

CVB FINANCIAL CORP

Form 4

August 09, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Borba George A Jr

(Last) (First) (Middle)

701 N HAVEN AVE

(Street)

ONTARIO, CA 91764

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol

CVB FINANCIAL CORP [CVBF]

3. Date of Earliest Transaction
(Month/Day/Year)

08/09/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/09/2013		M	42,969 A	\$ 12.15 7,277,304 ⁽¹⁾	I	Trustee
Common Stock	08/09/2013		M	25,000 A	\$ 9.46 7,302,304 ⁽¹⁾	I	Trustee
Common Stock	08/09/2013		M	25,000 A	\$ 8.18 7,327,304 ⁽¹⁾	I	Trustee
Common Stock	08/09/2013		S	92,969 D	\$ 13.1791 7,234,335 ⁽¹⁾	I	Trustee
Common Stock					277,000 ⁽²⁾	I	Trustee
					288 ⁽³⁾	I	

Edgar Filing: CVB FINANCIAL CORP - Form 4

Common
Stock

As
Custodian

Common
Stock

11,232 ⁽⁴⁾ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 12.15	08/09/2013		M		42,969		03/17/2004	10/23/2013	Common Stock	42,969
Stock Option (right to buy)	\$ 9.46	08/09/2013		M		25,000		06/18/2008	10/23/2013	Common Stock	25,000
Stock Option (right to buy)	\$ 8.18	08/09/2013		M		25,000		11/17/2010	10/23/2013	Common Stock	25,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Borba George A Jr 701 N HAVEN AVE ONTARIO, CA 91764	X			

Signatures

/s/ George A
Borba Jr

08/09/2013

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares held by the George Borba Family Trust of which filer is co-trustee Filer disclaims beneficial ownership of all such shares
- (2) Shares held by Borba Childrens Trust of which filer is co-trustee Filer disclaims beneficial ownership of such shares except to the extent of his distributable interest
- (3) Shares held by George Borba Jr as custodian for minor children
- (4) Shares held by George Borba Jr of which 10000 are restricted

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.