

REPUBLIC BANCORP INC /KY/
Form 4
November 09, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TRAGER STEVE

2. Issuer Name and Ticker or Trading Symbol
REPUBLIC BANCORP INC /KY/ [RBCAA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
601 WEST MARKET STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/07/2012

Director 10% Owner
 Officer (give title below) Other (specify below)
President and CEO

LOUISVILLE, KY 40202

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class A Common Stock | | | | | 81,276 | D | |
| Class A Common Stock | 11/07/2012 | | J ⁽²⁾ | 0 ⁽³⁾ | 5,407,884.612 ⁽²⁾ | I ⁽¹⁾ | By Teebank Family Limited Partnership |
| Class A Common Stock | | | | | 387,603.579 | I ⁽⁴⁾ | By Jaytee Properties Limited Partnership |

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| | | | |
|----------------------------|----------|------------------|-------------------|
| Class A Common Stock | 7,478 | I ⁽⁵⁾ | By Spouse |
| Class A Common Stock | 7,573 | I | By 401(k) Plan |
| Class A Common Stock | 7,371.22 | I | By ESOP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|------------|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| | | | | | | Code | V | (A) | (D) | |
| Class B Common Stock | <u>(6)</u> | 11/07/2012 | | <u>J</u> ⁽²⁾ | <u>0</u> ⁽³⁾ | <u>(7)</u> | <u>(8)</u> | Class A Common Stock | <u>(3)</u> | <u>(2)</u> |
| Class B Common Stock | <u>(6)</u> | | | | | <u>(7)</u> | <u>(8)</u> | Class A Common Stock | 0 | |
| Class B Common Stock | <u>(6)</u> | | | | | <u>(7)</u> | <u>(8)</u> | Class A Common Stock | 0 | |
| Class B Common Stock | <u>(6)</u> | | | | | <u>(7)</u> | <u>(8)</u> | Class A Common Stock | 0 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------|-------|
| | Director | 10% Owner | Officer | Other |
| TRAGER STEVE 601 WEST MARKET STREET LOUISVILLE, KY 40202 | X | X | President and CEO | |

Signatures

/s/ Steve Trager 11/09/2012

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Teebank Family Limited Partnership ("Teebank") is a family limited partnership of which the reporting person is a general partner and a limited partner and of which trusts for the benefit of, among others, the reporting person and the reporting person's 2 children are limited partners. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.

(2) The reporting person's father passed away on 2/10/12. On that date, the reporting person became trustee of certain trusts in which the reporting person has a pecuniary interest reported herein pursuant to Rule 16a-8(b)(2)(ii). The reporting person's mother is the sole beneficiary of one such trust ("Trust 1"). The reporting person is sole trustee of another trust, the sole beneficiaries of which are the reporting person and his sister ("Trust 2"). On 11/7/12, Trust 2 purchased \$10 million in Teebank limited partnership interests from Trust 1.

(3) As of the reporting date, Teebank has engaged a valuation firm to conduct an appraisal of its limited partnership units. The reporting person expects to file an amendment to this Form 4 upon receipt of that appraisal to disclose the number of issuer securities that were the subject of this transaction.

(4) Jaytee Properties Limited Partnership is a family limited partnership of which the reporting person is a general partner and a limited partner and of which trusts for the benefit of, among others, the reporting person and the reporting person's 2 children are limited partners. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.

(5) The reporting person disclaims beneficial ownership of these securities and the report shall not be deemed an admission that the reporting person is the beneficial owner of such securities.

(6) Conversion from Class B Common Stock to Class A Common Stock is on a share-for-share basis.

(7) Immediate.

(8) None.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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