HEALTHCARE | | | | | | | | |
| VALE SA COM NPV | CMN | 91912E105 | 41360 | 1928209 SH | DEFINED | 2 | | |
| LIMELIGHT NETWORKS INC COM STK USD0.001 | CMN | 53261M104 | 16 | 5300 SH | DEFINED | 1 | | |
| VALE SA COM NPV | CMN | 91912E105 | 2271 | 105893 SH | DEFINED | 2 | | |
| ENDOLOGIX INC COM USD0.001 | CMN | 29266S106 | 15 | 1287 SH | DEFINED | 1 | | |
| VALENCE TECHNOLOGY INC COM USD0.001 | CMN | 918914102 | 14 | 14000 SH | SOLE | 2 | | |
| VALERO ENERGY CORP COM USD0.01 | CMN | 91913Y100 | 677 | 32156 SH | SOLE | 2 | | |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | C |
|---|----------------|-----------|--------------------|--------------------|-------------------------|----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION M |
| VALSPAR CORP COM USD0.50 | CMN | 920355104 | 12 | 300 SH | DEFINED | 2 |
| MCKESSON CORP COM USD0.01 | CMN | 58155Q103 | 14 | 177 SH | DEFINED | 1 |
| MUELLER WATER PROD COM STK USD0.01 SER 'A' | CMN | 624758108 | 14 | 5700 SH | DEFINED | 1 |
| VANGUARD MSCI EMERGING MARKETS FUND | CMN | 922042858 | 21 | 542 SH | DEFINED | 2 |
| VCA ANTECH INC COM USD0.001 | CMN | 918194101 | 602 | 30484 SH | SOLE | 2 |

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|---|-----|-----------|------|-----------|---------|---|
| VELOCITYSHARES DAILY INVERSE VIX SHORT TERM ETN 'TM'ETP NPV | CMN | 22542D795 | 2213 | 340000 SH | SOLE | 2 |
| VELTI PLC ORD GBP0.05 | CMN | G93285107 | 762 | 112098 SH | DEFINED | 2 |
| VELTI PLC ORD GBP0.05 | CMN | G93285107 | 258 | 37920 SH | DEFINED | 2 |
| VERENIUM CORP USD0.001 | CMN | 92340P209 | 109 | 50000 SH | SOLE | 2 |
| VERIZON COMMUNICATIONS INC COM USD0.10 | CMN | 92343V104 | 664 | 16554 SH | DEFINED | 2 |
| VERIZON COMMUNICATIONS INC COM USD0.10 | CMN | 92343V104 | 38 | 943 SH | DEFINED | 2 |
| VERTEX PHARMACEUTICALS INC COM USD0.01 | CMN | 92532F100 | 414 | 12474 SH | SOLE | 2 |
| VF CORP COM NPV | CMN | 918204108 | 438 | 3453 SH | SOLE | 2 |
| VIACOM INC NEW CL'B' NON-VTG USD0.001 | CMN | 92553P201 | 812 | 17871 SH | DEFINED | 2 |
| VISA INC USD0.0001 | CMN | 92826C839 | 576 | 5675 SH | DEFINED | 2 |
| VMWARE INC COM STK USD0.01 CLASS 'A' | CMN | 928563402 | 365 | 4388 SH | SOLE | 2 |
| VODAFONE GROUP PLC ORD USD0.11428571 | CMN | 92857W209 | 580 | 20680 SH | SOLE | 2 |
| AT&T INC COM USD1 | CMN | 00206R102 | 9 | 287 SH | DEFINED | 1 |
| VOLTERRA SEMICONDUCTOR CORP COM USD0.001 | CMN | 928708106 | 1 | 40 SH | SOLE | 2 |
| VULCAN MATERIALS CO COM STK USD1 | CMN | 929160109 | 253 | 6427 SH | SOLE | 2 |
| WADDELL & REED FINANCIAL INC CL A COM USD0.01 | CMN | 930059100 | 263 | 10614 SH | DEFINED | 2 |
| WALGREEN CO COM USD0.078125 | CMN | 931422109 | 3665 | 110846 SH | DEFINED | 2 |
| WALGREEN CO COM USD0.078125 | CMN | 931422109 | 831 | 25133 SH | DEFINED | 2 |
| WAL-MART STORES INC COM USD0.10 | CMN | 931142103 | 2576 | 43110 SH | SOLE | 2 |
| PROCTER & GAMBLE CO COM NPV | CMN | 742718109 | 8 | 117 SH | DEFINED | 1 |
| WALT DISNEY CO/THE DISNEY COM USD0.01 | CMN | 254687106 | 1749 | 46645 SH | DEFINED | 2 |
| INDUSTRIAL SELECT SECT SPDR AMEX INDUSTRIAL SELECT | CMN | 81369Y704 | 7 | 200 SH | DEFINED | 1 |
| WELLS FARGO & CO COM USD1 2/3 | CMN | 949746101 | 7 | 266 SH | DEFINED | 1 |
| BANK OF AMERICA CORP COM USD0.01 | CMN | 060505104 | 6 | 1160 SH | DEFINED | 3 |
| WALTER ENERGY INC COM USD0.01 | CMN | 93317Q105 | 4498 | 74278 SH | SOLE | 2 |
| WEATHERFORD INTERNATIONAL LTD COM USD1 | CMN | H27013103 | 209 | 14306 SH | SOLE | 2 |
| JPMORGAN CHASE & CO COM USD1 | CMN | 46625H100 | 6 | 170 SH | DEFINED | 3 |
| WEBSense INC COM USD0.01 | CMN | 947684106 | 5 | 250 SH | SOLE | 2 |
| WEIGHT WATCHERS INTERNATIONAL INC COM NPV | CMN | 948626106 | 318 | 5775 SH | DEFINED | 2 |
| WELLPOINT INC COM USD0.01 | CMN | 94973V107 | 34 | 515 SH | DEFINED | 2 |
| WELLS FARGO & CO COM USD1 2/3 | CMN | 949746101 | 2720 | 98701 SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

COLUMN 1 COLUMN 2 COLUMN 3 COLUMN 4 COLUMN 5 COLUMN 6 C

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| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PRN | PUT/ CALL | INVESTMENT DISCRETION | M |
|---|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|---|
| WESTERN REFINING INC COM STK USD0.01 | CMN | 959319104 | 94 | 7106 | SH | | SOLE | 2 |
| VISHAY PRECISION GROUP INC COM NPV | CMN | 9289990B8 | 5 | 121698 | SH | | SOLE | 1 |
| WESTPORT INNOVATIONS INC COM NPV | CMN | 960908309 | 326 | 9800 | SH | | SOLE | 2 |
| BANK OF AMERICA CORP COM USD0.01 | CMN | 060505153 | 4 | 11504.31 | SH | | SOLE | 1 |
| WHIRLPOOL CORP COM USD1 | CMN | 963320106 | 208 | 4391 | SH | | DEFINED | 2 |
| GRUBB & ELLIS CO COM STK USD0.01 | CMN | 400095204 | 4 | 30303 | SH | | SOLE | 1 |
| WHOLE FOODS MARKET INC COM NPV | CMN | 966837106 | 234 | 3358 | SH | | DEFINED | 2 |
| WINN-DIXIE STORES INC COM STK USD1 | CMN | 974280307 | 68 | 7277 | SH | | DEFINED | 2 |
| TARGET CORP COM STK USD0.0833 | CMN | 87612E106 | 4 | 70 | SH | | DEFINED | 1 |
| WISDOMTREE CHINA YUAN FUND | CMN | 97717W182 | 566 | 22460 | SH | | SOLE | 2 |
| WISDOMTREE CHINA YUAN FUND | CMN | 97717W422 | 521 | 33420 | SH | | DEFINED | 2 |
| WISDOMTREE INDIA EARNINGS FUND INDIA EARNINGS FUND | CMN | 97717W422 | 521 | 33420 | SH | | DEFINED | 2 |
| WPP PLC ORD GBP0.10 | CMN | 854231107 | 33215281 | 491714 | SH | | SOLE | 2 |
| WYNDHAM WORLDWIDE CORP COM STK USD0.01 | CMN | 98310W108 | 4852 | 128271 | SH | | DEFINED | 2 |
| NOBLE ENERGY INC COM USD3.33 1/3 | CMN | 655044105 | 3 | 30 | SH | | DEFINED | 1 |
| WYNDHAM WORLDWIDE CORP COM STK USD0.01 | CMN | 98310W108 | 138 | 3650 | SH | | DEFINED | 2 |
| WYNN RESORTS LTD COM USD0.01 | CMN | 983134107 | 2128 | 19260 | SH | | SOLE | 2 |
| XEROX CORP COM USD1 | CMN | 984121103 | 177 | 22227 | SH | | SOLE | 2 |
| ADVANCED MEDICAL ISOTOPE CORP USD0.001 | CMN | 00765X102 | 2 | 20000 | SH | | SOLE | 1 |
| XILINX INC COM USD0.01 | CMN | 983919101 | 322 | 10033 | SH | | SOLE | 2 |
| XINYUAN REAL ESTATE CO LTD ADR EACH REPR 2 ORD SHS | CMN | 98417P105 | 2608 | 1490012 | SH | | SOLE | 2 |
| XOMA LTD ORD USD0.0005 | CMN | G9825R206 | 22 | 18966 | SH | | SOLE | 2 |
| XYLEM INC/NY 'W/I'NPV | CMN | 98419M100 | 3 | 99 | SH | | DEFINED | 2 |
| YAHOO! INC COM USD0.001 | CMN | 984332106 | 165210 | 10242434 | SH | | DEFINED | 2 |
| GENERAL MARITIME CORP NEW | CMN | Y2693R101 | 1.8125 | 125000 | SH | | SOLE | 4 |
| BROCADE COMMUNICATIONS SYSTEMS INC COM USD0.001 | CMN | 111621306 | 1 | 215 | SH | | DEFINED | 1 |
| YAHOO! INC COM USD0.001 | CMN | 984332106 | 2580 | 159955 | SH | | DEFINED | 2 |
| CHARLES RIVER LABORATORIES COM STK USD0.01 | CMN | 159864107 | 1 | 49 | SH | | DEFINED | 1 |
| YAMANA GOLD INC COM NPV | CMN | 98462Y100 | 227 | 15451 | SH | | SOLE | 2 |
| DOW CHEMICAL CO/THE COM USD2.50 | CMN | 260543103 | 1 | 30 | SH | | DEFINED | 3 |
| YANDEX NV COM NPV | CMN | N97284108 | 888 | 45091 | SH | | SOLE | 2 |
| YINGLI GREEN ENERGR ADR EACH REP 1 ORD SHS | CMN | 98584B103 | 438 | 115270 | SH | | SOLE | 2 |
| YONEKYU CORP NPV | CMN | 901476101 | 6018 | 8110 | SH | | SOLE | 2 |
| YUM! BRANDS INC COM NPV | CMN | 988498101 | 702 | 11904 | SH | | DEFINED | 2 |
| ZAGG INC COM USD0.001 | CMN | 98884U108 | 119 | 16790 | SH | | SOLE | 2 |
| ZALICUS INC COM STK USD0.001 | CMN | 98887C105 | 138 | 114400 | SH | | SOLE | 2 |

